

# Recruitment Maneuver and Mode Setting in Mechanical Ventilation

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108-5-16

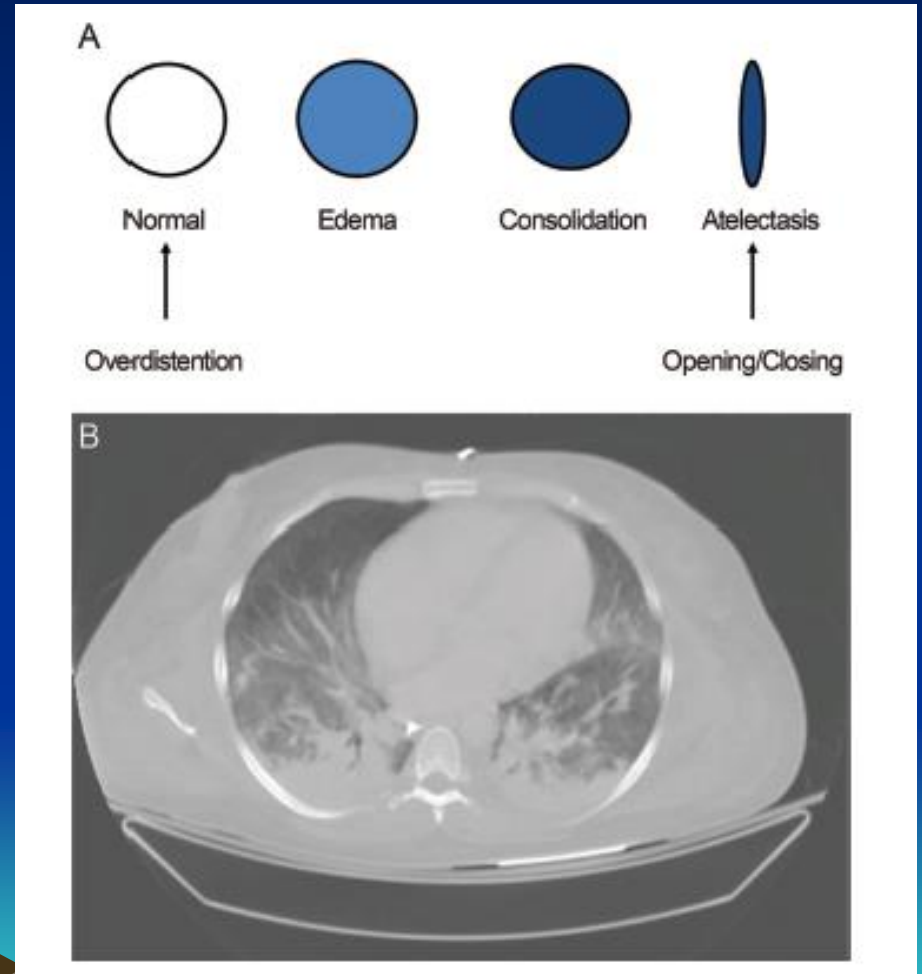


# Introduction

- Ventilator management for injured lung is evolving.
- Pressure and volume limited lung protection.
- Risk of derecruitment if PEEP is not sufficient.
- Recruitment maneuvers can be used to augment other methods to improve aerated lung volume.

# ARDS

- ARDS characterized by heterogeneity, some alveoli are normal, some are collapsed, some are fluid-filled and some consolidated.



# Physiologic Concepts

- Stress: pressure applied to alveolus
- Strain: change in shape of alveolus caused by stress
  - Strain is associated with ventilator induced lung injury (VILI)

# Stress and Strain

- $P$  (stress) = lung elastance x  $\frac{\Delta V}{\text{strain functional residual capacity}}$

$\Delta V$  : change in lung volume above functional residual capacity with the addition of PEEP

# Potential for Recruitment

- A stress raiser is the result of inhomogeneity with lungs.
- The benefit of recruitment maneuvers might be related the potential for alveolar recruitment in the lungs.
  - Lower  $\text{PaO}_2/\text{FiO}_2$
  - Lower compliance

# Methods to Achieve Alveolar Recruitment

- Treatment of underlying disease
  - Removal of airway obstruction
  - Diuresis
  - Treatment of infection
- Sustained inflation followed by decremental PEEP
- Stepwise recruitment (incremental PEEP)
- APRV
- HFOV
- Sign
- Prone position



