



2019 台灣胸腔暨重症加護醫學會夏季會

2019 Summer Workshop of Taiwan Society of Pulmonary and Critical Care Medicine

# PD-L1 and Immunotherapy

## Real world data in Taiwan

23-JUN-2019 @ Yilan

台中榮總 胸腔內科

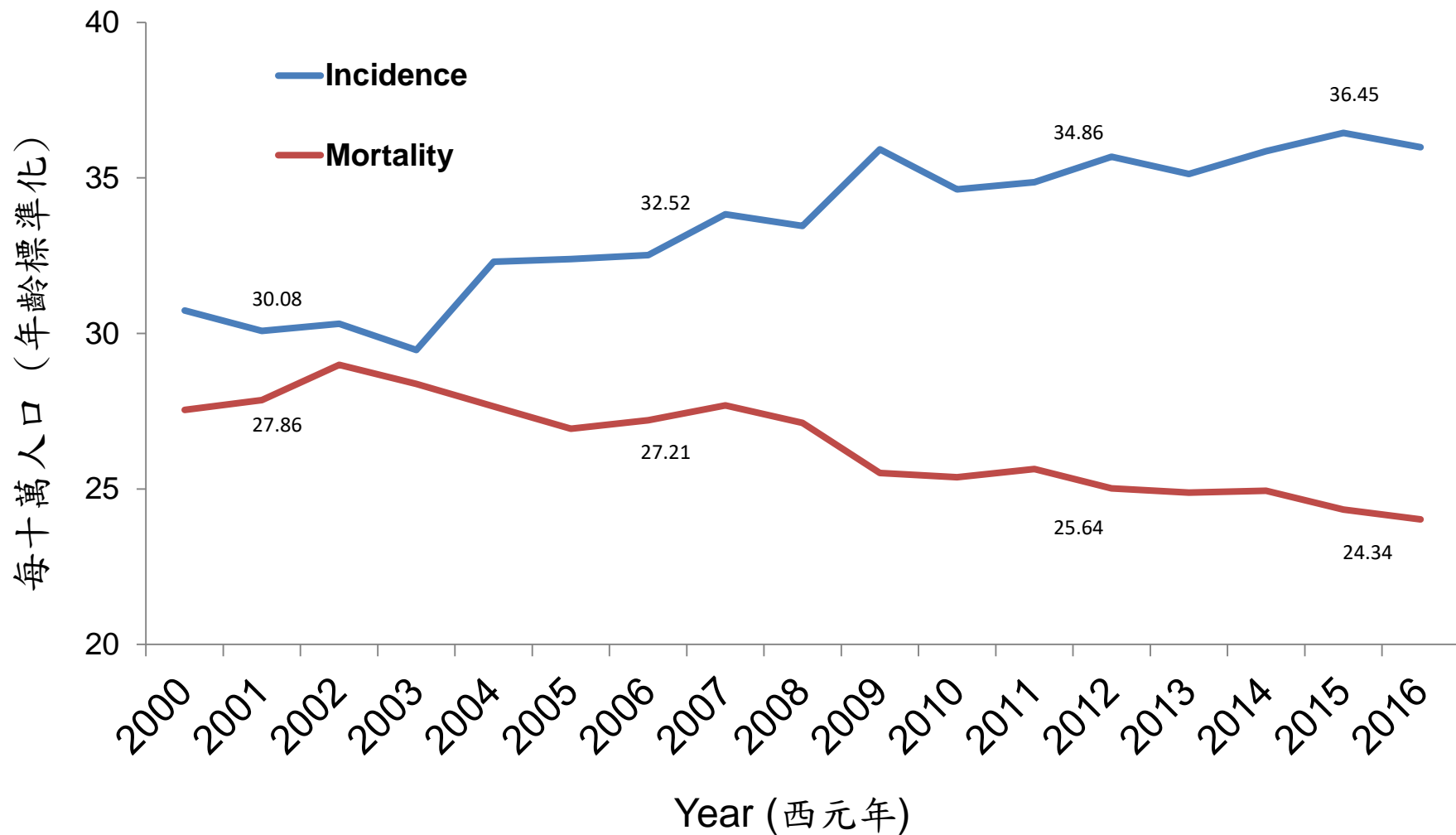
曾政森 醫師

Jeng-Sen Tseng, M.D., Ph.D.

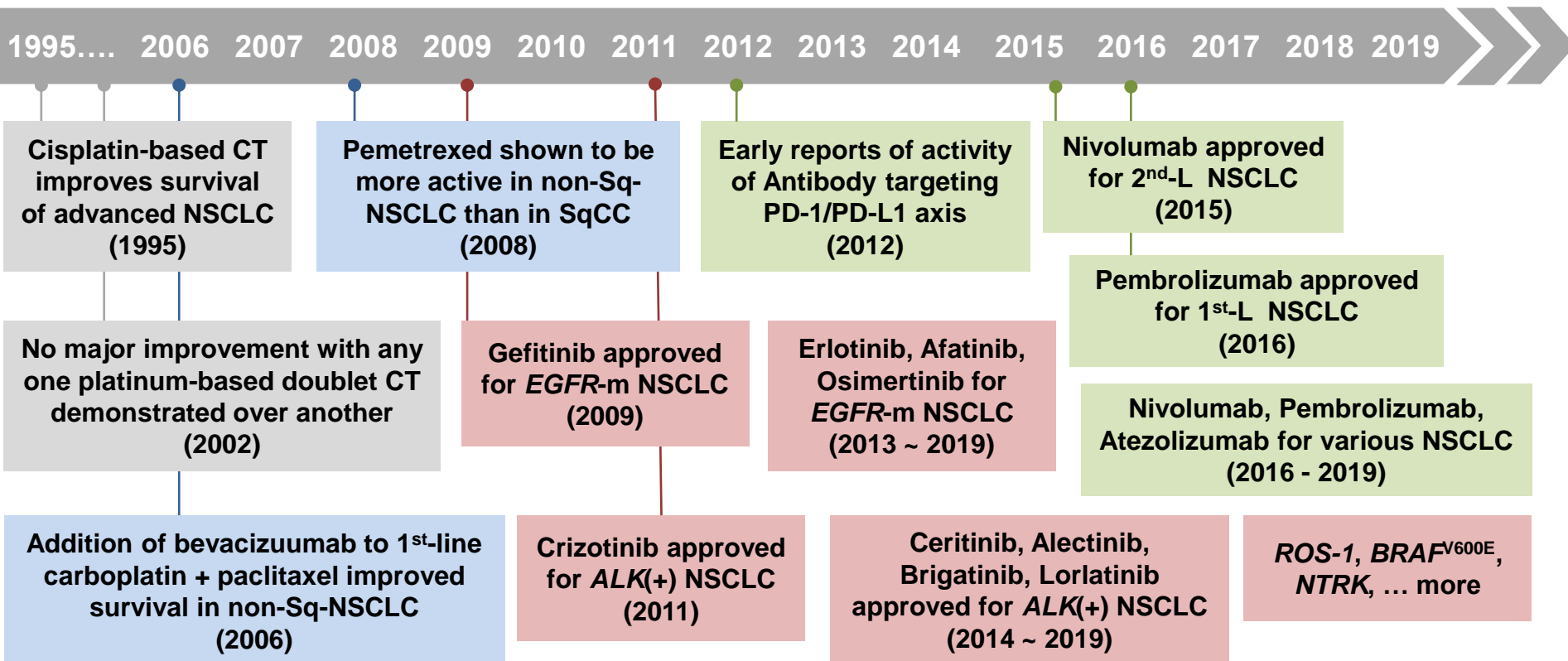
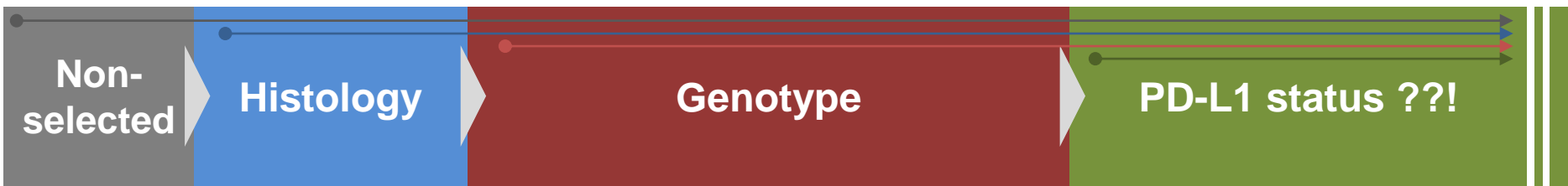
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# Trend of lung cancer incidence and mortality in Taiwan



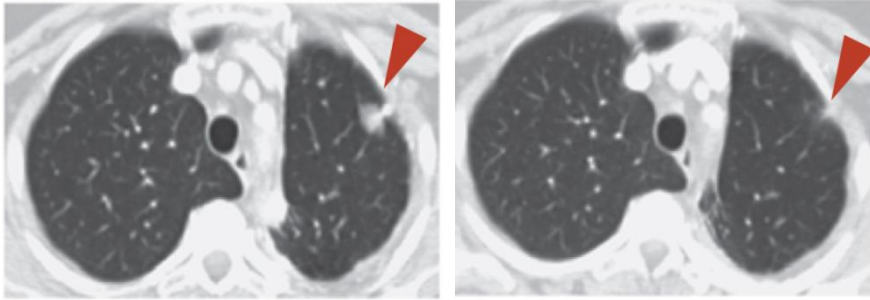
# The way toward personalized therapy



# Progress in Immunotherapy of Cancer

Before treatment

4 months

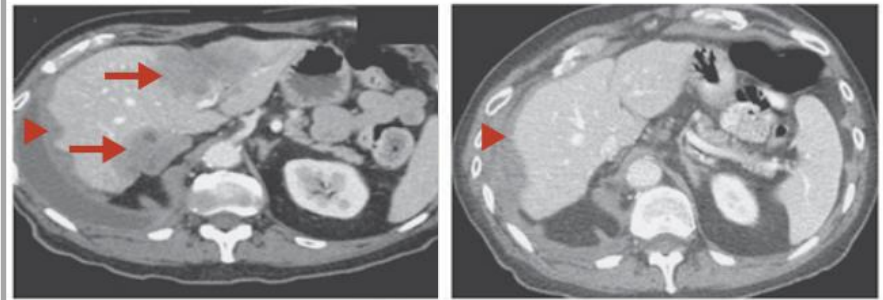


An **anti-PD-1 antibody** developed by Bristol-Myers Squibb generates excitement with results from a phase I trial showing that, among 236 patients with various types of cancer, the treatment shrank tumors in 28 percent of melanoma patients, 30 percent of patients with kidney cancer, and **18 percent of patients with advanced non-small cell lung cancer.**

Topalian S et al. N Engl J Med **2012**; 366:2443-54.

Before treatment

15 months



An **anti-PD-L1 antibody** developed by Bristol-Myers Squibb generates excitement with results from a phase I trial showing that, among 207 patients with various types of cancer, the treatment shrank tumors in 17 percent of melanoma patients, 12 percent of patients with kidney cancer, 6 percent with ovarian cancer, and **10 percent of patients with advanced non-small cell lung cancer.**

Brahmer J et al. N Engl J Med **2012**; 366:2455-65.

# Integrate IO in lung cancer therapy

## FDA indication

	Characters		NSCLC (wild type)			EGFR/ ALK Mutant	IIIAB CRT	SCLC ES
			1L		2L			
	Target	IHC	Mono	Combo				
Nivolumab	PD-1	28-8	-	-	✓	-	-	✓(3L) <sup>3</sup>
Pembrolizumab	PD-1	22C3	✓ <sup>P</sup>	✓ <sup>1</sup>	✓ <sup>P</sup>	-	-	✓(3L) <sup>3</sup>
Atezolizumab	PD-L1	SP142	-	✓ <sup>2</sup>	✓	- <sup>E</sup>	-	✓(1L) <sup>4</sup>
Durvalumab	PD-L1	SP263	-	-	-	-	✓	-

<sup>1</sup>Platinum/Pemetrexed/Pembrolizumab for non-Sq NSCLC and Platinum/Paclitaxel or albumin-bound Paclitaxel/ Pembrolizumab for SqCC.

<sup>2</sup>Carboplatin/Paclitaxel/Bevacizumab/Atezolizumab (ABCP).

<sup>3</sup>After platinum-based therapy and at least one other prior line of therapy.

<sup>4</sup>Carboplatin/Etoposide/Atezolizumab.

<sup>E</sup>Approved by EMA.

<sup>P</sup>PD-L1 TPS ≥ 1% is required for Pembrolizumab monotherapy.

# Evidence to integrate IO in LC Tx.

## First-Line Treatment

Study	Phase	Pt. No.	Pt.	ORR(%)	PFS(m)	OS(m)
KN-024 <sup>1</sup>	III	154 vs. 151 (Pemb vs. CT)	PD-L1 ≥ 50% E/A Wild type	44.8*	10.3*	30.0*
KN-042 <sup>2</sup>	III	637 vs. 637 (Pemb vs. CT)	PD-L1 ≥ 1% E/A Wild type	27.3	5.4	16.7*
KN-021G <sup>3</sup>	II	60 vs. 63 (Pemb/CaP vs. CaP)	Non-Sq NSCLC E/A Wild type	56.7*	19.0*	NR
KN-189 <sup>4</sup>	III	410 vs. 206 (Pemb/CP vs. CP)	Non-Sq NSCLC E/A Wild type	47.6*	9.0*	22.0*
KN-407 <sup>5</sup>	III	278 vs. 281 (Pemb/CaT vs. CaT)	Sq NSCLC	57.9*	6.4*	15.9*
IMP-150 <sup>6</sup>	III	356 vs. 336 (A-BCP vs. BCP)	Non-Sq NSCLC E/A Wild type	63.5	8.3*	19.2*
IMP-133 <sup>7</sup>	III	201 vs. 202 (A-CE vs. CE)	ES-SCLC	60.2	5.2*	12.3*

Pemb, Pembrolizumab; CT, Platinum-based chemotherapy; CaP, Carboplatin + Pemetrexed; CP, Cisplatin or Carboplatin + Pemetrexed; CaT, Carboplatin + Paclitaxel or albumin-bound Paclitaxel; A, Atezolizumab; BCP, Bevacizumab + Carboplatin + Paclitaxel; CE, Carboplatin + Etoposide; E/A, EGFR/ALK..

<sup>1</sup>Reck M et al. N Engl J Med 2016; 375:1823-33 & J Clin Oncol 2019; 37:537-46. <sup>2</sup>Mok T et al. Lancet 2019; 393:1819-30.

<sup>3</sup>Borghaei H et al. ESMO 2017. <sup>4</sup>Gandhi L et al. N Engl J Med 2018; 378:2078-92 & Gadgeel S et al. ASCO 2019.

<sup>5</sup>Paz-Ares L et al. N Engl J Med 2018; 379:2040-51. <sup>6</sup>Socinski MA et al. N Engl J Med 2018; 378:2288-301.

<sup>7</sup>Horn L et al. N Engl J Med 2018; 379:2220-9.

**\*Denote statistically significant.**

# Evidence to integrate IO in LC Tx.

## Second-Line Treatment or later

Study	Phase	Pt. No.	Pt.	ORR(%)	PFS(m)	OS(m)
CM-017 <sup>1</sup>	III	135 vs. 137 (Nivo vs. Doc)	Sq NSCLC	20*	3.5*	9.2*
CM-057 <sup>2</sup>	III	292 vs. 290 (Nivo vs. Doc)	Non-Sq NSCLC	19*	2.3	12.2*
KN-010 <sup>3</sup>	II/III	345 vs. 343 (Pemb 2mg/kg vs. Doc)	NSCLC PD-L1 ≥ 1%	18*	3.9	10.4*
OAK <sup>4</sup>	III	425 vs. 425 (Atezo vs. Doc)	NSCLC	14	2.8	13.8*
CM-032 <sup>5</sup>	I/II	109 (single arm) (Nivo mono)	ES-SCLC ≥ 2L of Tx.	12	1.4	5.6
KN-158 <sup>6</sup> KN-028 <sup>7</sup>	II Ib	83 (single arm) (Pemb mono)	ES-SCLC ≥ 2L of Tx.	19	-	-

Nivo, Nivolumab; Pemb, Pembrolizumab; Atezo, Atezolizumab; Doc, Docetaxel; \*, **denote statistically significant.**

<sup>1</sup>Brahmer J et al. N Engl J Med 2015;373: 123-35. <sup>2</sup>Borghaei H. et al. N Engl J Med 2015;373:1627-39.