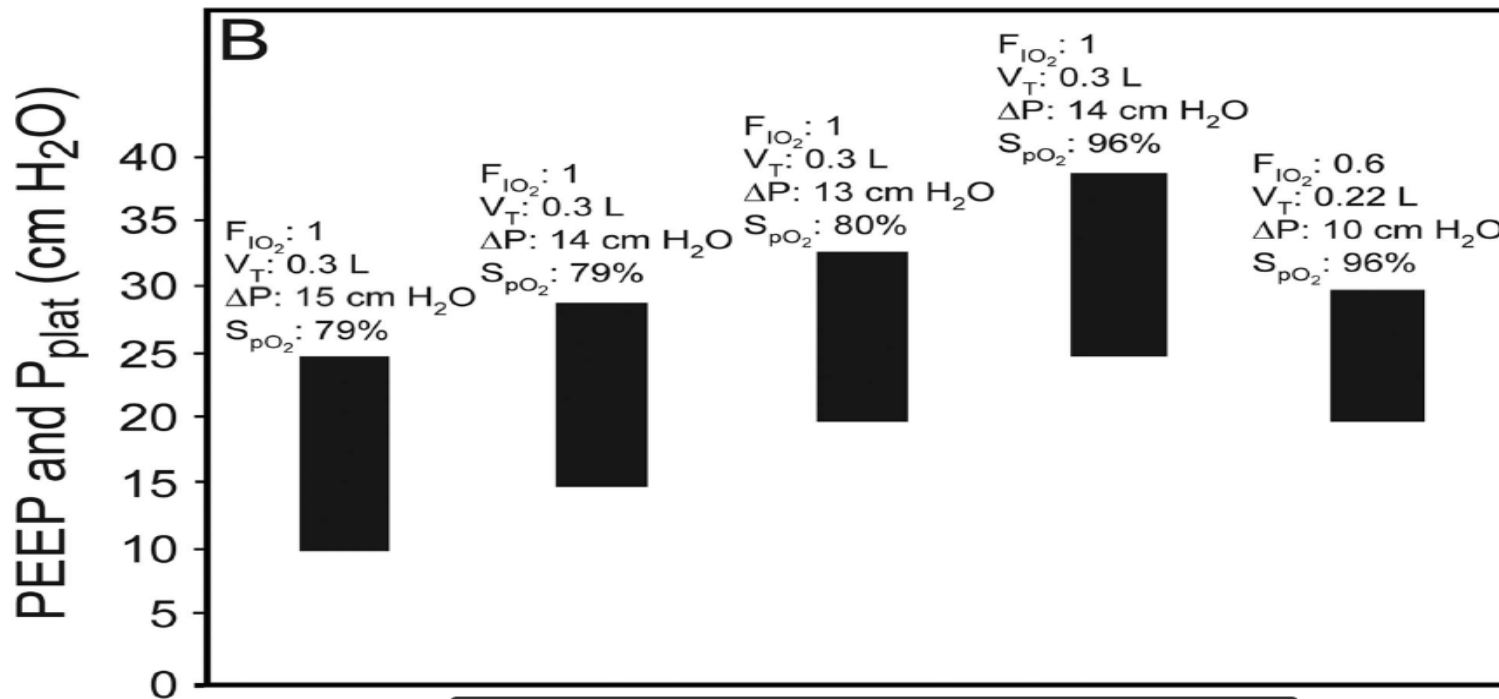
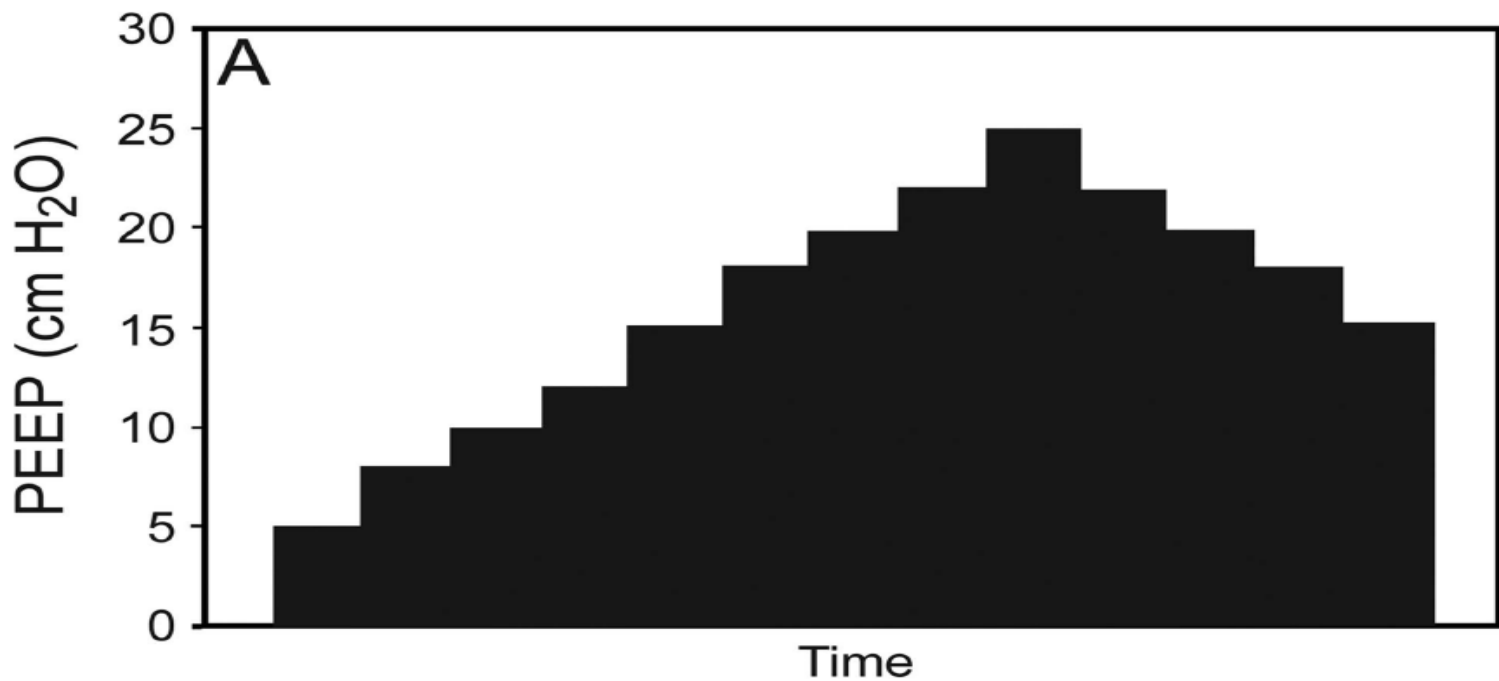
# Type of Recruitment Maneuvers

- Sustained inflation
  - CPAP mode
    - increased pressure to 30-40 cmH<sub>2</sub>O for 30-40 seconds
    - 35 to 45 cmH<sub>2</sub>O for 30 seconds (ARDS network)
  - Take notice of hypotension



# Type of Recruitment Maneuvers

- Stepwise recruitment
  - Increased PEEP in increments of 2-5 cmH<sub>2</sub>O with a fixed Vt 6 mL/kg (ideal body weight)
  - Driving pressure (plateau pressure-PEEP), compliance, SatO<sub>2</sub> and blood pressure are monitored
  - PEEP increased if decreased driving pressure, plateau pressure < 30 cmH<sub>2</sub>O, increased Sat O<sub>2</sub>.
  - Decreased PEEP to previous step if increased driving pressure, plateau pressure > 30 cmH<sub>2</sub>O, decreased Sat O<sub>2</sub> or hypotension.
  - Each step 3-5 minutes



# Airway Pressure Released Ventilation (APRV)

- Breathe spontaneously while receive high airway pressure, high pressure for alveolar recruitment.
- Trans-alveolar distending pressures are probably high during spontaneous breathing with airway pressure release ventilation, potential for lung injury.