<sup>3</sup>Herbst RS et al. Lancet 2016;387:1540-50.

<sup>4</sup>Rittmeyer A et al. Lancet 2017;389: 255-65.

<sup>5</sup>Ready N et al. J Thorac Oncol 2019; 14:237-44.

<sup>6</sup>Chung HC et al. J Clin Oncol 2018; 36(Suppl; abstr 8506).

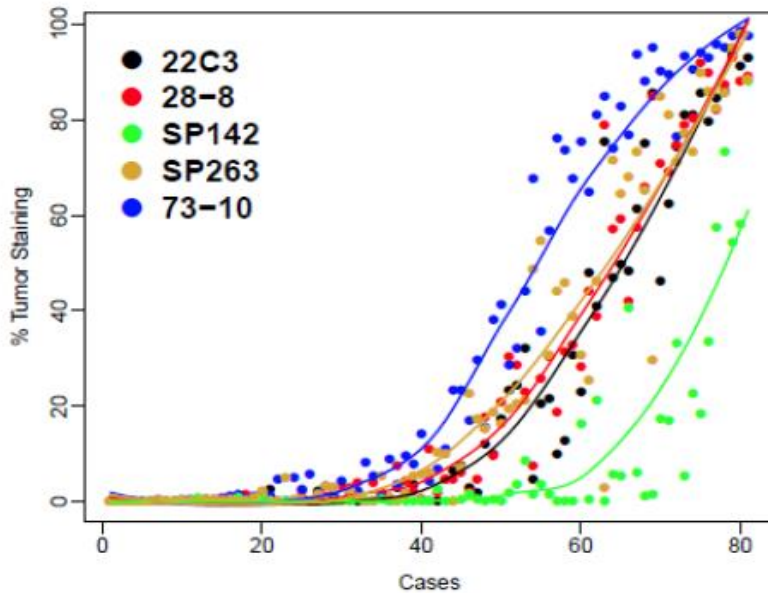
<sup>7</sup>Ott PA et al. J Clin Oncol 2017; 35:3823-9.

# Assays of PD-L1 status

Drug/ Vendor	Nivolumab <b>BMS</b>	Pembrolizumab <b>MSD</b>	Atezolizumab <b>Roche</b>	Durvalumab <b>Astra-Zeneca</b>
Antibody	28-8	22C3	SP142	SP263
IVD partner	Dako	Dako	Ventana	Ventana
Scoring method	% cells with membrane staining at any intensity	% cells with membrane staining at any intensity	TC = tumor cells IC = immune cells Combine % and intensity	% cells with membrane staining at any intensity
Thresholds	1%, 5%, 10%	1%, 50%	TC3 = TC 50% IC3 = IC 10% TC2/IC2 = TC/IC 5% TC1/IC1 = TC/IC 1%	25%
Method	Pathologist/ subjective	Pathologist/ subjective	Pathologist/ subjective	Pathologist/ subjective
Regulatory	Complementary	Companion	Complementary	Complementary

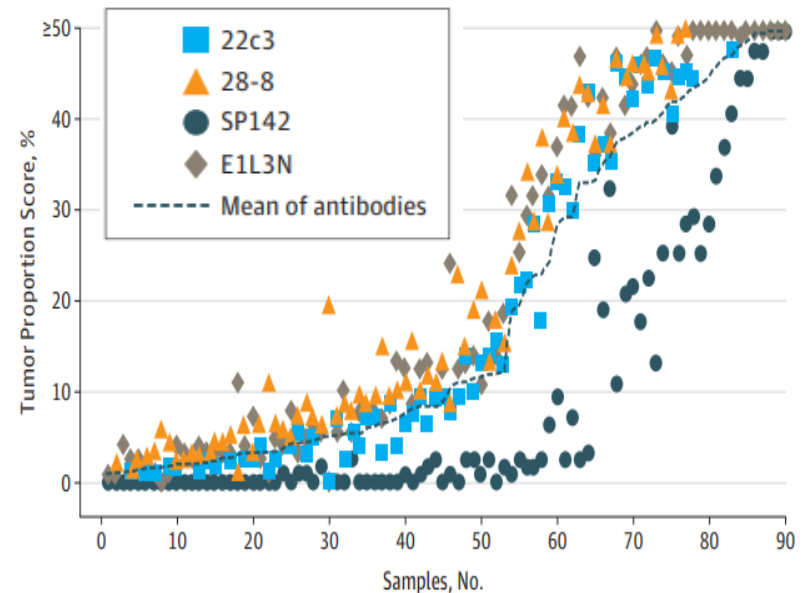


# Evidence of PD-L1 exam/comparability



## Blueprint Phase 2 study

- N = 81 lung tumors
- Larger cohort than BP-1.
- 25 pathologists
- PD-L1 by 22C3, 28-8, and SP263 are comparable



## NCCN PD-L1 expression study

- N = 90 lung tumors
- 13 pathologists
- SP142 assay was an outlier, with a lower mean score of PD-L1 expression.



## **“Real World Condition”**

- **Characteristics of PD-L1**
- **Predictive value of PD-L1**
- **Efficacy of IO**



## “Real World Condition”

- **Characteristics of PD-L1**
- Predictive value of PD-L1
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# PD-L1 expression in Taiwan LC

Author	Journal	Institute	Patients	IHC	Criteria	(+) %	Strong (+) %
Yang CY <sup>1</sup>	Eur J Cancer 2014	NTUH	Stage I ADC (n = 163)	Proteintech	≥ 5%	39.9	N/A
Chang YL <sup>2</sup>	Lung Cancer 2015	NTUH	LELC (n = 66)	Proteintech	≥ 5%	75.8	N/A
Yang CY <sup>3</sup>	Eur J Cancer 2016	NTUH	Stage I SqCC (n = 105)	Proteintech	≥ 5%	56.2	N/A
Chang YL <sup>4</sup>	Eur J Cancer 2016	NTUH	PPC (n = 122)	Proteintech	≥ 5%	70.5	N/A
Chang YL <sup>5</sup>	Oncotarget 2017	NTUH	SCLC (n = 186)	Proteintech	≥ 5%	78.0	N/A
Tseng JS <sup>6</sup>	J Immunother 2018	TCVGH	NSCLC (n = 211)	SP263 22C3	≥ 1% ≥ 50%	27.0 47.4	12.8 12.8
Lin SY <sup>7</sup>	J Cancer 2018	NTUH	Stage IIIB/IV NSCLC (n = 43)	22C3	≥ 1% ≥ 50%	76.7	39.5
Hsu JC <sup>8</sup>	PLoS One 2018	NCKUH	Stage IIIB/IV NSCLC (n = 24)	N/A	≥ 1%	16.7	N/A
Hsu KH & Huang YH <sup>9</sup>	Lung Cancer 2019	TCVGH	<i>EGFR</i> -m ADC (n = 123)	22C3	≥ 1% ≥ 50%	30.1	13.0
Kuo CH <sup>10</sup>	Thorac Cancer 2019	CGMH	Stage IIIB/IV NSCLC (n = 119)	22C3	≥ 50%	N/A	26.1

**ADC, adenocarcinoma; LELC, lymphoepithelioma-like carcinoma; SqCC, squamous cell carcinoma, PPC, pleomorphic carcinoma.**

<sup>1</sup>Yang CY et al. Eur J Cancer 2014; 50:1361-9.

<sup>2</sup>Chang YL et al. Lung Cancer 2015; 88:254-9.

<sup>3</sup>Yang CY et al. Eur J Cancer 2016; 57:91-103.

<sup>4</sup>Chang YL et al. Eur J Cancer 2016; 60:125-35.

<sup>5</sup>Chang YL et al. Oncotarget 2017; 8:18021-30.

<sup>6</sup>Tseng JS et al. J Immunother 2018; 41:292-9.

<sup>7</sup>Lin SY et al. J Cancer 2018; 9:1813-20.

<sup>8</sup>Hsu JC et al. PLoS One 2018; 13:e0202725.

<sup>9</sup>Hsu & Huang et al. Lung cancer 2019; 127:37-43.

<sup>10</sup>Kuo HS et al. Thorac Cancer 2019; 10:1158-66.

Patient characteristics	N = 211
Age (yr), median (range)	63 (35-90)
Gender, n (%)	
Male	136 (64.5)
Female	75 (35.5)
Smoking status, n (%)	
Non-smokers	92 (43.6)
Current/former smokers	119 (56.4)
Histology, n (%)	
Adenocarcinoma	156 (73.9)
Non-adenocarcinoma	55 (26.1)
- Squamous cell carcinoma	- 44
- Adenosquamous cell carcinoma	- 4
- Not otherwise specified (NOS)	- 7
Stage, n (%)	
I-IIIa	87 (41.2)
IIIB-IV	124 (58.8)
Actionable driver mutation <sup>a</sup> , n (%)	
Positive <sup>b</sup>	95 (45.0)
Unfound/unknown/ <i>KRAS</i>	116 (55.0)
Treatment history, n (%)	
Treatment-naïve	176 (83.4)
Post-treatment	35 (16.6)
Biopsy location, n (%)	
Primary tumor	143 (67.8)
Metastatic sites	68 (32.2)
Type of specimens, n (%)	
Histology	182 (86.3)
Cytology (cell block)	29 (13.7)

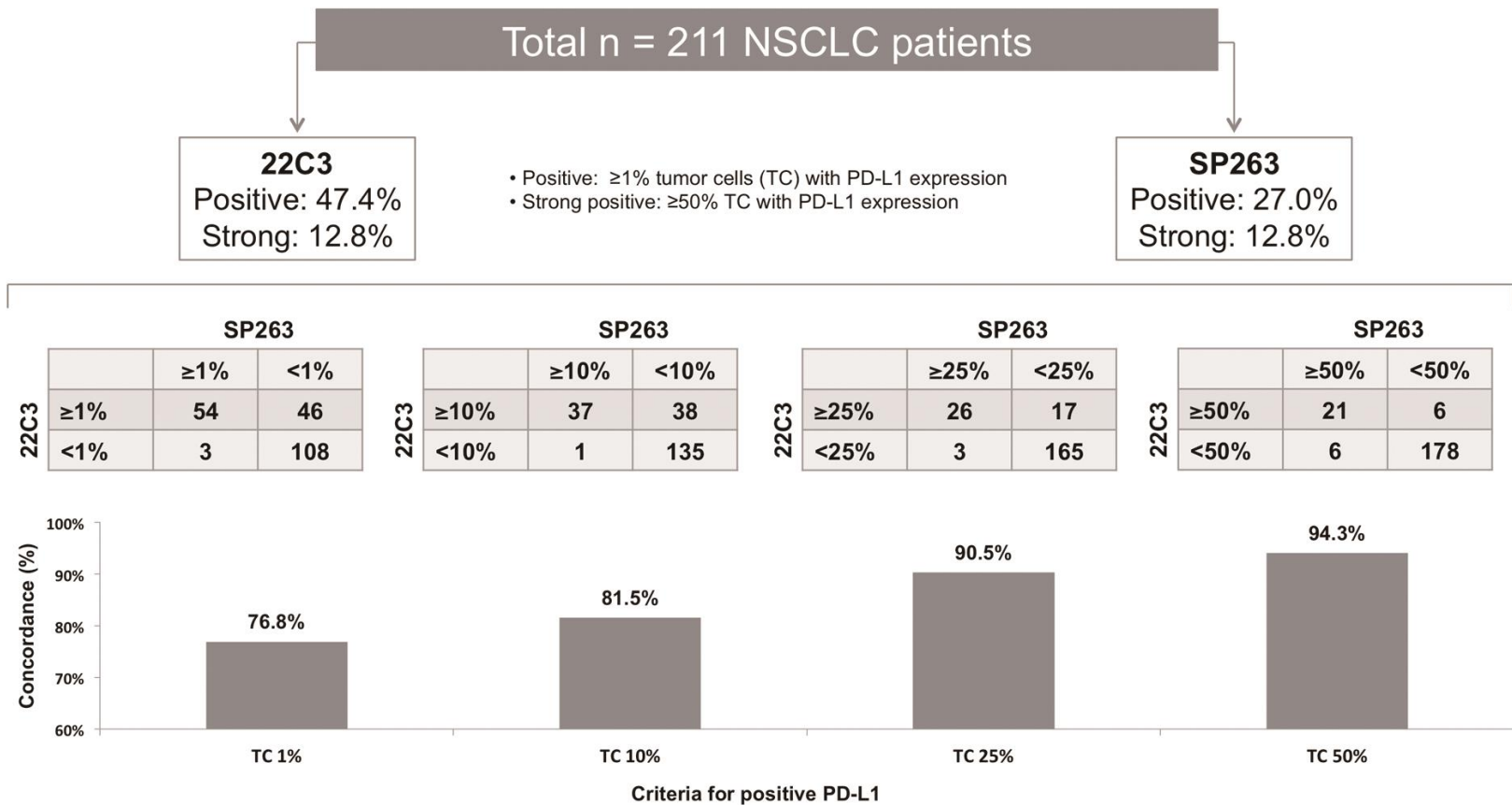
<sup>a</sup>Including *EGFR*, *ALK*, *HER2*, and *BRAF*<sup>V600E</sup> mutation(s).

<sup>b</sup>Including one patient with *EGFR* and *KRAS* co-mutations.

## PD-L1 assay at VGHTC

**Total 211 NSCLC patients enrolled**

# Concordance of 22C3 and SP263



Characteristics	(+) <sup>a</sup> (%)	P value <sup>c</sup>	Strong (+) <sup>b</sup> (%)	P value <sup>c</sup>
Age		0.439		1.000
<65 years	29.3		12.9	
≥65 yrs	24.2		12.6	
Gender		0.196		0.017
Male	30.1		16.9	
Female	21.3		5.3	
Smoking status		0.019		0.001
Non-smokers	18.5		4.3	
C/F smokers	33.6		19.3	
Histology		0.008		0.032
Adenocarcinoma	21.8		9.6	
Non-adenocarcinoma	41.8		21.8	
Stage		0.042		0.037
I-III A	19.5		6.9	
IIIB-IV	32.3		16.9	
Actionable driver mutation <sup>d</sup>		0.020		0.003
Positive <sup>e</sup>	18.9		5.3	
Unfound/unknown/ <i>KRAS</i>	33.6		19.0	
Treatment history		0.302		0.409
Treatment-naïve	25.6		11.9	
Post-treatment	34.3		17.1	
Biopsy location		0.032		0.185
Primary tumor	22.4		10.5	
Metastatic sites	36.8		17.6	
Type of specimens		0.369		0.771
Histology	25.8		12.6	
Cytology	34.5		13.8	

## PD-L1 SP263 and Pt's characteristics

C/F smokers, current/former smokers; ADC.

<sup>a</sup>≥1% tumor cells with PD-L1 expression.

<sup>b</sup>≥50% tumor cells with PD-L1 expression.

<sup>c</sup>By Fisher's exact test.

<sup>d</sup>Including *EGFR*, *ALK*, *HER2*, and *BRAF*<sup>V600E</sup> mutation(s).

<sup>e</sup>Including one patient with *EGFR* and *KRAS* co-mutations.

# Multivariate analysis

## Strong PD-L1 expression

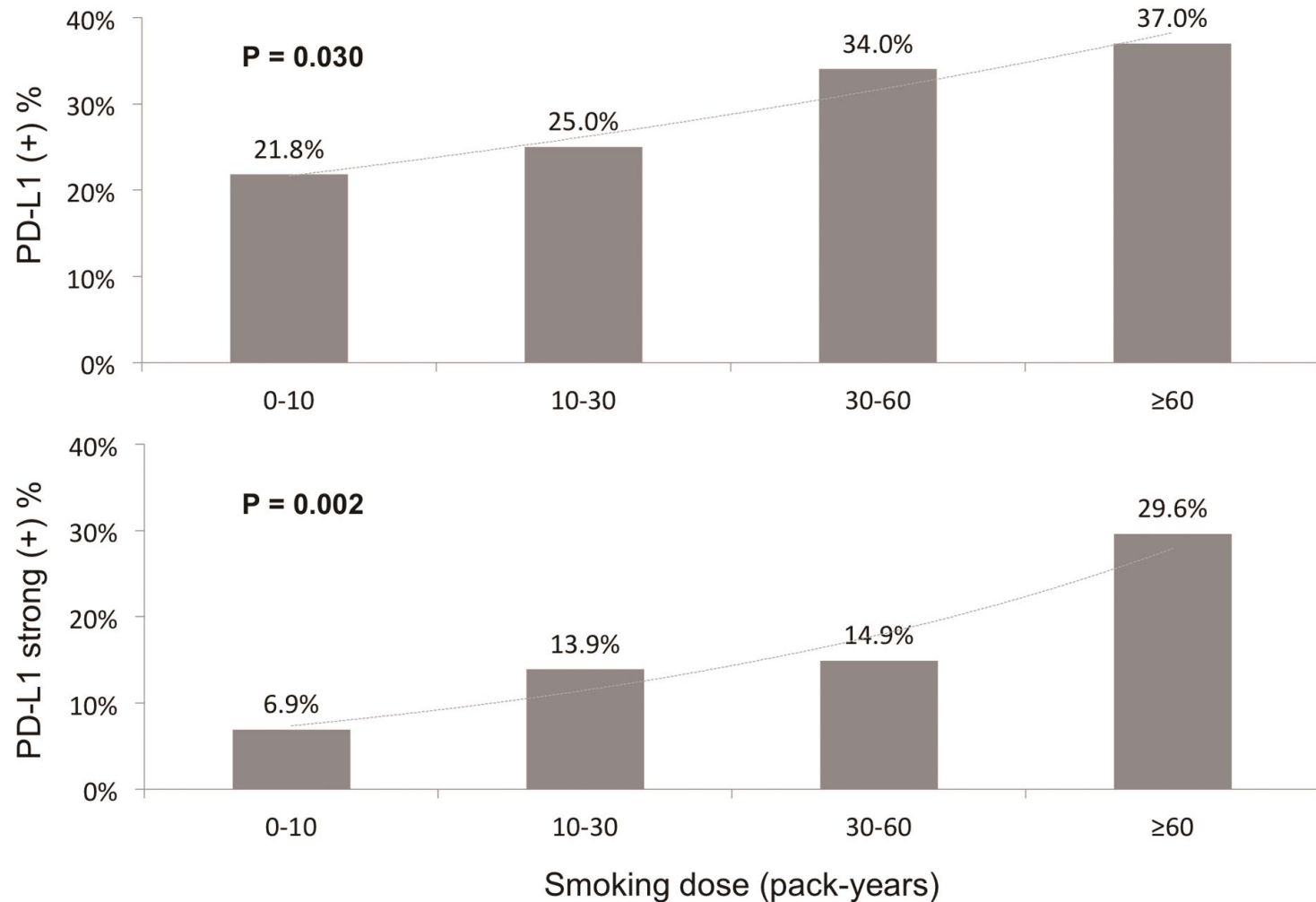
Factor	Adjusted OR	95% CI	P value
<b>Smoking status</b> C/FS vs. NS	5.00	1.60-15.64	0.006
<b>Actionable driver mutation(s)*</b> Unfound/unknown/ <i>KRAS</i> vs. With	3.59	1.25-10.33	0.018
<b>Tumor stage</b> Stage IIIB/IV vs. I-III A	3.83	1.41-10.43	0.009

C/FS, current/former smokers; NS, non-smokers.

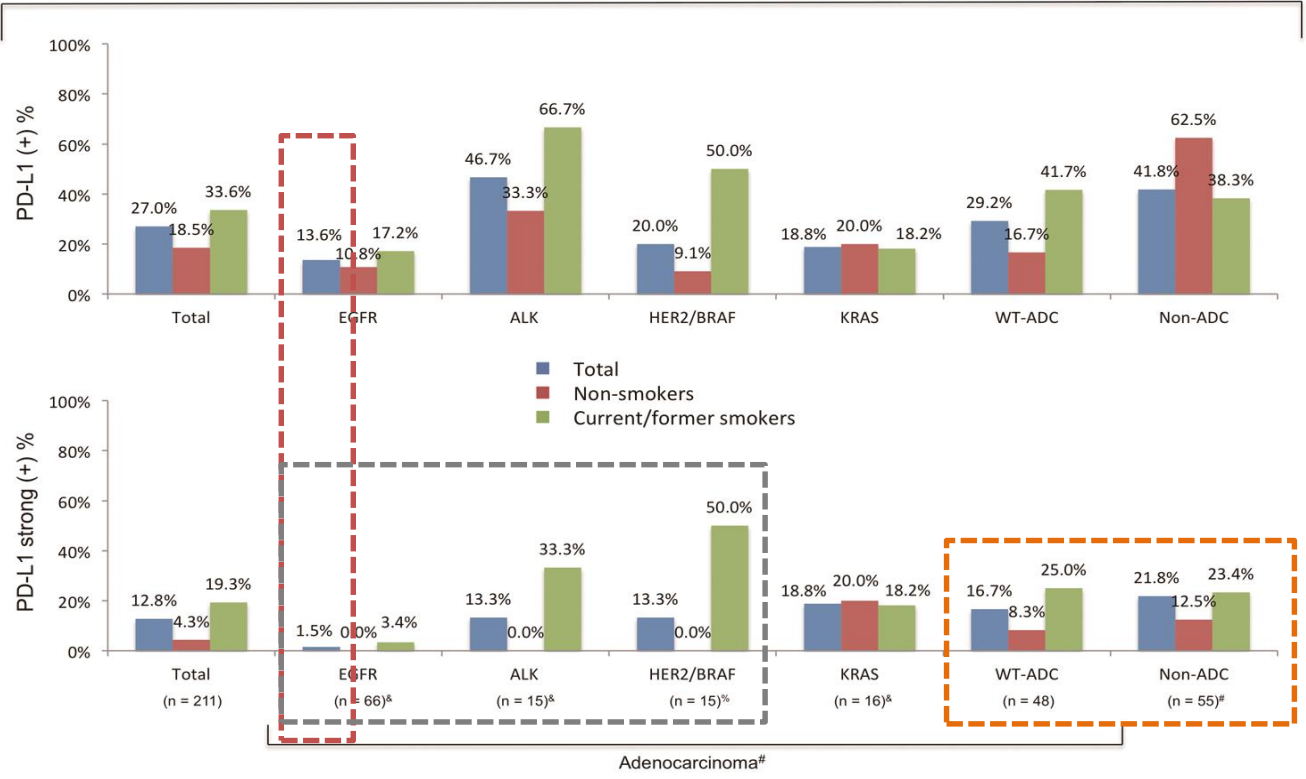
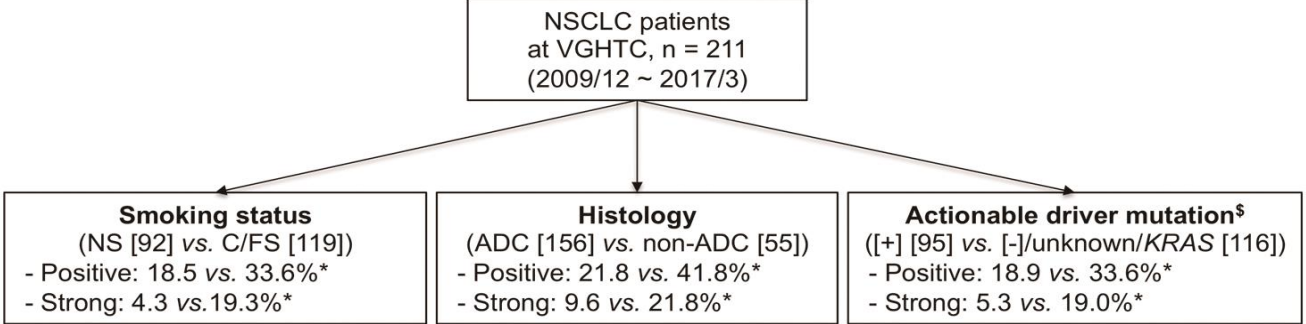
\*Include *EGFR*, *ALK*, *HER2*, and *BRAF*<sup>V600E</sup>.



# Smoking dose and SP263 PD-L1



# Integrated analysis of smoking, histology, driver mutation(s)



PD-L1 status by SP263 IHC ([+]: ≥1% tumor cells with positive staining; strong [+]: ≥50% tumor cells with positive staining).  
 NS, non-smokers; C/Fs, current/former smokers; ADC, adenocarcinoma; WT, wild type.  
<sup>§</sup>Include *EGFR*, *ALK*, *HER2*, and *BRAF* mutation(s).  
<sup>§</sup>Include 1 patients with *EGFR/ALK* co-mutation and 1 patient with *EGFR/KRAS* co-mutation.  
<sup>#</sup>Include 12 *HER2* mutation and 3 *BRAF* mutation; PD-L1 (+)/strong (+) rate were 8.3%/0.0% in *HER2* and 66.7%/66.7% in *BRAF*.  
<sup>#</sup>Include 2 patients with adenosquamous cell carcinoma (1 with *EGFR* mutation and 1 with *KRAS* mutation).  
 \*P value < 0.05.



## “Real World Condition”

- **Characteristics of PD-L1**
- Predictive value of PD-L1
- Efficacy of IO

- Current data were NOT yet enough to illustrate the whole picture of PD-L1 expression in Taiwan lung cancer patients.
- Higher criteria of PD-L1 positivity was associated with a higher concordance rate between 22C3 and SP263 assays.
- Driver mutation(s), smoking status (dose), tumor stage, and possible histology are associated with PD-L1 expression.
- Roughly, 15-20% of wild type ADC patients and 20-25% non-ADC patients had strong PD-L1 expression.



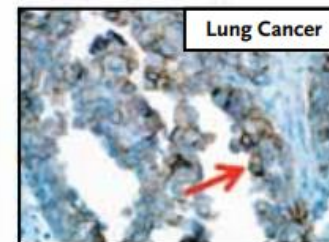
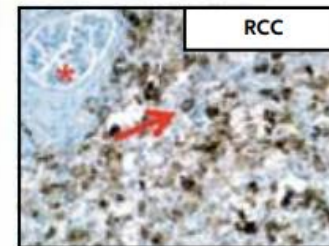
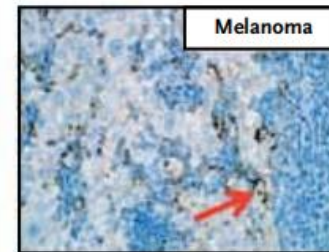
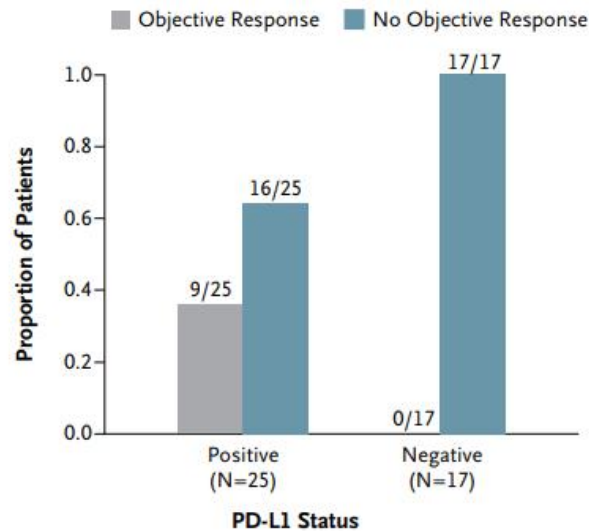
## “Real World Condition”

- Characteristics of PD-L1
- **Predictive value of PD-L1**
- Efficacy of IO

# Is PD-L1 a useful biomarker for IO?

## Safety, Activity, and Immune Correlates of Anti-PD-1 Antibody in Cancer

Suzanne L. Topalian, M.D., F. Stephen Hodi, M.D., Julie R. Brahmer, M.D., Scott N. Gettinger, M.D.,



### Association between Pretreatment Tumor PD-L1 Expression and Clinical Response

Response Status	PD-L1-Positive	PD-L1-Negative number (percent)	Total
Objective response	9 (36)	0	9 (21)
No objective response	16 (64)	17 (100)	33 (79)
All	25	17	42

P=0.006 for association by Fisher's exact test

# Effectiveness and safety of immune checkpoint inhibitors: A retrospective study in Taiwan

Jason C. Hsu<sup>1\*</sup>, Jia-Yu Lin<sup>2</sup>, May-Ying Hsu<sup>2</sup>, Peng-Chan Lin<sup>3</sup>

- NCKUH cohort
- N = 50; of them, 24 patients (48%) were NSCLC.
- Median PFS and OS were 4.9 months and 13 months, respectively.

Table 4. Effectiveness of immuno-therapies.

Cancer Type		n	Overall Survival (Months)			Progression Free Survival (Months)		
			Mean	Median	Log Rank p-value	Mean	Median	Log Rank p-value
Overall Cancer Types		50	23.37	Didn't reach		15.00	4.90	
	Non-Small Cell Lung Cancer (NSCLC)	24	11.73	13.00		9.17	4.90	
	Gender				0.801			0.672
	Male	11	11.97	Didn't reach		10.13	11.53	
	Female	13	9.70	13.00		7.13	4.43	
	Age				0.175			0.416
	≥65	10	14.07	Didn't reach		10.67	5.37	
	<65	14	8.50	11.53		6.27	4.43	
	Histological subtype				0.010			0.272
	squamous cell	2	1.00	0.63		1.37	1.37	
	non-squamous cell	22	12.70	Didn't reach		9.47	4.90	
	Stage				0.794			0.451
	IV	20	12.07	Didn't reach		9.77	5.37	
	III	4	7.10	2.23		4.57	2.03	
	EGFR mutation				0.969			0.949
	mutation	7	12.13	11.53		9.90	11.53	
	non mutation	17	9.67	13.00		7.30	4.90	
	PD-L1				0.378			0.186
	positive	4	13.00	13.00		10.40	Didn't reach	
	negative	20	10.80	11.53		8.03	2.60	
	Hepatitis B virus				0.453			0.559
	carriers	4	11.53	11.53		9.03	11.53	
	non-carriers	20	10.90	13.00		8.50	4.43	
	Timing of treatment				0.673			0.446
	first line treatment	3	9.43	Didn't reach		9.43	Didn't reach	
	second or third line treatment	21	11.50	13.00		8.67	4.90	

## Research Paper

# Tumor PD-L1 Expression and Clinical Outcomes in Advanced-stage Non-Small Cell Lung Cancer Patients Treated with Nivolumab or Pembrolizumab: Real-World Data in Taiwan

Characteristic	n	
Age, median (range)	62.1	34.1-86.7
Male, %	43	58.1%
Stage IIIB/IV	2/72	
Smokers, %	31/71	52.7%
Histology, %		
Adenocarcinoma	48	64.9%
Squamous cell carcinoma	14	18.9%
Pleomorphic carcinoma	4	5.4%
Lymphoepithelioma-like carcinoma	6	8.1%
Poorly differentiated carcinoma	2	2.7%
ECOG $\geq 2$ before anti-PD-1 treatment	36	48.6%
Radiotherapy before anti-PD-1 treatment	47	63.5%
Nivolumab/Pembrolizumab	24/50	
Anti-PD-1 as $\geq 3L$ treatment	51	68.9%
Previous lines of treatment, median (range)	3	0-10
Brain metastasis, %	33	44.6%
EGFR mutation, %	25/61	41%
KRAS mutation, %	10/40	25%
PD-L1 status, %		
$\geq 50\%$	17/43	39.5%
1-50%	16/43	37.2%
$<1\%$	10/43	23.3%

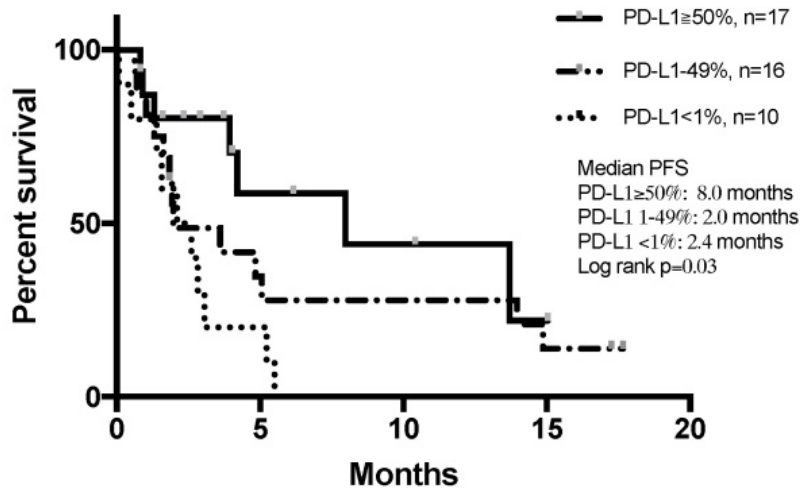
- Nivolumab/Pembrolizumab = 24/50
- ECOG PS  $\geq 2$ : 48.6%
- IO as  $\geq 3L$  treatment: 68.9%
- Brain metastasis: 44.6%
- ORR: 32% in 47 evaluable patients
- PFS: 1.8m (1yr PFS rate 14%)
- OS: 7.9m (1yr OS rate 46%)

Abbreviations: 3L: third line. ECOG: Eastern Cooperative Oncology Group performance status. EGFR: epidermal growth factor receptor. KRAS: Kirsten rat sarcoma virus oncogene homolog.