# APRV

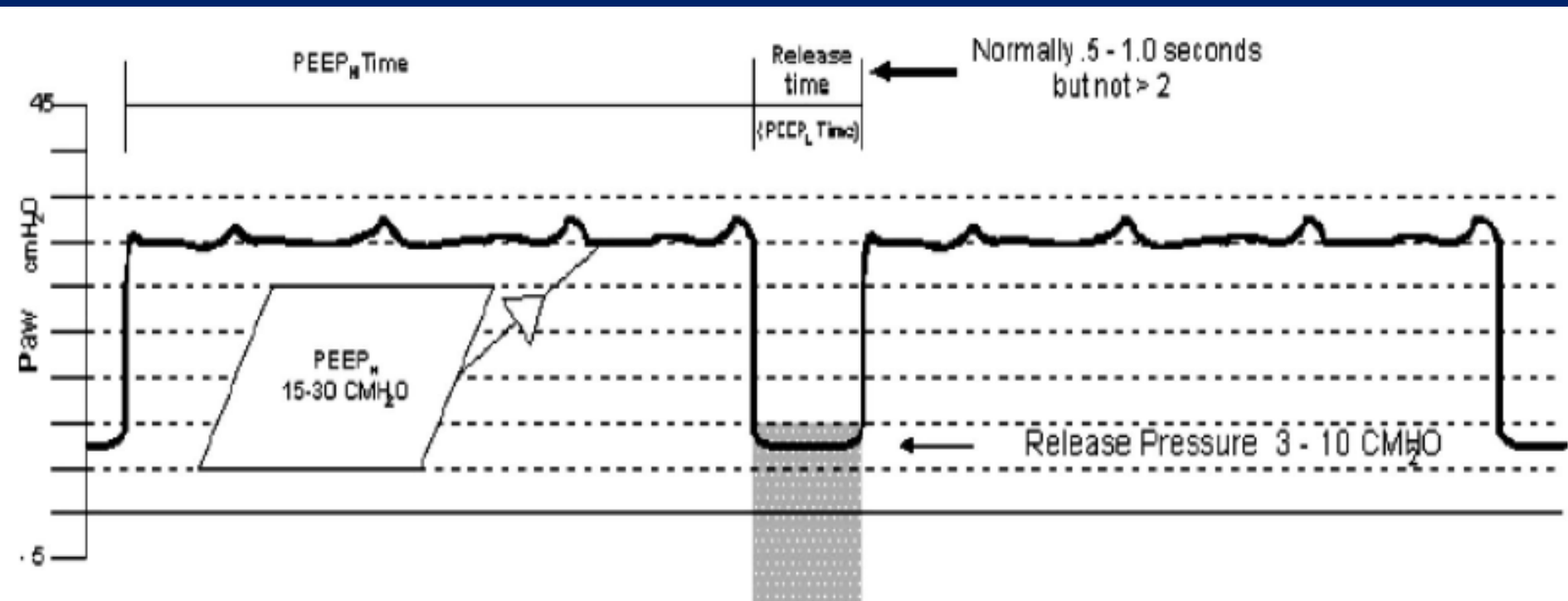


FIGURE 4. Airway pressure release ventilation (APRV) is a pressure-targeted, time-cycled mode of mechanical ventilation delivering continuous positive airway pressure with regular, intermittent and brief release in pressure. APRV allows unrestricted spontaneous breathing throughout the respiratory cycle.

# APRV

- Putensen et al:
  - Patients with trauma at risk for ARDS
  - Ventilated with APRV versus conventional PCV who were heavily sedated and paralyzed.
  - Improved lung mechanics, oxygenation, less sedation requirements.