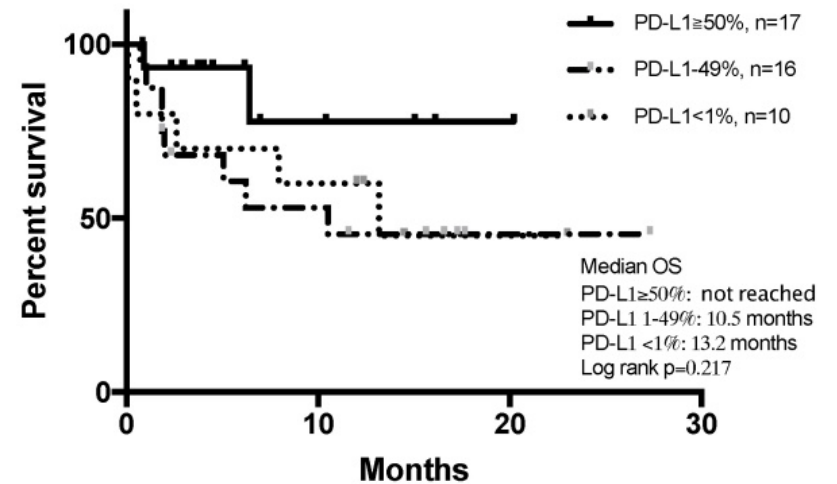
# PD-L1 as a predictor of IO - NTUH

	ORR (%)	P value
PD-L1 < 1%	25.0%	0.195
PD-L1 1-49%	28.6%	
PD-L1 ≥ 50%	<b>46.7%</b>	

E: Progression free survival stratified by PD-L1



F: Overall survival stratified by PD-L1





Patient characteristics	N = 34
Age (yr), median (range)	57 (45-89)
Gender, n (%)	
Male	24 (70.6)
Female	10 (29.4)
Smoking status, n (%)	
Non-smokers	16 (47.1)
Current/former smokers	18 (52.9)
Histology, n (%)	
Adenocarcinoma	24 (70.6)
Non-adenocarcinoma	10 (29.4)
- Squamous cell carcinoma	- 7
- Adenosquamous cell carcinoma	- 2
- Not otherwise specified (NOS)	- 1
Stage, n (%)	
IIIB-IV(M1A)	10 (29.4)
IV(M1B)	24 (70.6)
ECOG PS	
0-1	17 (50.0)
2	9 (26.5)
3-4	8 (23.5)
Actionable driver mutation, n (%)	
Positive <sup>a</sup>	5 (14.7)
Unfound/unknown/ <i>KRAS</i> <sup>b</sup>	29 (85.3)
Treatment history, n (%)	
<3 regimens	15 (44.1)
≥3 regimens	19 (55.9)

ECOG PS, Eastern Cooperative Oncology Group performance status.

<sup>a</sup>Including 3 with *EGFR* mutation, 1 with *EGFR-ALK* co-mutations, and 1 with *HER2* mutation.

<sup>b</sup>Including 4 with *KRAS* mutation.

## IO Efficacy and PD-L1 (n = 34)

- IO: Nivolumab=8 and Pembrolizumab=26
- Monotherapy=23 and Combined Tx.=11
- Thoracic R/T: without=27, with=7
- PD-L1 status (SP263):
  - ≥1%: **14 (41.2%) positive**
  - ≥50%: **6 (17.6%) positive**

# Efficacy of IO

Outcome	Result
Objective response rate	15.2%
Disease control rate	33.3%
Progression-free survival	1.8 (95% CI 1.5-2.1) months

## Strong PD-L1 expression as predictive factor of IO

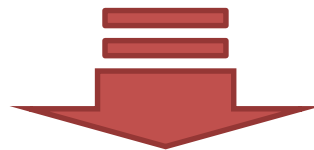
Outcome	PD-L1 High	PD-L1 (-)/low	P value
ORR	66.7%	3.7%	0.002
DCR	83.3%	22.2%	0.010
PFS	7.2m (2.0-12.5)	1.6m (1.2-2.1)	0.008
	aHR 0.15 (95% CI 0.03-0.71)		0.017

- PD-L1 positivity (1%) did not correlate with ORR and PFS (P = 0.138 and 0.247, respectively).
- ECOG PS correlated with PFS and OS, too (aHR 0.25 [95% CI 0.10-0.63], P = 0.003 and aHR 0.07 [95% CI 0.02-0.28], P < 0.001).

# PD-L1 status by 22C3 and SP263

PD-L1 assay	Strong PD-L1, n	(%)
SP263	6	17.6
22C3	7	20.6
Either assay(s)	9	26.5

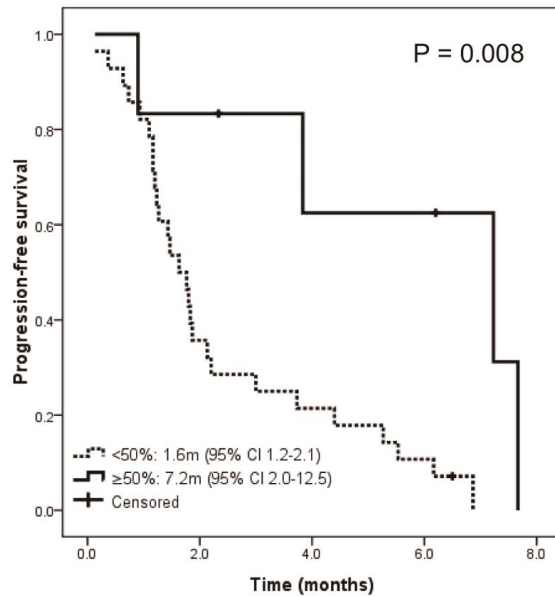
\*Total 7 patients with discordant PD-L1 results by 22C3 and SP263 assays.



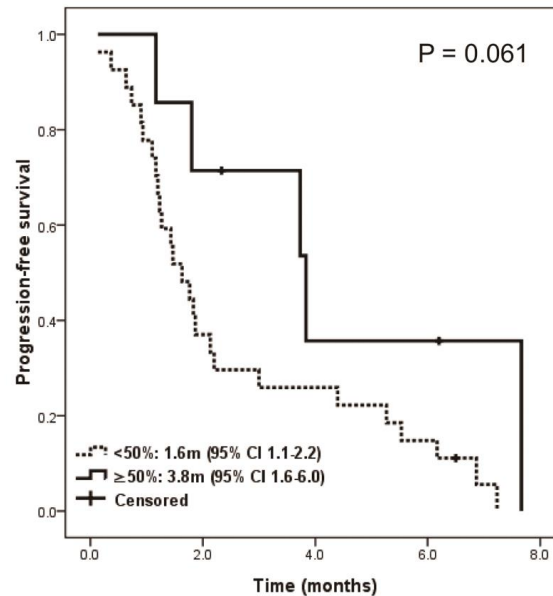
**Outcome ???**

# PFS and various PD-L1 assays

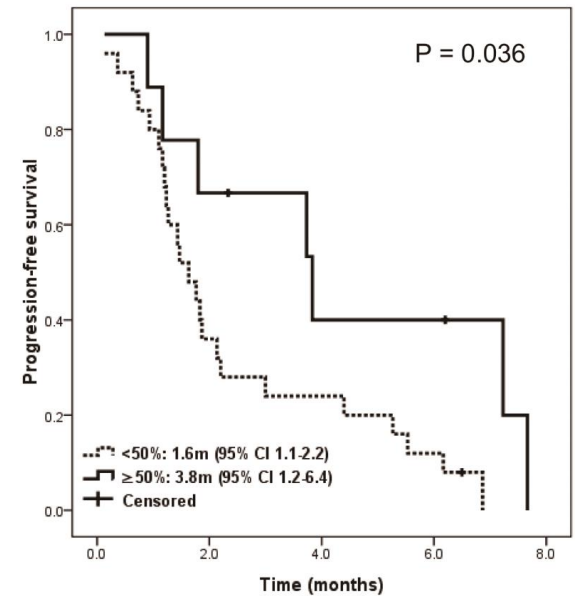
A. SP263



B. 22C3

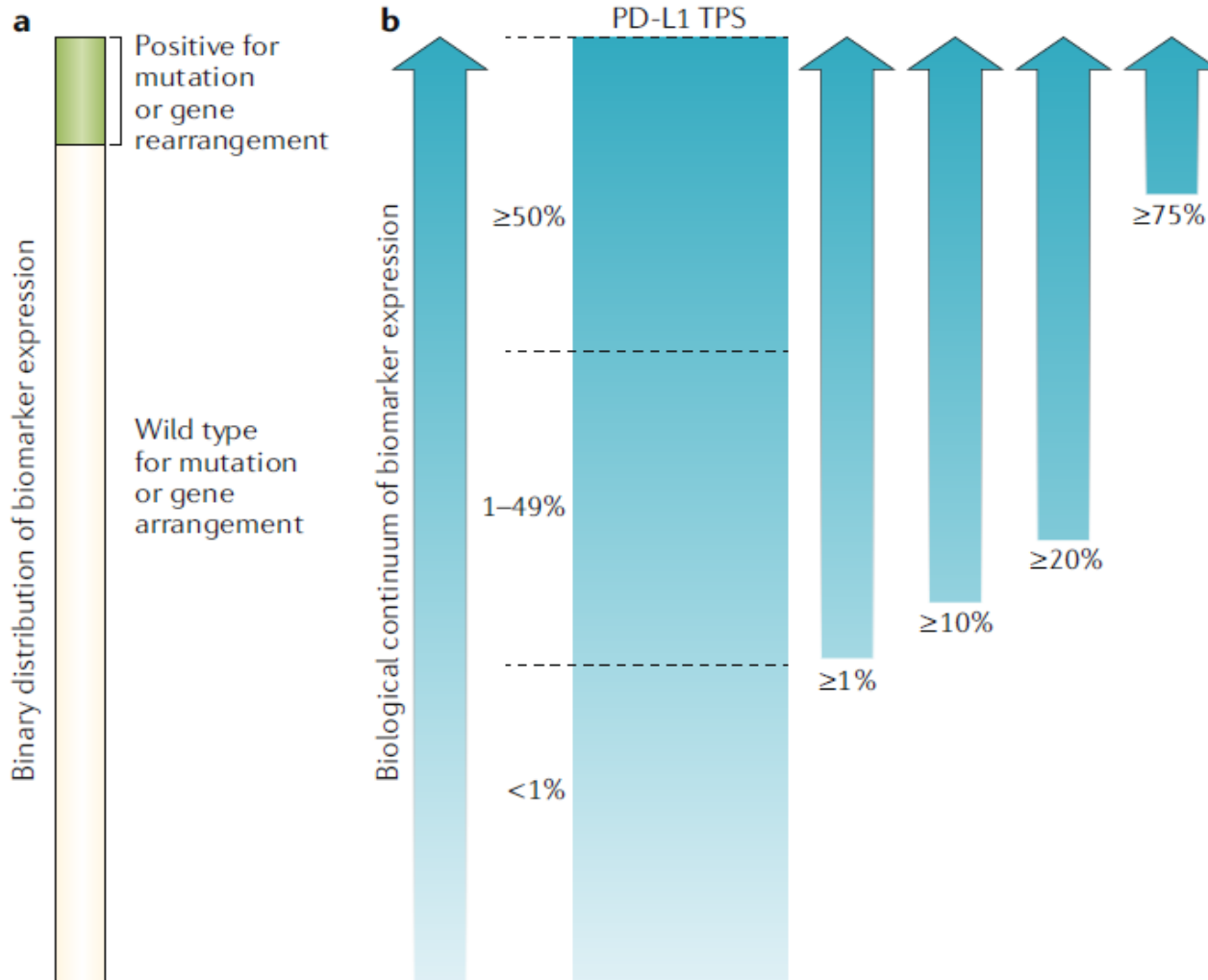


C. Either 22C3 or SP263



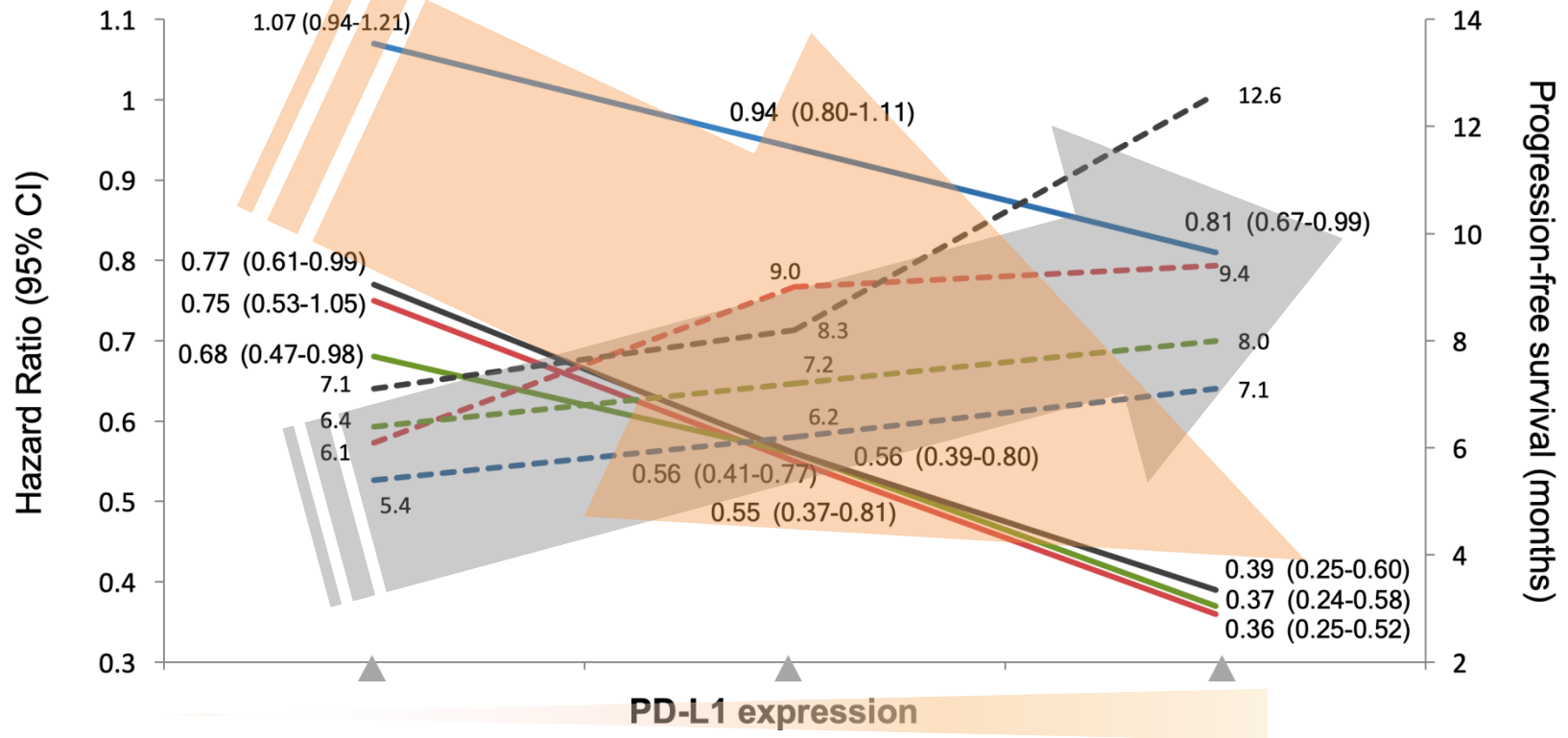
PD-L1 status by 22C3 and SP263 assay with  $\geq 50\%$  as positivity criteria.  
N = 34 lung cancer patients receiving PD-1/PD-L1 inhibitors.  
P value by log-rank test.

# PD-L1 as a biomarker ?



# PD-L1 as a predictor of IO

## First-line studies



Study	Patients	Patient No.	Treatment	PD-L1 cutoff	Mark (HR / PFS)
KN-042 <sup>1</sup>	NSCLC (TPS≥1%)	1274	Pembrolizumab vs. CT	TPS ≥ 1, 20, 50	— — — —
KN-189 <sup>2</sup>	Non-SqCC	616	Platinum/Pemetrexed ± Pembrolizumab	TPS <1, 1-49, ≥50	— — — —
KN-407 <sup>3</sup>	SqCC	559	Carboplatin/Paclitaxel ± Pembrolizumab	TPS <1, 1-49, ≥50	— — — —
IMP-150 <sup>4</sup>	Non-SqCC	692	Carbo/Paclitaxel/Bev ± Atezolizumab	TC/IC 0, 1/2, 3	— — — —

<sup>1</sup>Lopes G et al. ASCO 2018.

<sup>2</sup>Gandhi L et al. N Engl J Med 2018; 378:2078-92.

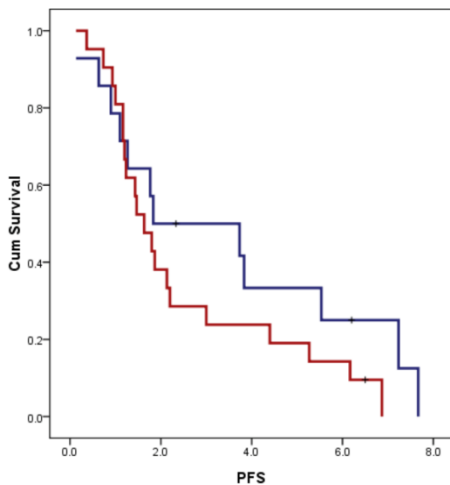
<sup>3</sup>Paz-Ares L et al. N Engl J Med 2018; 379:2040-51.

<sup>4</sup>Socinski MA et al. N Engl J Med 2018; 378:2288-301.

# PFS and various PD-L1 criteria

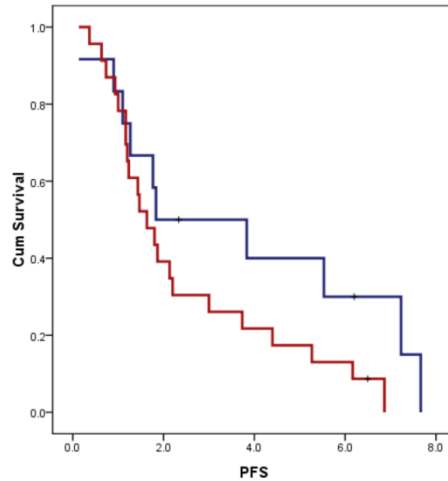
-- Positive -- Negative

≥1% or not



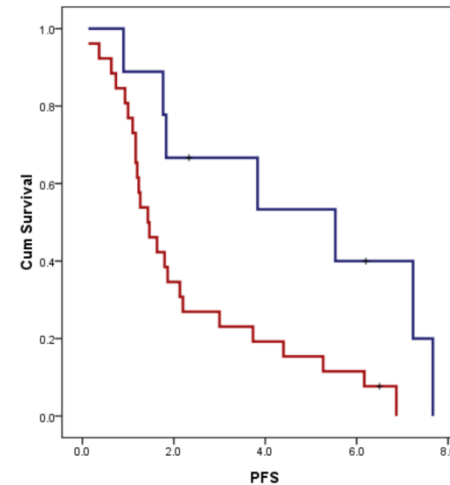
P = 0.210

≥10% or not



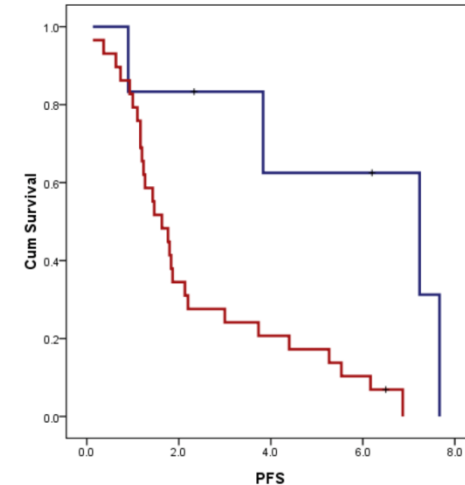
P = 0.118

≥25% or not



P = 0.014

≥50% or not



P = 0.008

PD-L1 status by SP263 assay.

N = 34 lung cancer patients receiving PD-1/PD-L1 inhibitors.

P value by log-rank test.

# Higher PD-L1, better IO efficacy

- Dana-Farber Cancer Institute, Memorial Sloan Kettering Cancer Center, and Massachusetts General Hospital (n = 172).
- NSCLC and a PD-L1 tumor proportion score (TPS)  $\geq 50\%$ .
- Pembrolizumab as first line therapy.
- ORR 33.9%, PFS 4.8 months, OS 20.6 months.

## PD-L1 expression

### 75% as cutoff

PD-L1	50-74%	75-100%	P value
ORR (%)	20.6	45.2	0.001
PFS (m)	2.5	5.3	0.008
OS (m)	20.6	33.6	0.056

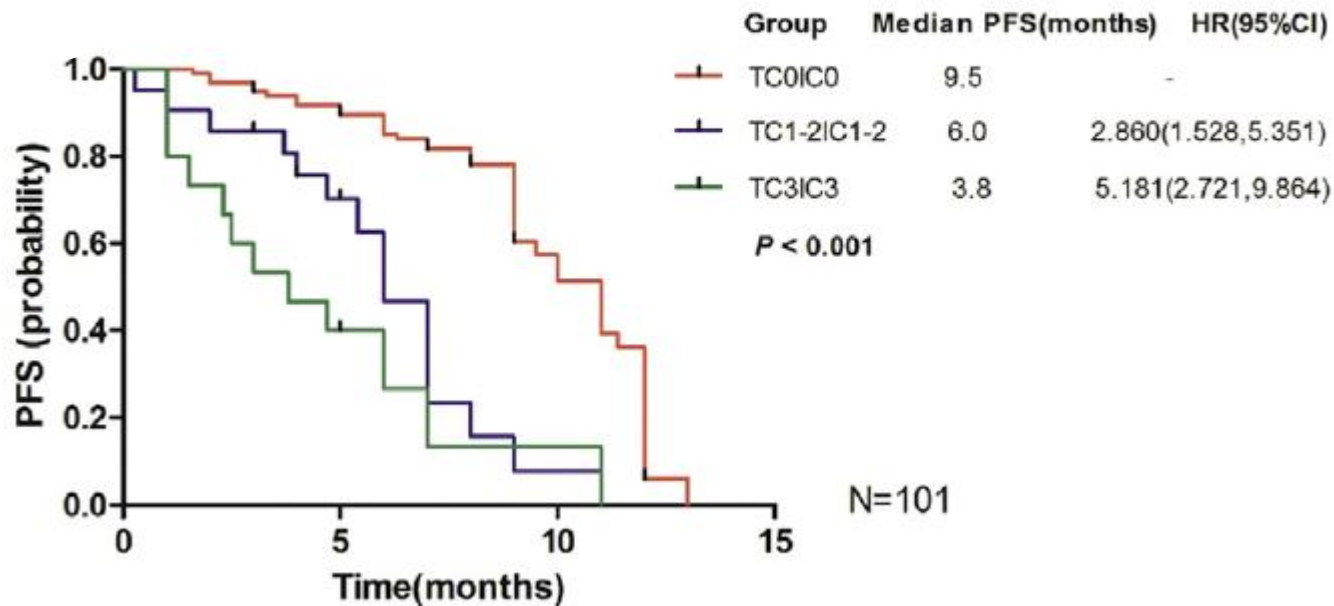
### 90% as cutoff

PD-L1	50-89%	90-100%	P value
ORR (%)	24.2	50.7	<0.001
PFS (m)	2.8	6.4	<0.001
OS (m)	18.0	33.6	0.008



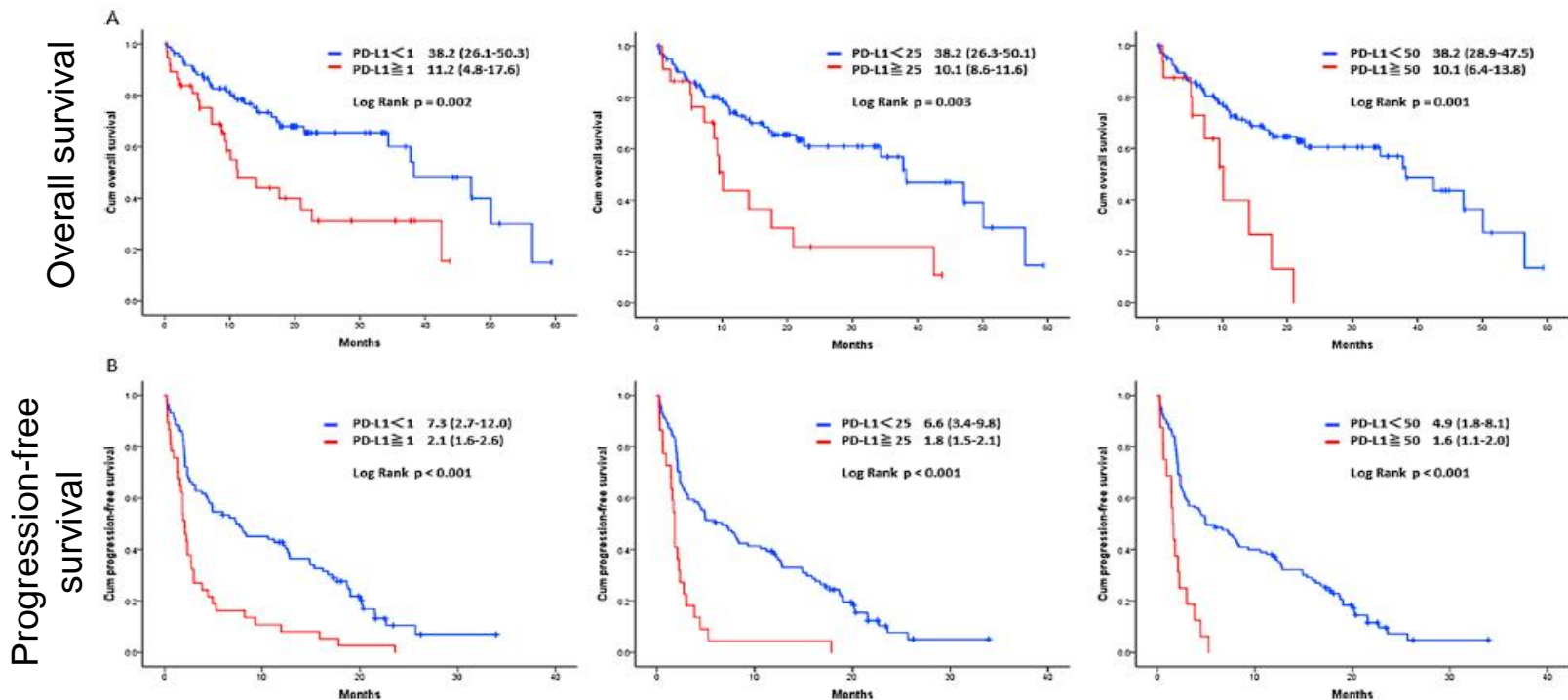
# Predictive role of PD-L1 status in *EGFR*-m patients

	TC3/IC3	TC1-2/IC1-2	TC0/IC0	P value
Patient No.	14	18	52	
ORR (%)	35.7	63.2	67.3	0.001



# Predictive role of PD-L1 status in *EGFR*-m patients

	Primary resistance	Disease control	P value
PD-L1 $\geq$ 1%, n (%)	30 (45.5)	7 (12.3)	< 0.001
PD-L1 $\geq$ 25%, n (%)	20 (30.3)	2 (3.5)	< 0.001
PD-L1 $\geq$ 50%, n (%)	15 (22.7)	1 (1.8)	0.001



N = 66 primary resistance group and 57 disease control group  
 PD-L1 by SP263.



## “Real World Condition”

- Characteristics of PD-L1
- **Predictive value of PD-L1**
- Efficacy of IO

- PD-L1 expression (especially  $\geq 50\%$ ) remains an important predictor of immunotherapy efficacy.
- Since the concordance rate between 22C3 and SP263 is high at “50%” cutoff, both IHC assays could well predict the outcome of IO.
- Because of the biological continuum of PD-L1 expression, the higher PD-expression seems to associate with better outcome. IDEAL cutoff ??
- In *EGFR*-mutant population, high PD-L1 expression predicts poor response to EGFR-TKI.