Am J Respir Crit Care Med 1999;159:1241– 8

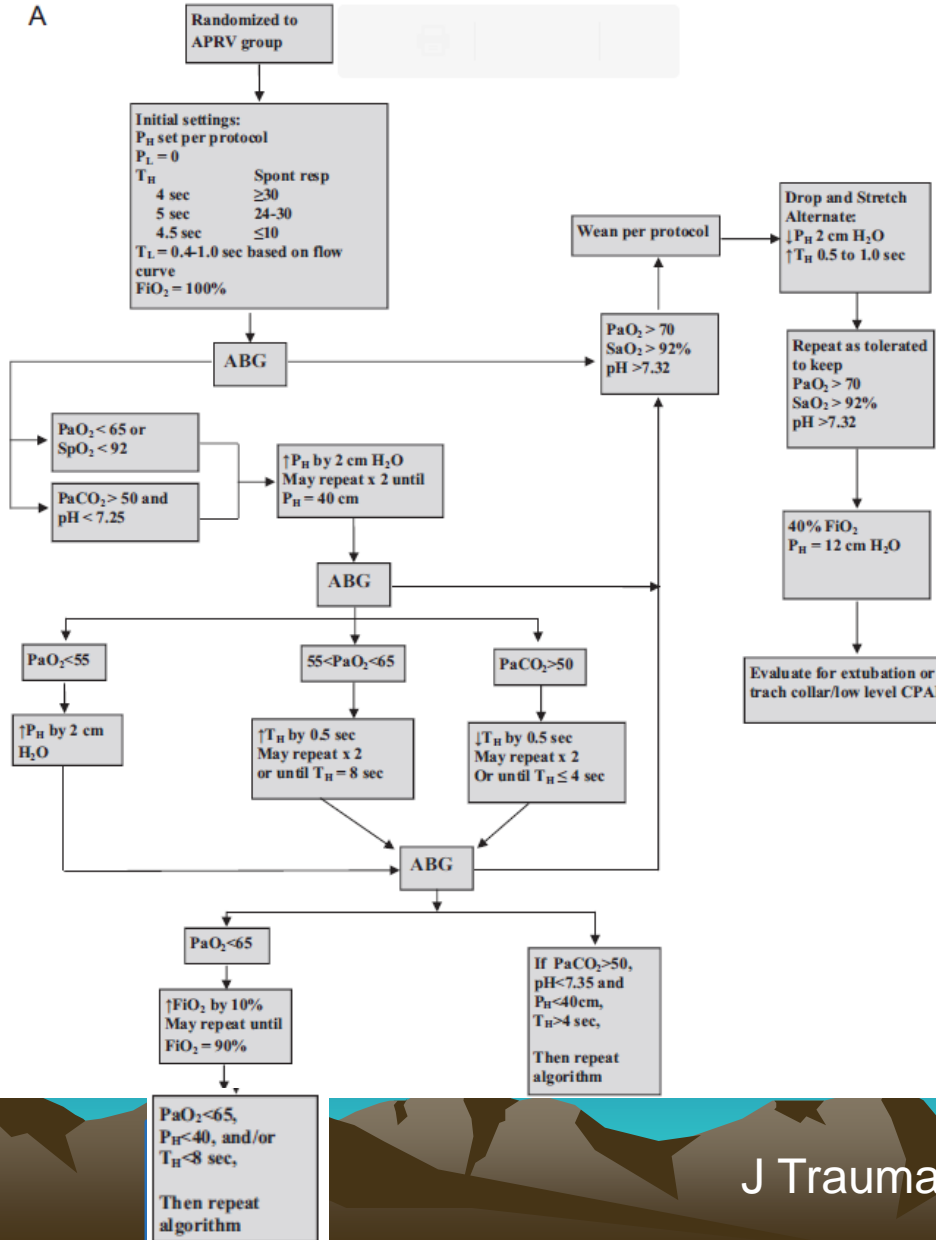


# APRV vs LOVT (low tidal volume ventilation)

- For patients sustaining significant trauma requiring mechanical ventilation for greater than 72 hours.
  - APRV : 31 patients
    - $\text{PaO}_2 < 65 \text{ mmHg}$  ->  $P_H$  increased 2  $\text{cmH}_2\text{O}$  -> increased  $T_H$  by 0.5 second , repeated 2 times until  $P_H = 40 \text{ cmH}_2\text{O}$ ,  $T_H = 8$  seconds
    - $\text{PaCO}_2 > 50 \text{ mmHg}$  -> decreased  $T_H$  by 0.5 second, repeated 2 times until  $T_H \leq 4$  second
  - LOVT: 32 patients
    - Tidal volume 6 ml/kg, minute ventilation: 6 liter, PEEP:10
    - Decreased  $V_t$  1ml/kg if peak airway pressure > 40
    - $\text{PaO}_2 < 65 \text{ mmHg}$ , increased PEEP 2  $\text{cmH}_2\text{O}$  until  $\text{PEEP} > 25$ , or  $P_{\text{peak}} > 40$

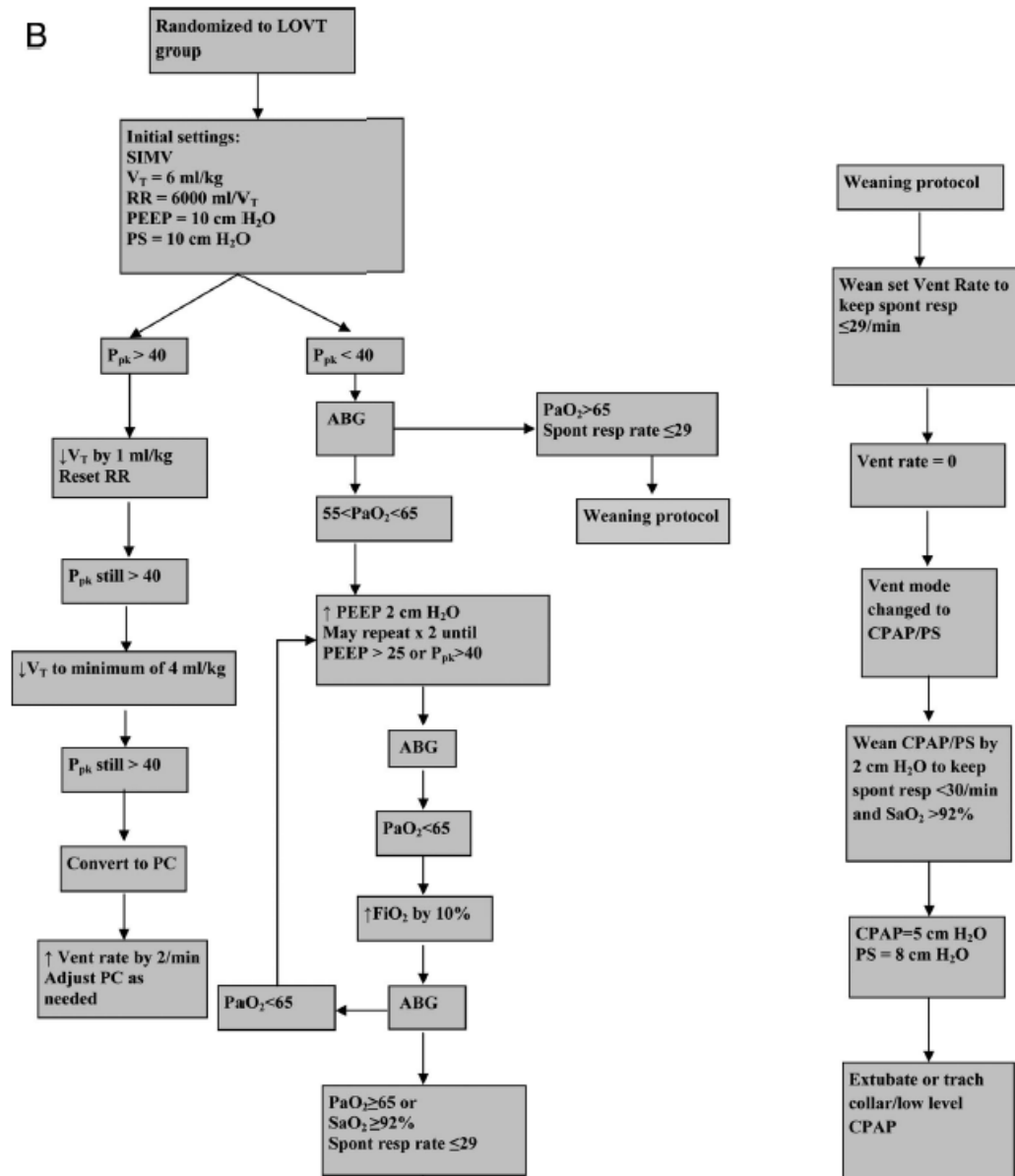
# APRV Group

A



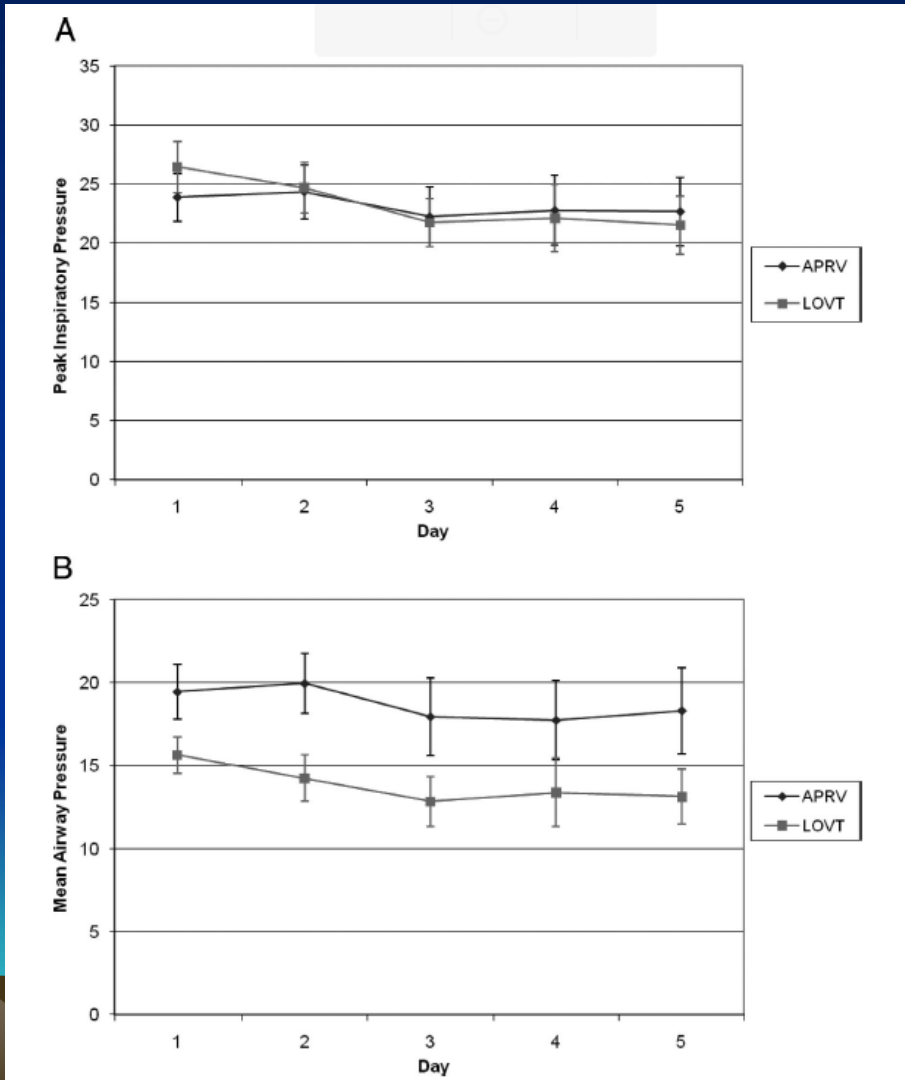
# LOVT group

B





# Peak and Mean Airway Pressure



$P < 0.001$

# Oxygenation

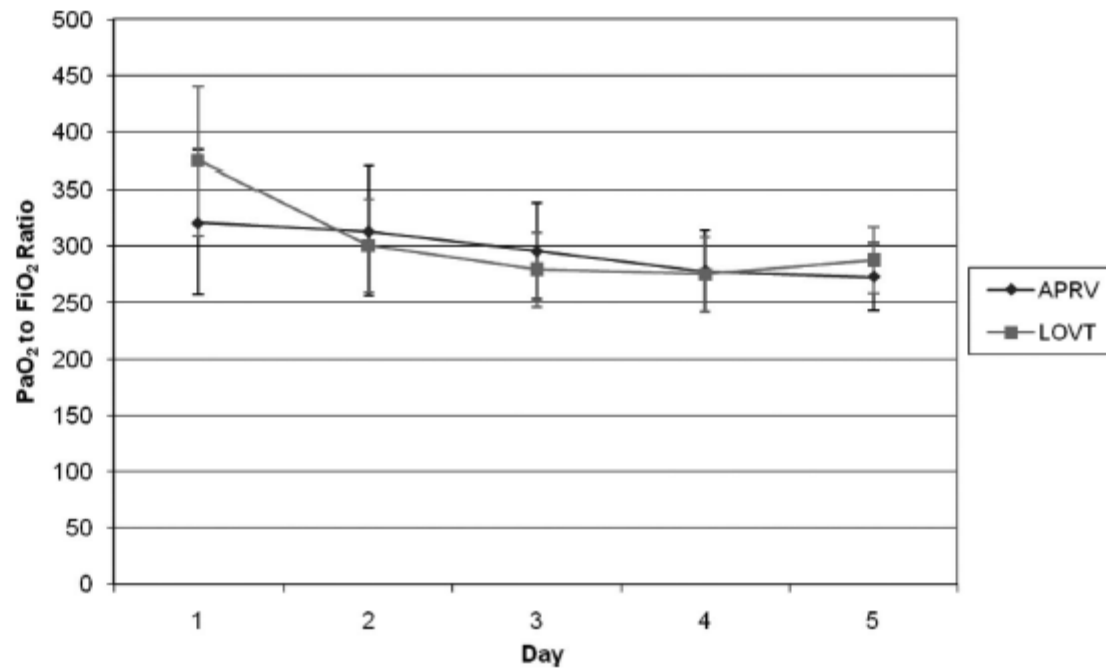


Figure 2. PaO<sub>2</sub> to FiO<sub>2</sub> ratios did not differ between groups throughout the period of observation.

# Outcomes

**TABLE 2.** Outcome Data

<b>Dependent Measure</b>	<b>APRV</b>	<b>LOVT</b>
Ventilator days	10.49 ± 7.23	8.00 ± 4.01
ICU length of stay (d)	16.47 ± 12.83	14.18 ± 13.26
Pneumothorax	0	3.1%
VAP per patient	1.00 ± 0.86	0.56 ± 0.67
Tracheostomy (%)	61.3	65.6
Failure of modality (%)	12.9	15.6
Mortality (%)	6.45	6.25