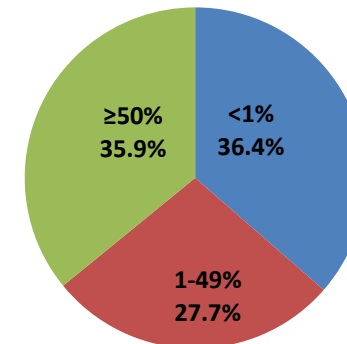
## “Real World Condition”

- Characteristics of PD-L1
- Predictive value of PD-L1
- **Efficacy of IO: subgroups !?**

Characteristics	N = 270
Age (years), median (range)	60 (27-90)
Gender, n (%)	
Male	175 (64.8)
Female	95 (35.2)
Smoking status, n (%)	
Never-smokers	129 (47.8)
Former and current -smokers	141 (52.2)
Cell type, n (%)	
Adenocarcinoma	184 (68.1)
Squamous cell carcinoma	62 (23.0)
Others	24 (8.9)
PD-L1 status, n (%)	
Unknown	75 (27.8)
<1%	71 (26.3)
1-49%	54 (20.0)
≥50%	70 (25.9)
Diver mutation	
Wild type	198 (73.3)
Targetable*	51 (18.9)
Non-targetable	21 (7.8)
Medication	
Pembrolizumab	175 (64.8)
Nivolumab	49 (18.1)
Atezolizumab	46 (17.1)
Best response of immunotherapy, n (%)	
Progressive disease	154 (57.0)
Stable disease	62 (23.0)
Partial response	54 (20.0)
Combination, n (%)	
No	152 (56.3)
Yes	118 (43.7)
Treatment line, n (%)	
First line	66 (24.4)
≥ secondary line	204 (75.6)

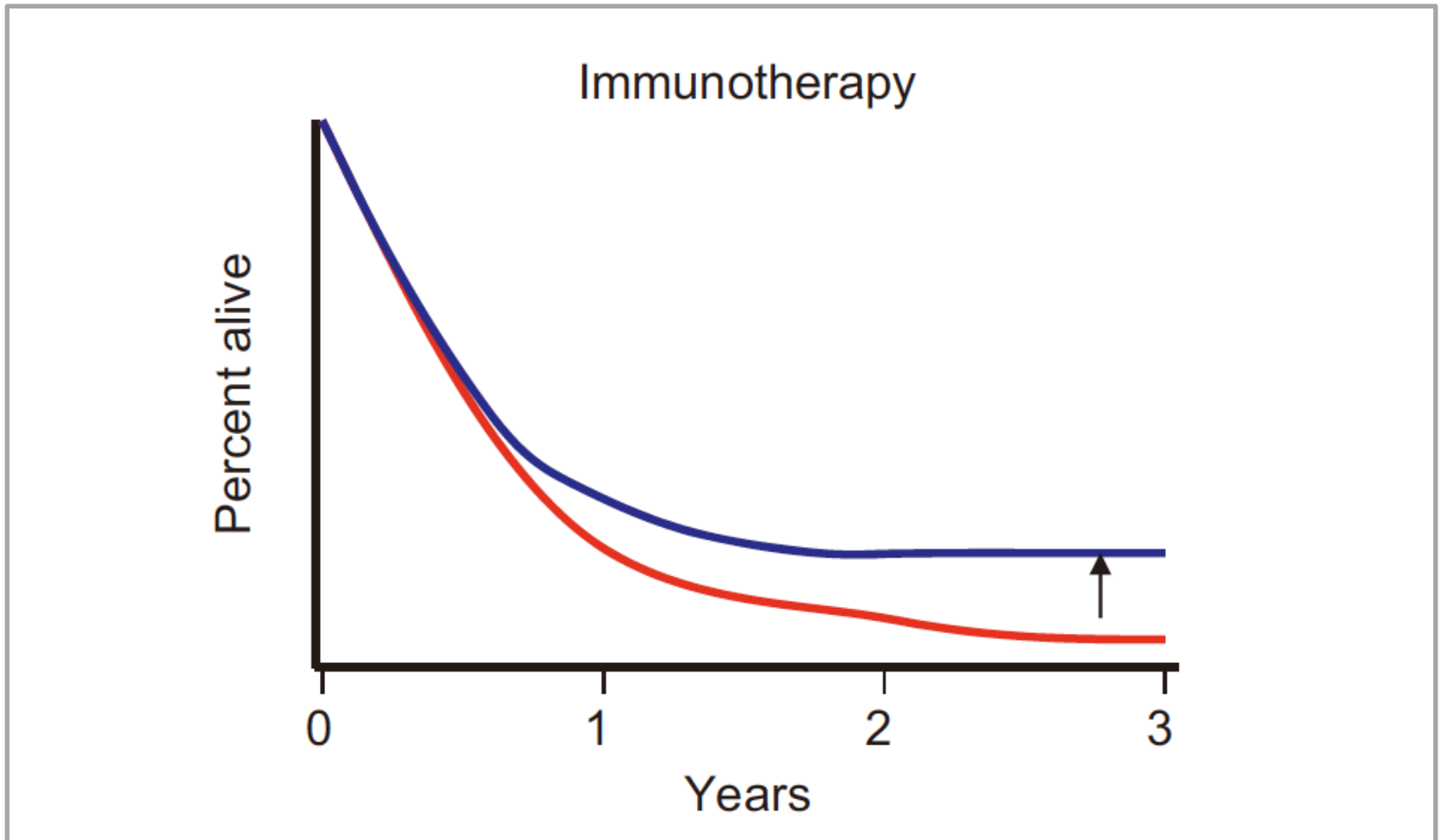


- Patients: advanced stage NSCLC
- Source: CGMH and TCVGH
- N = 270
- ECOG PS
  - 0-1 = 166 (61.5%)
  - 2 = 50 (18.5%)
  - 3-4 = 54 (20.0%)
- PD-L1 IHC: 22C3 or SP263
- PD-L1 status available: 195 (72.2%)



# IO: a dream to cure cancer

Seven years have passed ....?!



# Pure 1L, no driver mutation

The expected efficacy?

Study	Phase	Pt. No.	Pt.	ORR(%)	PFS(m)	OS(m)
KN-024 <sup>1</sup>	III	154 vs. 151 (Pemb vs. CT)	PD-L1 ≥ 50% E/A Wild type	44.8*	10.3*	30.0*
KN-042 <sup>2</sup>	III	637 vs. 637 (Pemb vs. CT)	PD-L1 ≥ 1% E/A Wild type	27.3	5.4	16.7*
KN-021G <sup>3</sup>	II	60 vs. 63 (Pemb/CaP vs. CaP)	Non-Sq NSCLC E/A Wild type	<del>56.7*</del>	<del>19.0*</del>	<del>NR</del>
KN-189 <sup>4</sup>	III	410 vs. 206 (Pemb/CP vs. CP)	Non-Sq NSCLC E/A Wild type	47.6*	9.0*	22.0*
KN-407 <sup>5</sup>	III	278 vs. 281 (Pemb/CaT vs. CaT)	Sq NSCLC	57.9*	6.4*	15.9*
IMP-150 <sup>6</sup>	III	356 vs. 336 (A-BCP vs. BCP)	Non-Sq NSCLC E/A Wild type	63.5	8.3*	19.2*
IMP-133 <sup>7</sup>	III	201 vs. 202 (A-CE vs. CE)	ES-SCLC	<del>60.2</del>	<del>5.2*</del>	<del>12.3*</del>

Pemb, Pembrolizumab; CT, Platinum-based chemotherapy; CaP, Carboplatin + Pemetrexed; CP, Cisplatin or Carboplatin + Pemetrexed; CaT, Carboplatin + Paclitaxel or albumin-bound Paclitaxel; A, Atezolizumab; BCP, Bevacizumab + Carboplatin + Paclitaxel; CE, Carboplatin + Etoposide; E/A, EGFR/ALK..

<sup>1</sup>Reck M et al. N Engl J Med 2016; 375:1823-33 & J Clin Oncol 2019; 37:537-46. <sup>2</sup>Mok T et al. Lancet 2019; 393:1819-30.

<sup>3</sup>Borghaei H et al. ESMO 2017. <sup>4</sup>Gandhi L et al. N Engl J Med 2018; 378:2078-92 & Gadgeel S et al. ASCO 2019..

<sup>5</sup>Paz-Ares L et al. N Engl J Med 2018; 379:2040-51. <sup>6</sup>Socinski MA et al. N Engl J Med 2018; 378:2288-301.

<sup>7</sup>Horn L et al. N Engl J Med 2018; 379:2220-9.

**\*Denote statistically significant.**

# Efficacy of IO in real world

**N = 270 NSCLC**

**ORR = 20.0%**

**DCR = 43.0%**

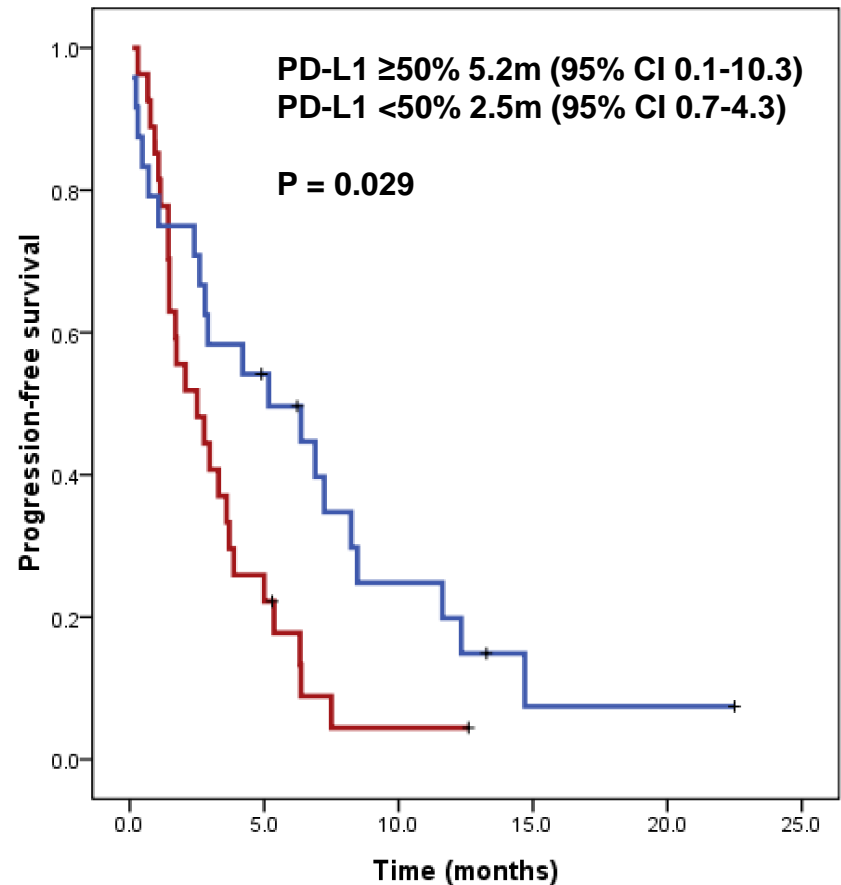
**PFS = 3.0m (95% CI 2.6-3.3)**

**OS = 8.7 m (95% CI 5.7-11.8)**



# Pure 1L, known PD-L1, no driver mutation (n = 51)

- N = 51
- Combo 26 and IO mono 25
- **11 (21.6%) with ECOG PS 3-4**
  
- Pembrolizumab (43)
- Nivolumab (2)
- Atezolizumab (6)
  
- PFS = 3.0m (95% CI 1.9-4.1)
- OS = 16.7m (95% CI 5.7-27.6)
- ORR = 33.3%
- DCR = 52.9%



OS NR vs. 5.4 months, respectively.

# What is “REAL”?

PD-L1  $\geq$  50%

Study	Patients	Patient No.	PFS (m)	OS (m)
KN-024 <sup>1</sup>	Clinical trial Multicenter	154	10.3	30.0
Alguilar EJ <sup>2</sup>	DFCI MSKCC MGH	172	4.8	20.6
Huang/Wang <sup>3*</sup>	TCVGH CGMH	24	5.2	NR

\*First line IO treatment, PD-L1  $\geq$  50%, 21 pembrolizumab and 3 atezolizumab.

<sup>1</sup>Reck M et al. N Engl J Med 2016; 375:1823-33 & J Clin Oncol 2019; 37:537-46.

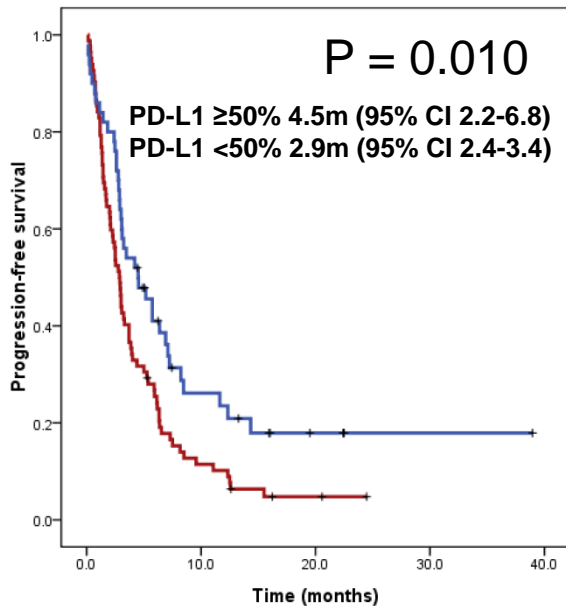
<sup>2</sup>Alguilar EJ et al. J Thorac Oncol 2018; 13:S367-8.

<sup>3</sup>Huang YH and Wang CL et al. TCVGH & CGMH; unpublished data.

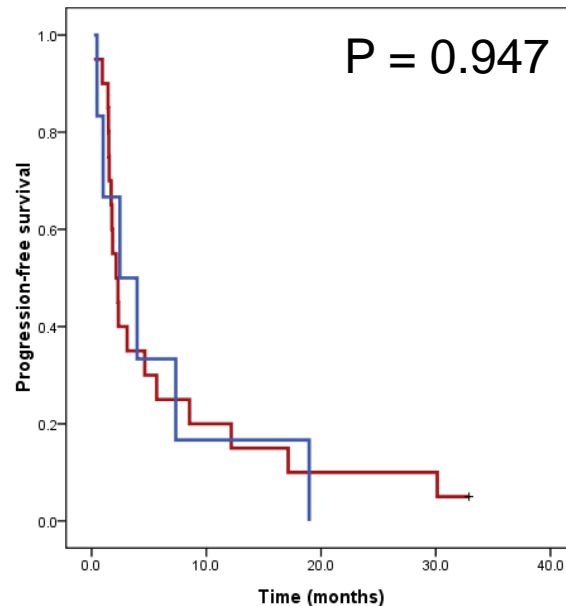
# Predictive role of PD-L1 in individual IO regimen

**N = 195**

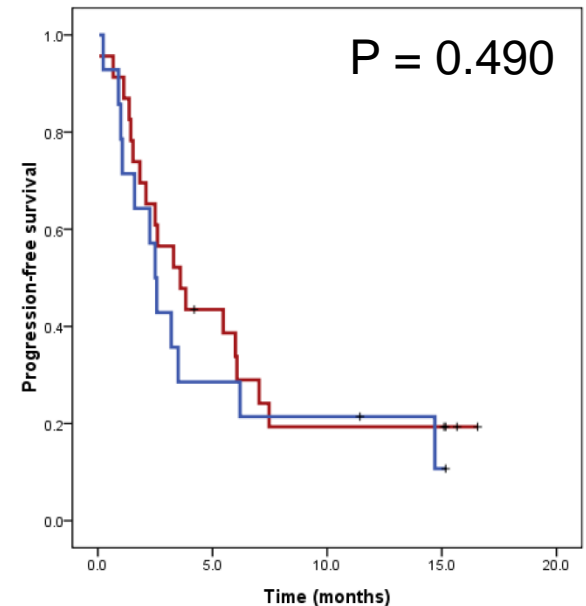
— Positive — Negative



Pembrolizumab  
(n = 132)



Nivolumab  
(n = 26)



Atezolizumab  
(n = 37)

PD-L1 by SP263 or 22C3; OS: non-significant.

# Why ?

- CM-026: Even in PD-L1 strong expression subgroup (PD-L1  $\geq$  50%), nivolumab was not associated with survival benefit.<sup>1</sup>

→ TMB?, other biomarker?

- BP-1, 2, NCCN: High concordance was noted between PD-L1 assays, except “SP142”.<sup>2,3,4</sup>

→ SP142 should be “companion”?

<sup>1</sup>Carbone DP et al. N Engl J Med 2017; 376:2415-26.

<sup>2</sup>Hirsch FR et al. J Throac Oncol 2017; 12:208-22.

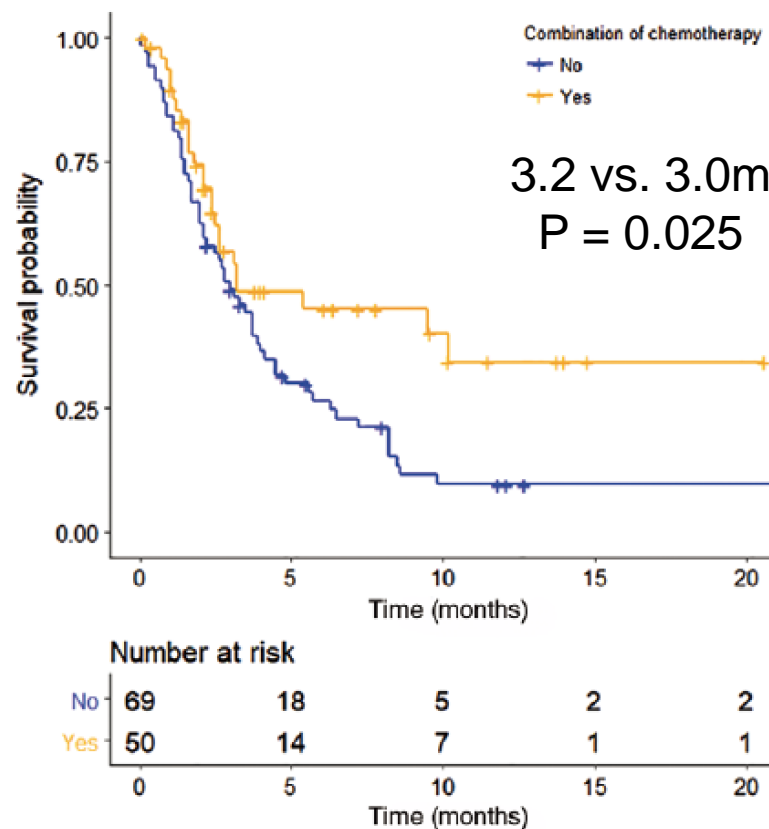
<sup>3</sup>Tsao MS et al. J Throac Oncol 2018; 13:1302-11.

<sup>4</sup>Rimm D et al. JAMA Oncol 2017; 3:1051-8.

# IO: mono or combo

Variable, N (%)	Total (n = 119)
Age, median (range), year	59 (53–65)
Gender (male)	87 (73.1)
Smoker/ex-smoker	73 (61.3)
ECOG PS (0,1)	92 (77.3)
Stage	
III	16 (13.4)
IV	103 (86.6)
Histology	
Adenocarcinoma	76 (63.9)
Squamous cell carcinoma	33 (27.7)
NSCLC-PD	10 (8.4)
<i>EGFR</i> mutation	21 (17.6)
<i>ALK</i> mutation	6 (5.0)
Brain metastasis	25 (21.0)
First-line treatment	36 (30.3)
PD-L1 TPS, median (range)	30 (2–75)
Immunotherapy agent	
Pembrolizumab	53 (44.5)
Non-pembrolizumab	66 (55.5)

More 1<sup>st</sup>-L in combo group  
(52.0 vs. 14.5%,  $P < 0.001$ )



# IO in 1L (PD-L1 $\geq$ 50%)

**Mono or combo ?!**

Study	Patients	IO	PFS	OS
KN-024 <sup>1</sup>	NSCLC	Mono	10.3	30.0
KN-042 <sup>2</sup>	NSCLC	Mono	7.1	20.0
KN-189 <sup>3</sup>	Non-Sq-NSCLC	Combo	9.4	NR
KN-407 <sup>4</sup>	Sq-NSCLC	Combo	8.0	NR

<sup>1</sup>Reck M et al. N Engl J Med 2016; 375:1823-33 & J Clin Oncol 2019; 37:537-46.

<sup>2</sup>Lopes G et al. ASCO 2018.

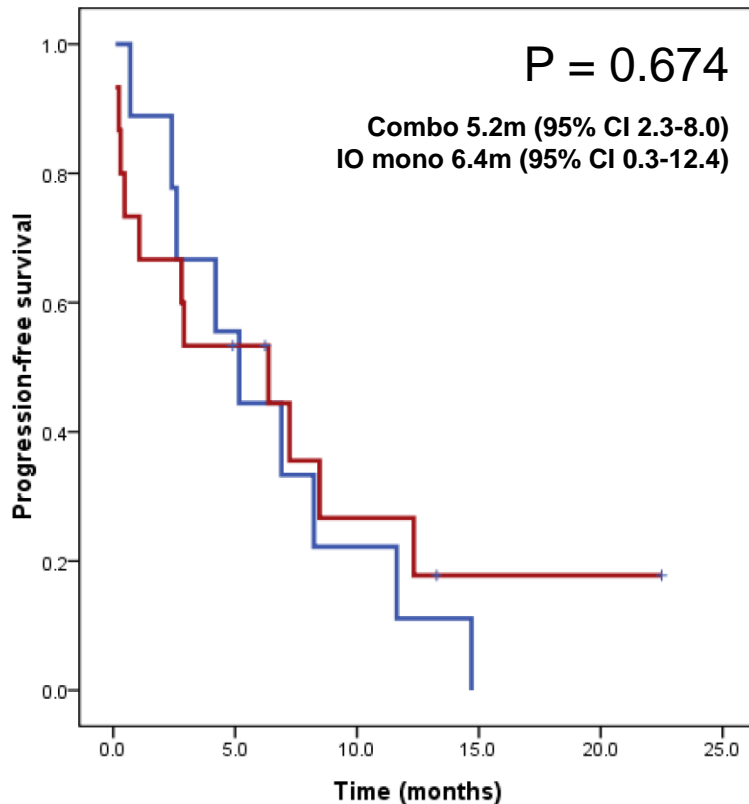
<sup>3</sup>Gandhi L et al. N Engl J Med 2018; 378:2078-92 & Gadgeel S et al. ASCO 2019.

<sup>4</sup>Paz-Ares L et al. N Engl J Med 2018; 379:2040-51.

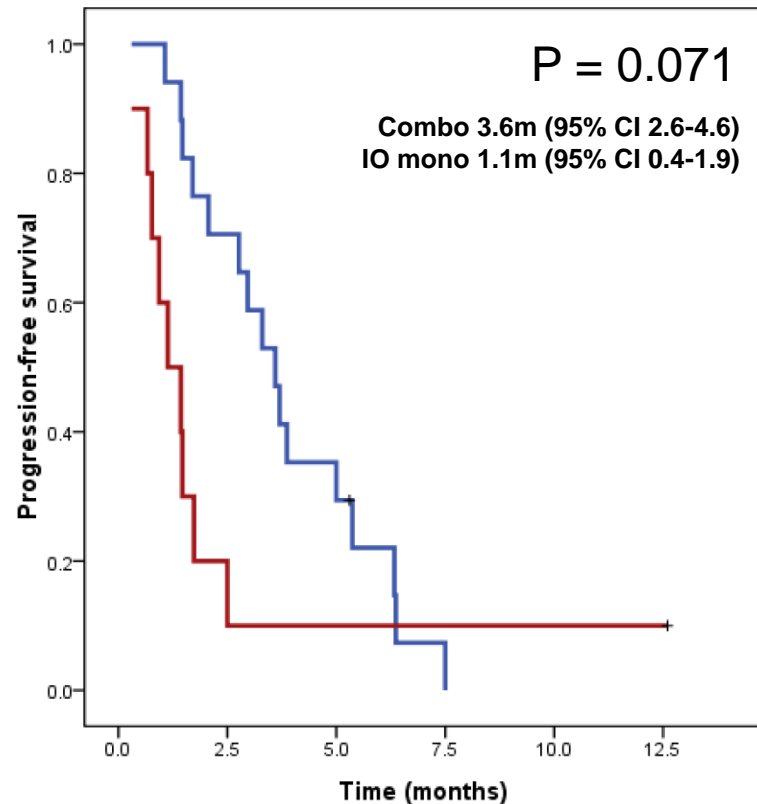
# Pure 1L, known PD-L1, no driver mutation (n = 51)

Combo or NOT

-- Combo -- IO mono



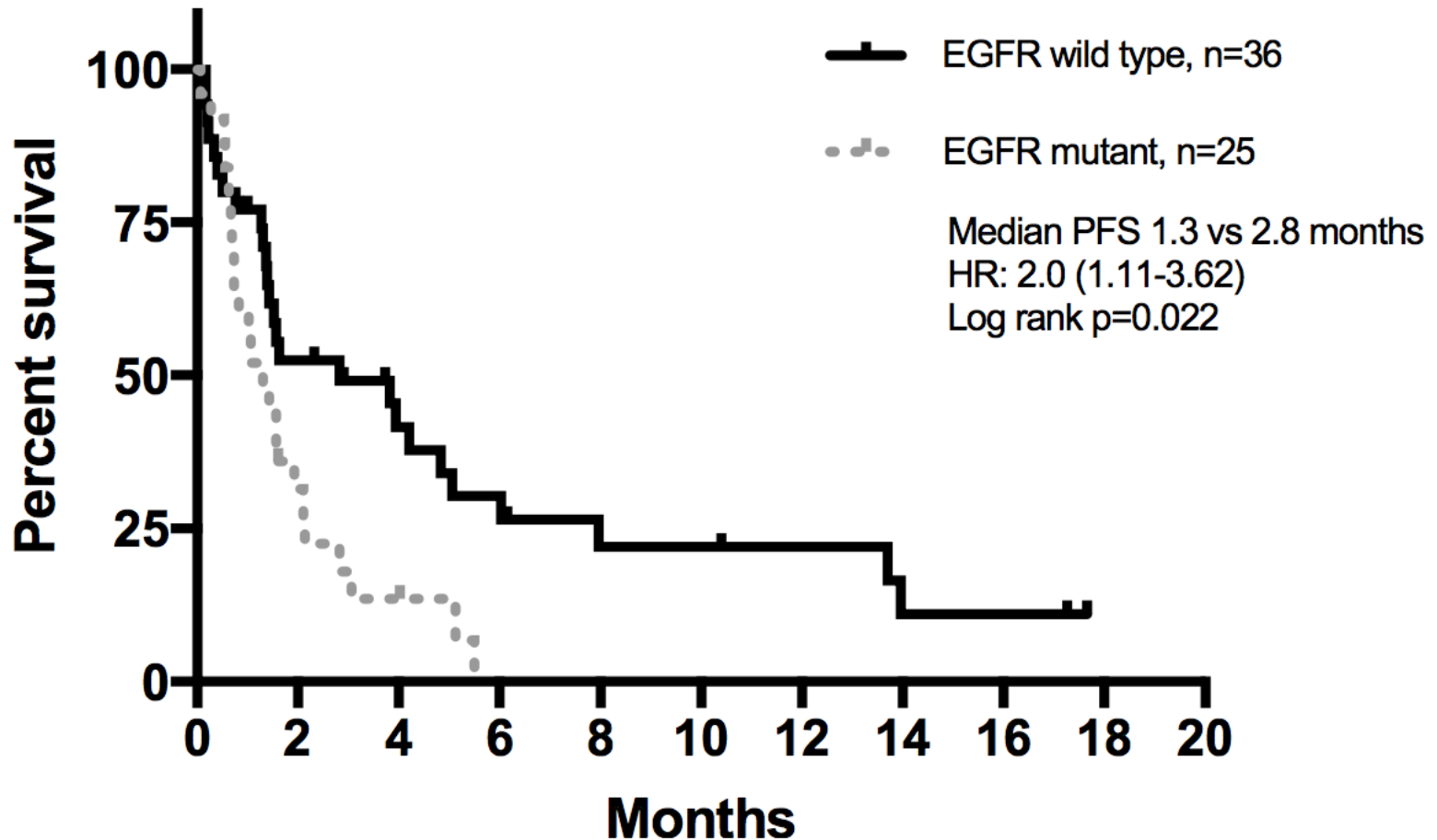
PD-L1  $\geq$  50% (n = 24)



PD-L1 < 50% (n = 27)

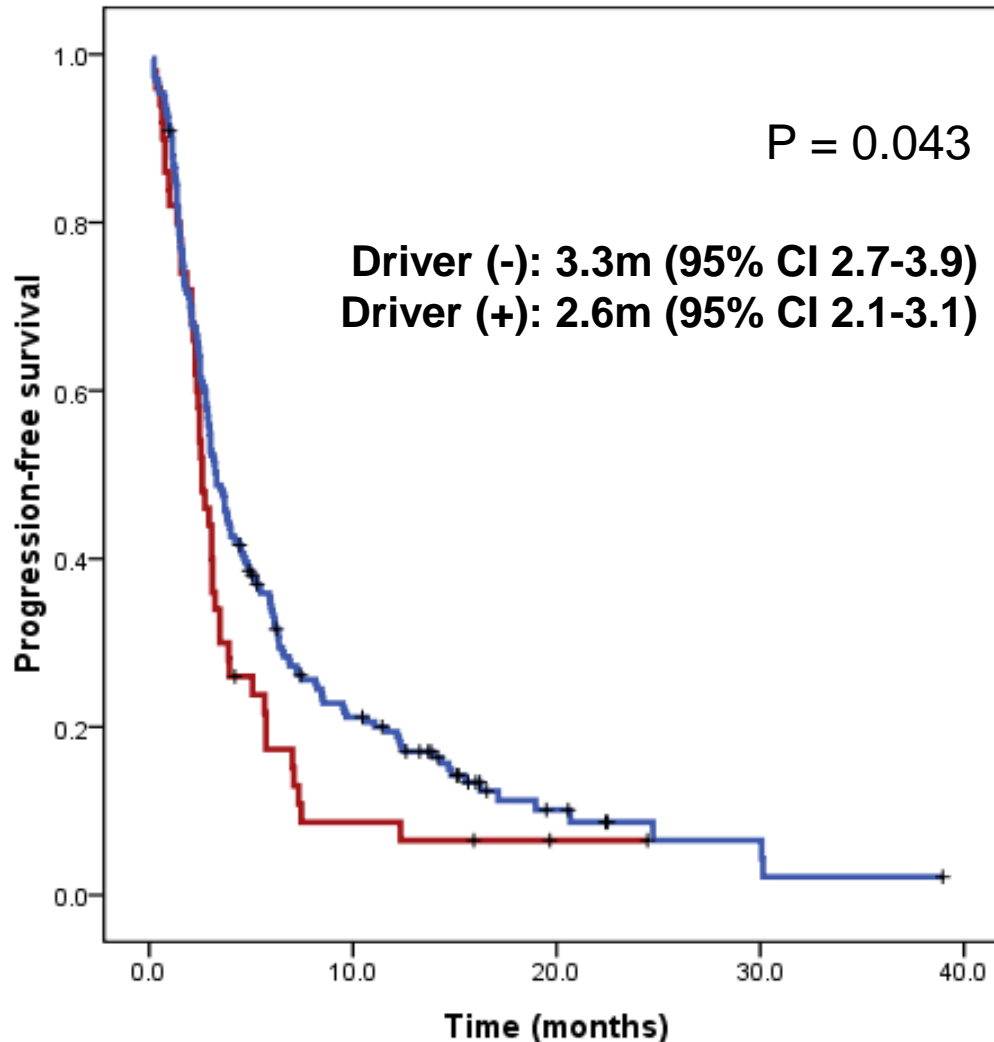
# IO in *EGFR*-m subgroup

**A: Progression free survival stratified by EGFR status**





# IO in driver-mutant subgroup



N = 248 (exclude ECOG PS=4 patients); 50 (20.2%) with drivers.

Drivers: *EGFR* 42, *ALK* 3, *HER2* 4, *BRAF*<sup>V600E</sup> 1.

# IO in *EGFR*-m subgroup

## Atezolizumab can work ?

- 37 patients received atezolizumab treatment.
- 8 with *EGFR* mutation (4 with 19Del and 4 with L858R).
- 2 of them with strong PD-L1 expression.
- 1 patient as first line therapy.
- 6 combined with CT (no ABCP) and 2 monotherapy.

	<i>EGFR</i> -m (n = 8)	<i>EGFR</i> -wt (n = 29)
ORR (%)	0	13.8
PFS (m, 95% CI)	2.3 (1.6-3.0)	3.3 (1.7-4.9)

\*Both ORR and PFS were not statistically significant.

# Atezolizumab in *EGFR*-m patients

	IMpower-150 <sup>1,2</sup>	OAK <sup>3,4</sup>
Treatment line	1 <sup>st</sup> -L	2 <sup>nd</sup> - or 3 <sup>rd</sup> -L
Comparison	ABCP vs. BCP	Monotherapy vs. D
Driver mutation	<i>EGFR</i> or <i>ALK</i>	<i>EGFR</i>
Patient No.	108 (14%)	85 (10%)
PFS, m	9.7 vs. 5.1	N/A
Hazard ratio (PFS)	<b>0.59 (0.37-0.94)</b>	<b>1.21 (0.77-1.93)</b>
OS, m	NR vs. 17.5	10.5 vs. 16.2
Hazard ratio (OS)	<b>0.54 (0.29-1.03)</b>	<b>1.24 (0.71-2.18)</b>

<sup>1</sup>Socinski MA et al. N Engl J Med 2018; 378:2288-301.

<sup>2</sup>IMpower 150 Socinski MA et al. ASCO 2018.