# APRV vs LOVT (low tidal volume ventilation)

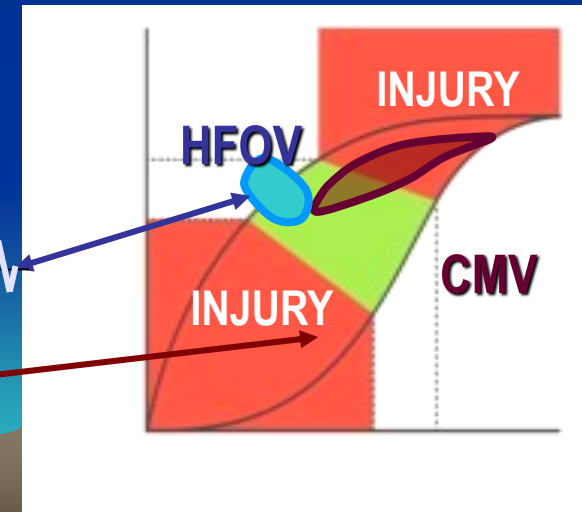
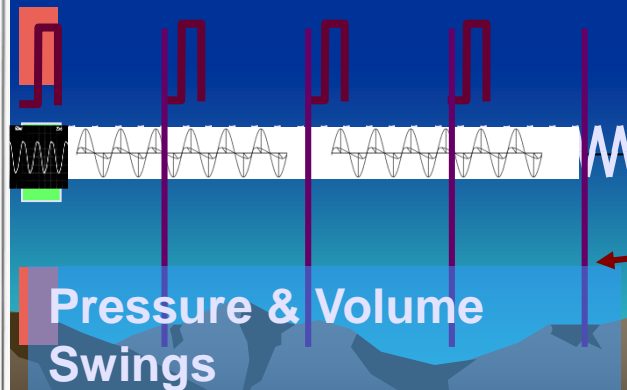
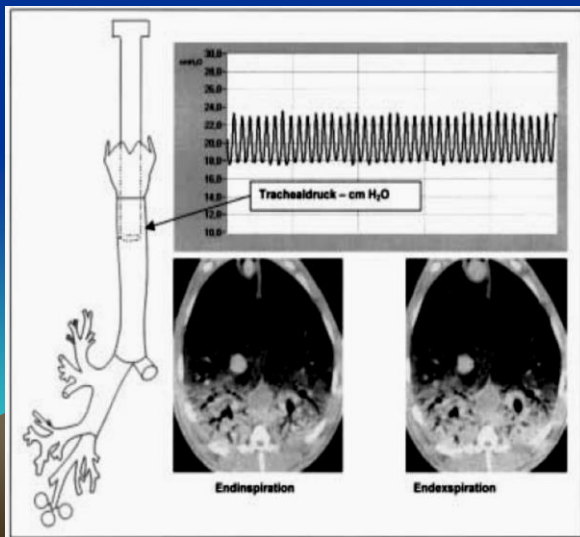
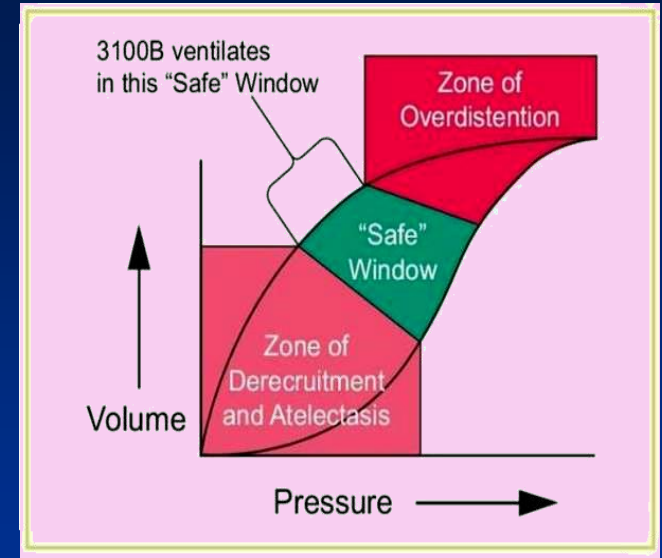
- APRV seems to have a similar safety profile as the LOVT.
- Trends for APRV patients to have increased ventilator days, ICU LOS, and ventilator-associated pneumonia
  - may be explained by initial worse physiologic derangement demonstrated by higher Acute Physiology and Chronic Health Evaluation II scores.

# High frequency oscillatory ventilation (HFOV)

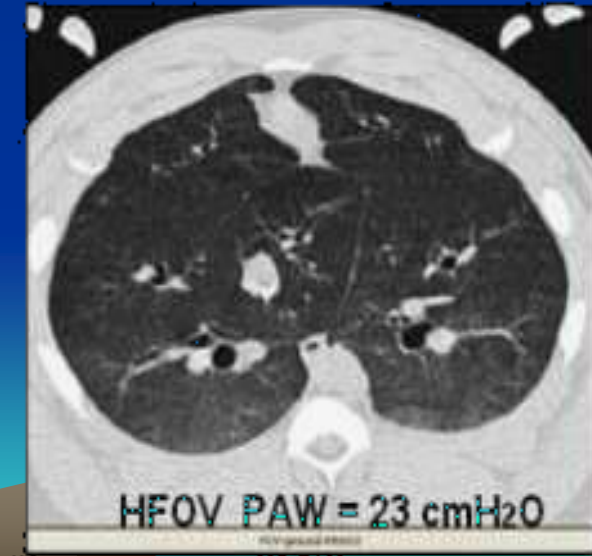
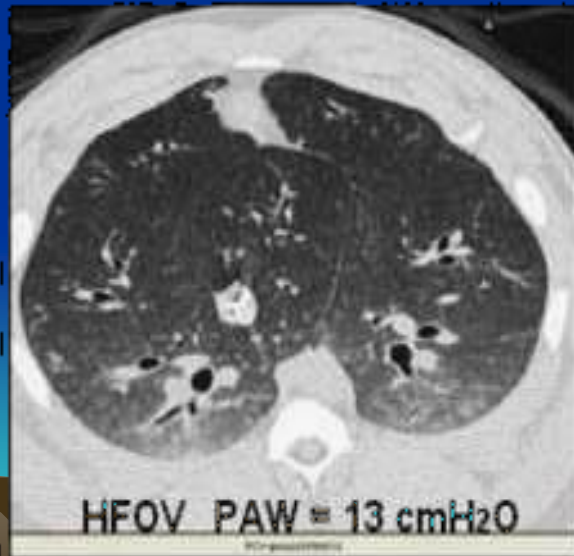
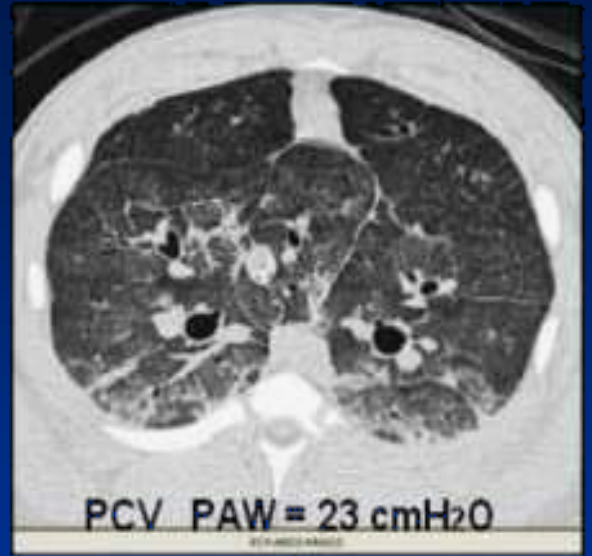
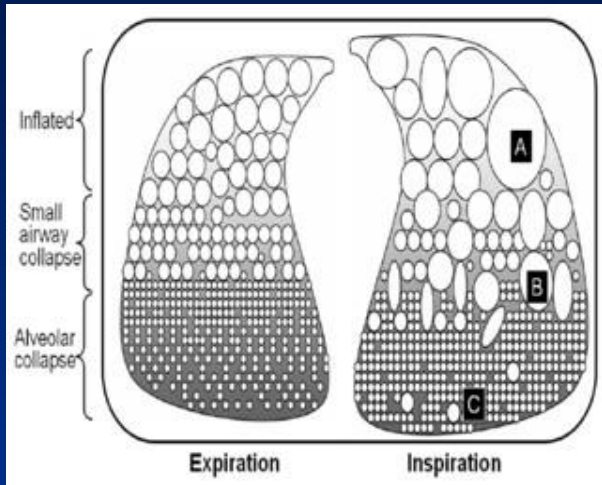
- Increase airway pressure and promote alveolar recruitment.
- Small tidal volume: 1 to 4 ml/kg, frequency: 3 to 15 Hz
- Less risk of over-distention, prevent VILI.