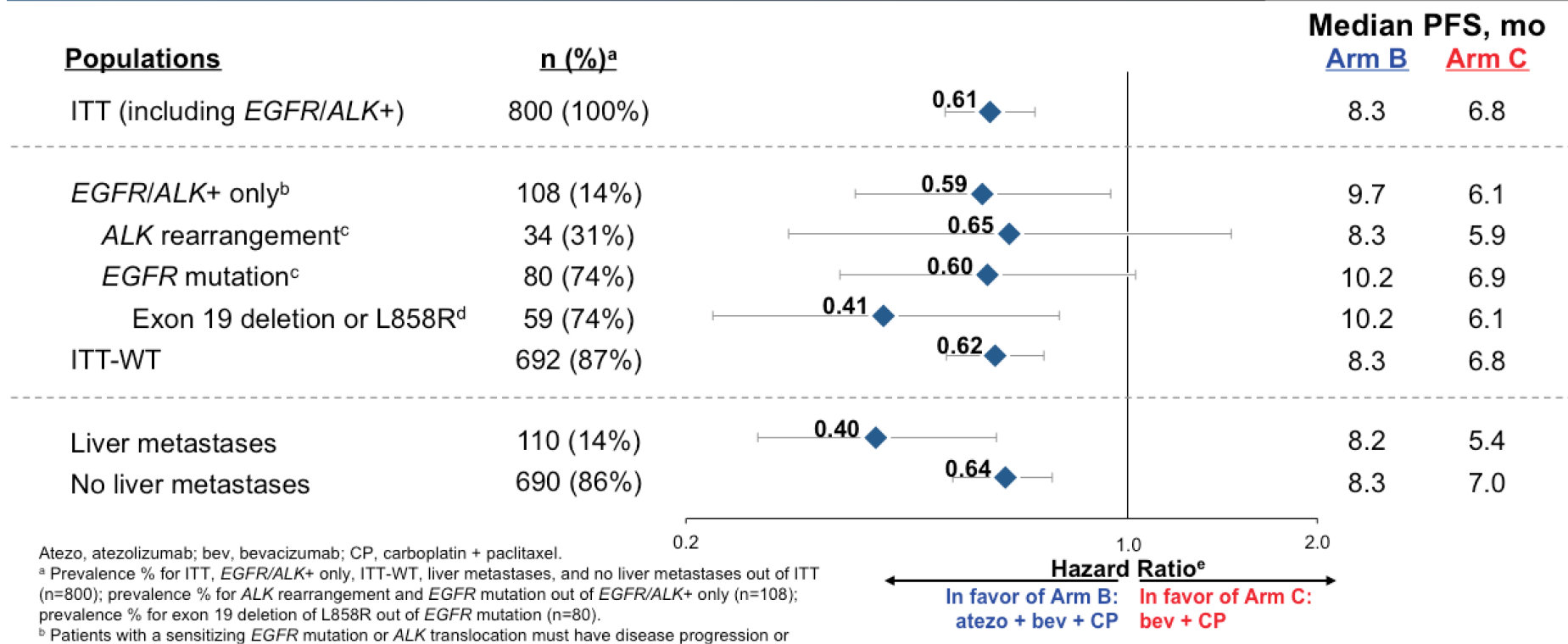
<sup>3</sup>Rittmeyer A et al. Lancet 2017;389: 255-65.

<sup>4</sup>Gadgeel SM et al. WCLC 2016.

# Atezolizumab in *EGFR*-m patients

## IMpower-150 (1<sup>st</sup>-L)

### PFS Benefit in Arm B was Observed in Key Populations



# Will IO rescue life in very ill patients?

ECOG PS eligible for clinical trials

Study	Therapy	Investigated regimen	Eligible ECOG PS
KN-024 <sup>1</sup>	1L	Pembrolizumab mono	0 or 1
KN-042 <sup>2</sup>	1L	Pembrolizumab mono	0 or 1
KN-189 <sup>3</sup>	1L	Pembrolizumab/CT	0 or 1
KN-407 <sup>4</sup>	1L	Pembrolizumab/CT	0 or 1
IMP-150 <sup>5</sup>	1L	Atezolizumab/CT/Bev	0 or 1
CM-017 <sup>6</sup>	2L	Nivolumab mono	0 or 1
CM-057 <sup>7</sup>	2L	Nivolumab mono	0 or 1
KN-010 <sup>8</sup>	2L~	Pembrolizumab mono	0 or 1
OAK <sup>9</sup>	2L~	Atezolizumab mono	0 or 1

Cohort	ECOG PS	PFS HR	OS HR
NTUH <sup>10</sup>	2-4 vs. 0-1	9.53 (4.23-21.51)	14.72 (6.01-36.05)
TCVGH <sup>11</sup>	0-1 vs. 2-4	0.25 (0.10-0.63)	0.07 (0.02-0.28)

<sup>10</sup>Lin SY et al. J Cancer 2018; 9:1813-20; <sup>11</sup>Tseng JS et al. J Immunother 2018; 41:292-9.

<sup>1</sup>Reck M et al. N Engl J Med 2016; 375:1823-33 & J Clin Oncol 2019; 37:537-46. <sup>2</sup>Mok T et al. Lancet 2019; 393:1819-30.

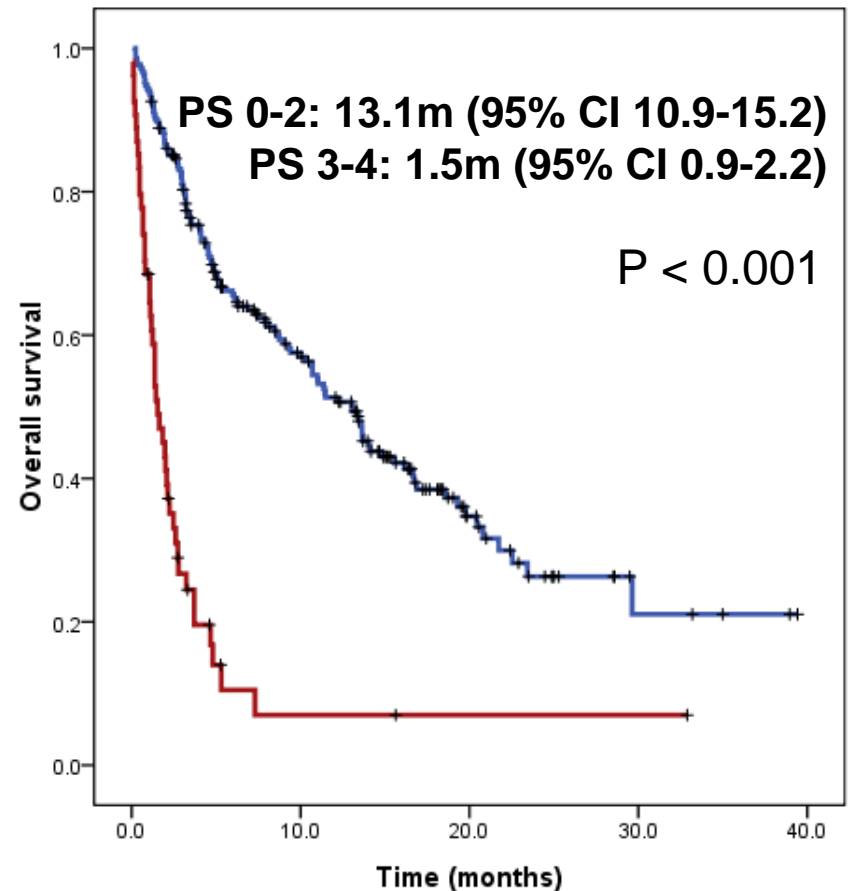
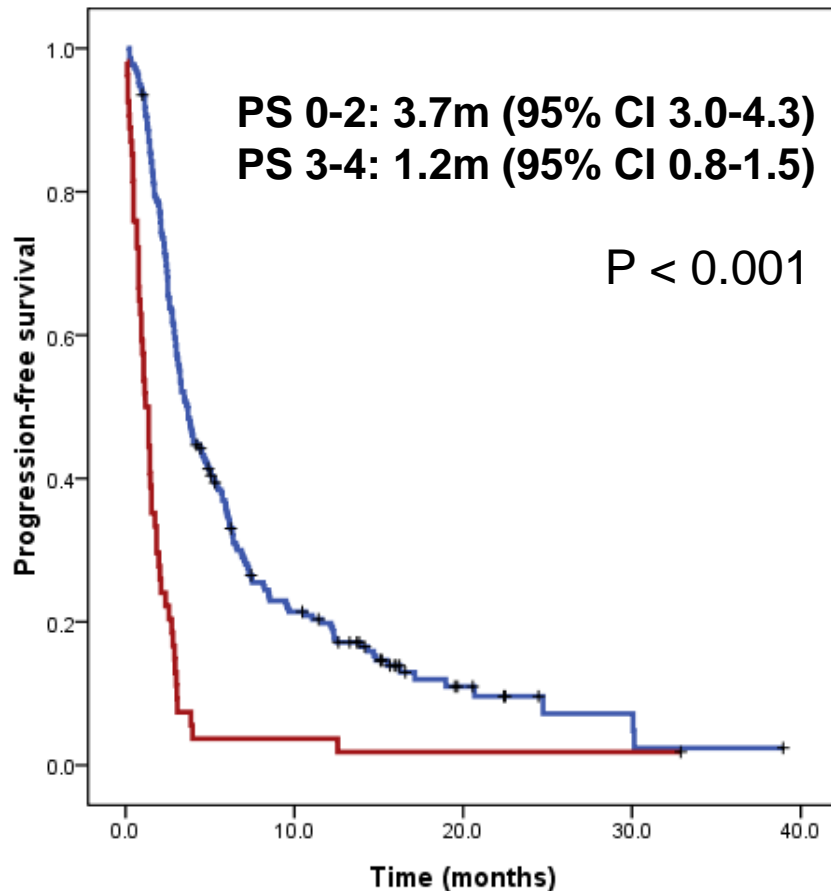
<sup>3</sup>Gandhi L et al. N Engl J Med 2018; 378:2078-92. <sup>4</sup>Paz-Ares L et al. N Engl J Med 2018; 379:2040-51.

<sup>5</sup>Socinski MA et al. N Engl J Med 2018; 378:2288-301. <sup>6</sup>Brahmer J et al. N Engl J Med 2015; 373: 123-35.

<sup>7</sup>Borghaei H. et al. N Engl J Med 2015; 373:1627-39. <sup>8</sup>Herbst RS et al. Lancet 2016; 387:1540-50. <sup>9</sup>Rittmeyer A et al. Lancet 2017; 389: 255-65.

# IO in ECOG PS 3-4, rescue life?

-- ECOG PS 0-2 (n = 216, 80%) -- ECOG PS 3-4 (n = 54, 20%)



**ORR: 23.6% vs. 5.6%, P = 0.002**

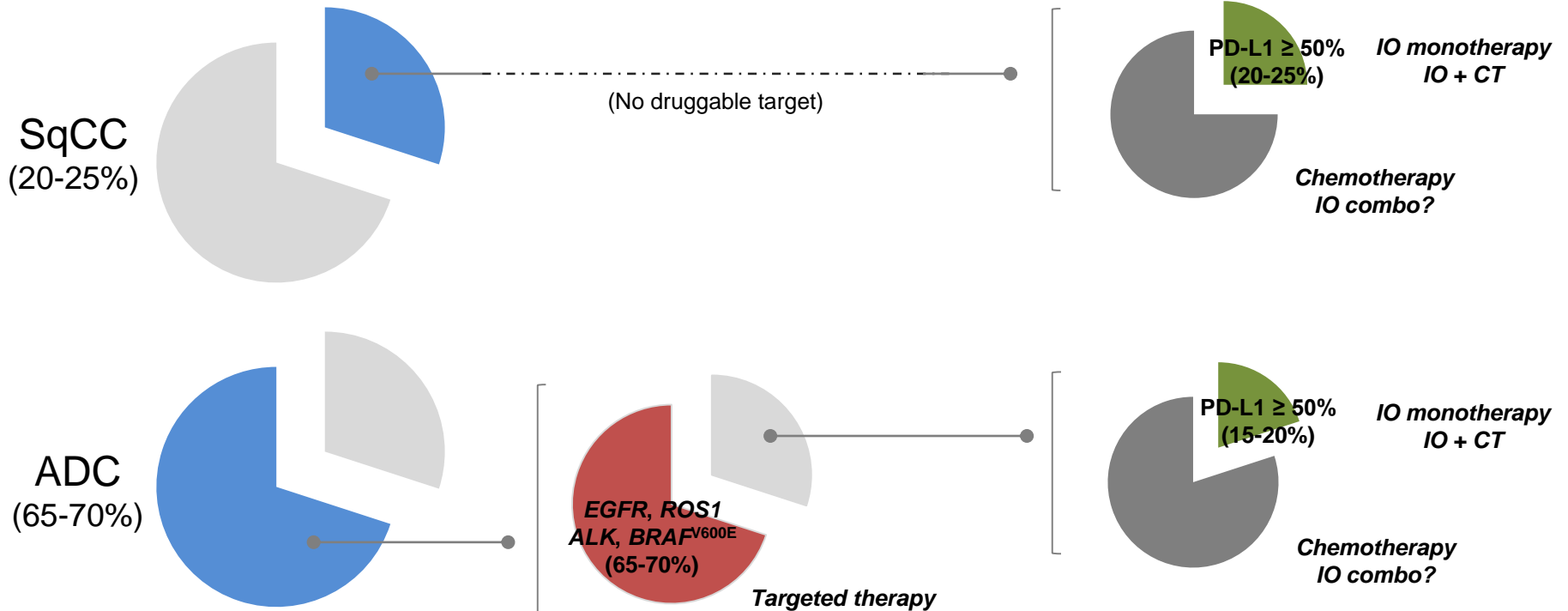
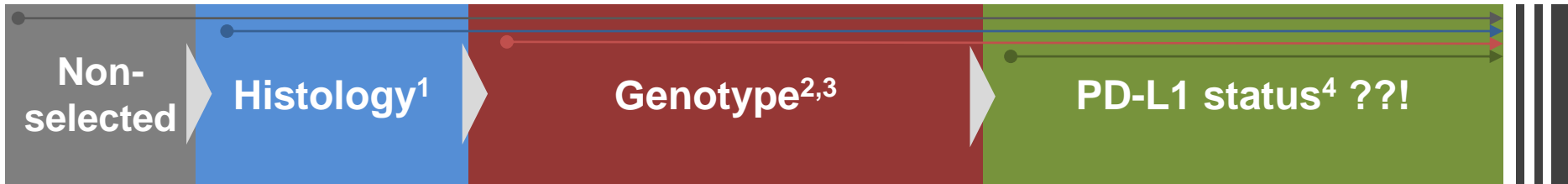


## “Real World Condition”

- Characteristics of PD-L1
- Predictive value of PD-L1
- **Efficacy of IO: subgroup !?**

- Efficacy of IO seemed not as good as clinical trials' results.
- PD-L1 as predictor of IO efficacy: acceptable, **NOT** universal/enough.
- In 1L, PD-L1  $\geq 50\%$  subgroup, combo/mono has similar efficacy.
- The role of IO in *EGFR*-m patients remains doubtful.
- IO **CANNOT** rescue life in patients with poor performance status.
- Patients with **good performance status** and **high PD-L1 expression** are more likely to benefit from IO.

# Potential 1L treatment for NSCLC in Taiwan



<sup>1</sup>國健署 (<https://www.hpa.gov.tw/>); <sup>2</sup>Hsu KH et al. PLoS One 2015; 10:e0120852; <sup>3</sup>Chen YF et al. J Thorac Oncol 2014; 9:1171-9; <sup>4</sup>Tseng JS et al. J Immunother 2018; 41:292-9.



# Brief conclusions

- Immunotherapy is opening a new chapter of lung cancer treatment and some patients did benefit from immunotherapy.
- Smokers and patients without known actionable driver mutation were more likely to present strong positive PD-L1.
- PD-L1 acts as a biomarker: acceptable but not enough.
- “Gap” between clinical trials and real world results: many unanswered questions remain (patient selection/better biomarker?, best regimen?, best combination?, IO retreatment?, .....????)
- Currently, patients with high PD-L1 expression and good PS may be more suitable for immunotherapy.



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## Thanks for your attention!

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