# HFOV Operates in the Safe Zone of Ventilation

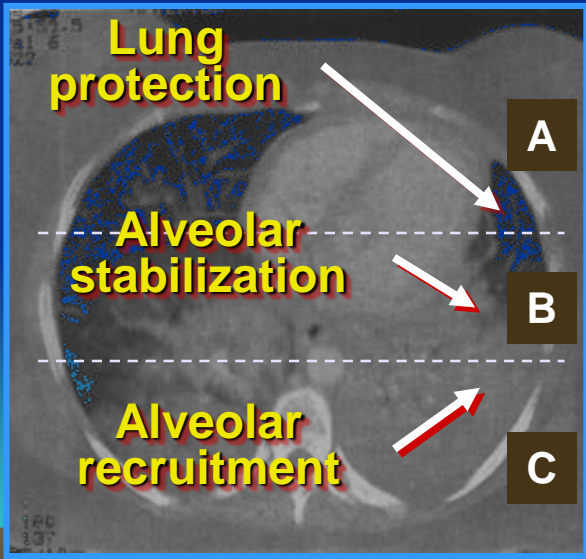
- There are 2 injury zones in MV
  - Low lung volume ventilation -- tears adhesive surfaces  
⇒ Atelectrauma
  - High lung volume ventilation -- overdistension  
⇒ Volutrauma / Barotrauma



# Comparisons of CT images with PCV & HFOV



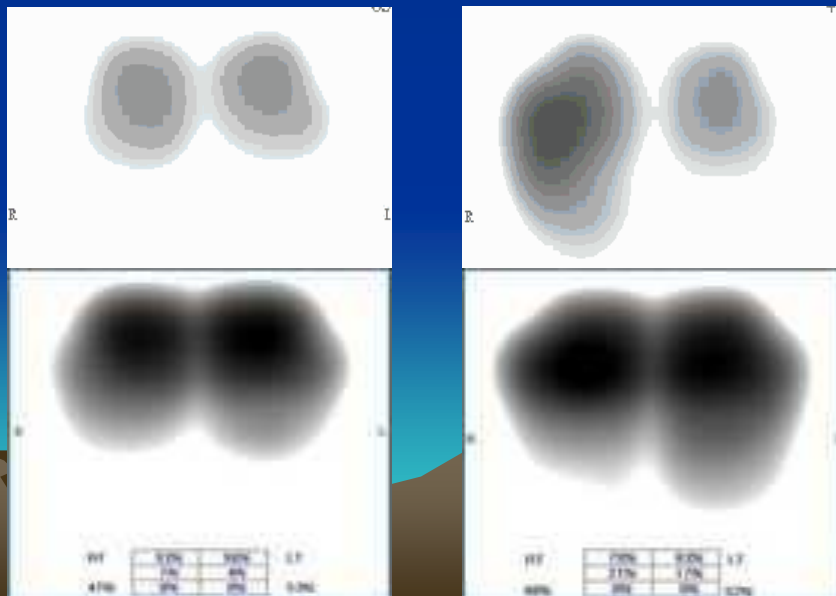
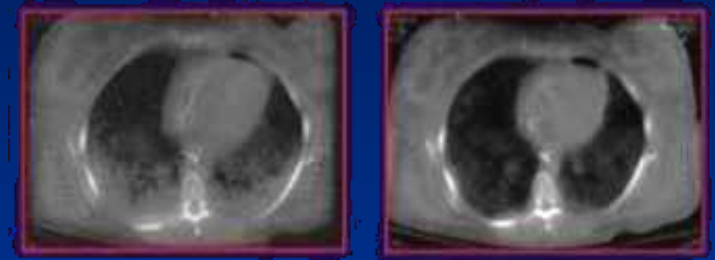
## Ventilatory Strategies for ARDS



# HFOV & Lung Recruitment Maneuvers

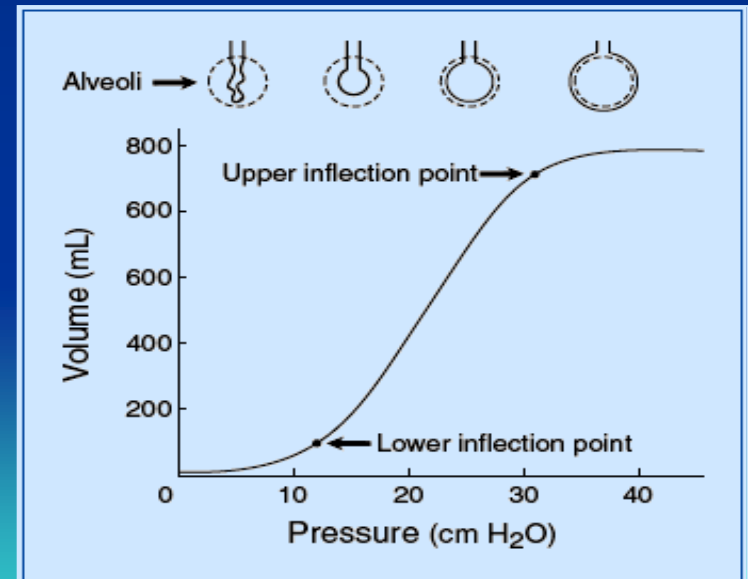
- Intermittently increasing MAP during HFOV
- Initiate at high MAP
  - 40-50 cm H<sub>2</sub>O
  - 40-60 seconds duration

Effect of Recruitment: CT Scan



Before recruitment

After recruitment

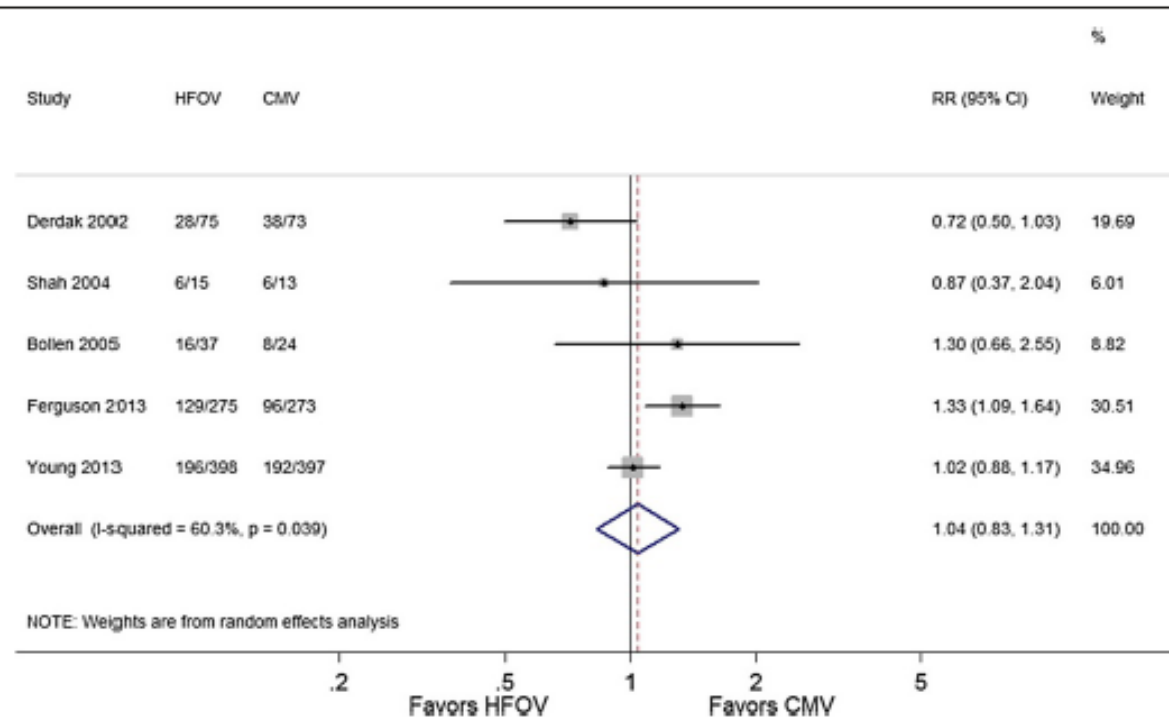




# HFOV in Early ARDS

- Ferguson et al assigned HFOV to new-onset moderate to severe ARDS.
- This study stopped early with an in-hospital mortality of 47% in the HFOV group, compared to 35% in the control group (RR of death with HFOV:1.33, 95% CI 1.09-1.64)

# Meta-analysis of HFOV on Mortality



**Figure 2** Forest plot showing the effect of HFOV on 30-day or hospital mortality. HFOV, high-frequency oscillatory ventilation; CMV, conventional mechanical ventilation; RR, risk ratio; CI, confidence interval.

# Sigh

- Use mode with PCV+ (biphasic positive airway pressure).
- Positive airway pressure at 35 cmH<sub>2</sub>O for 3-4 seconds at rate of 2 sighs/minute or 1 sigh/minute.

# Sigh vs Sustain Inflation

Figure 1

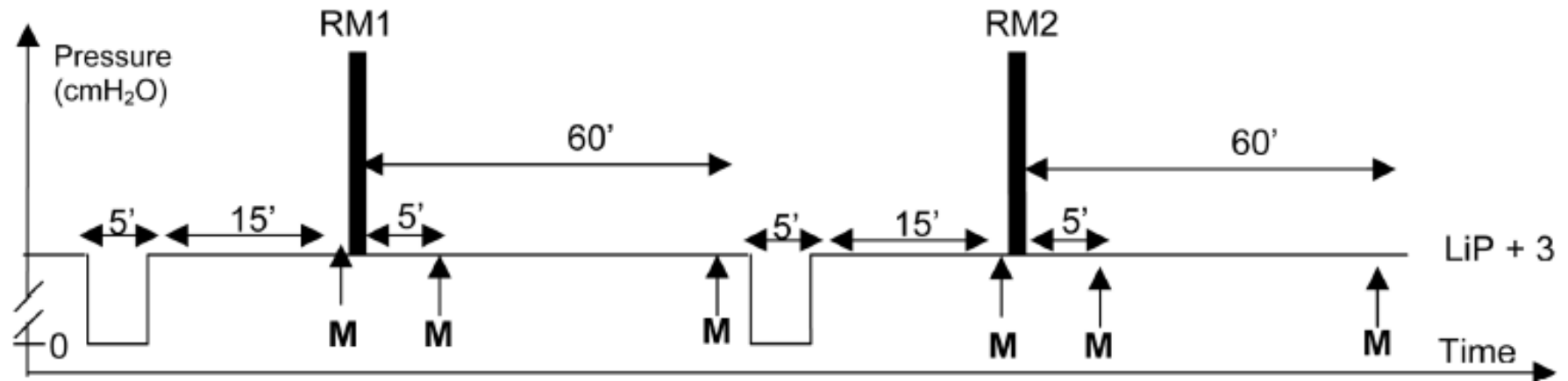
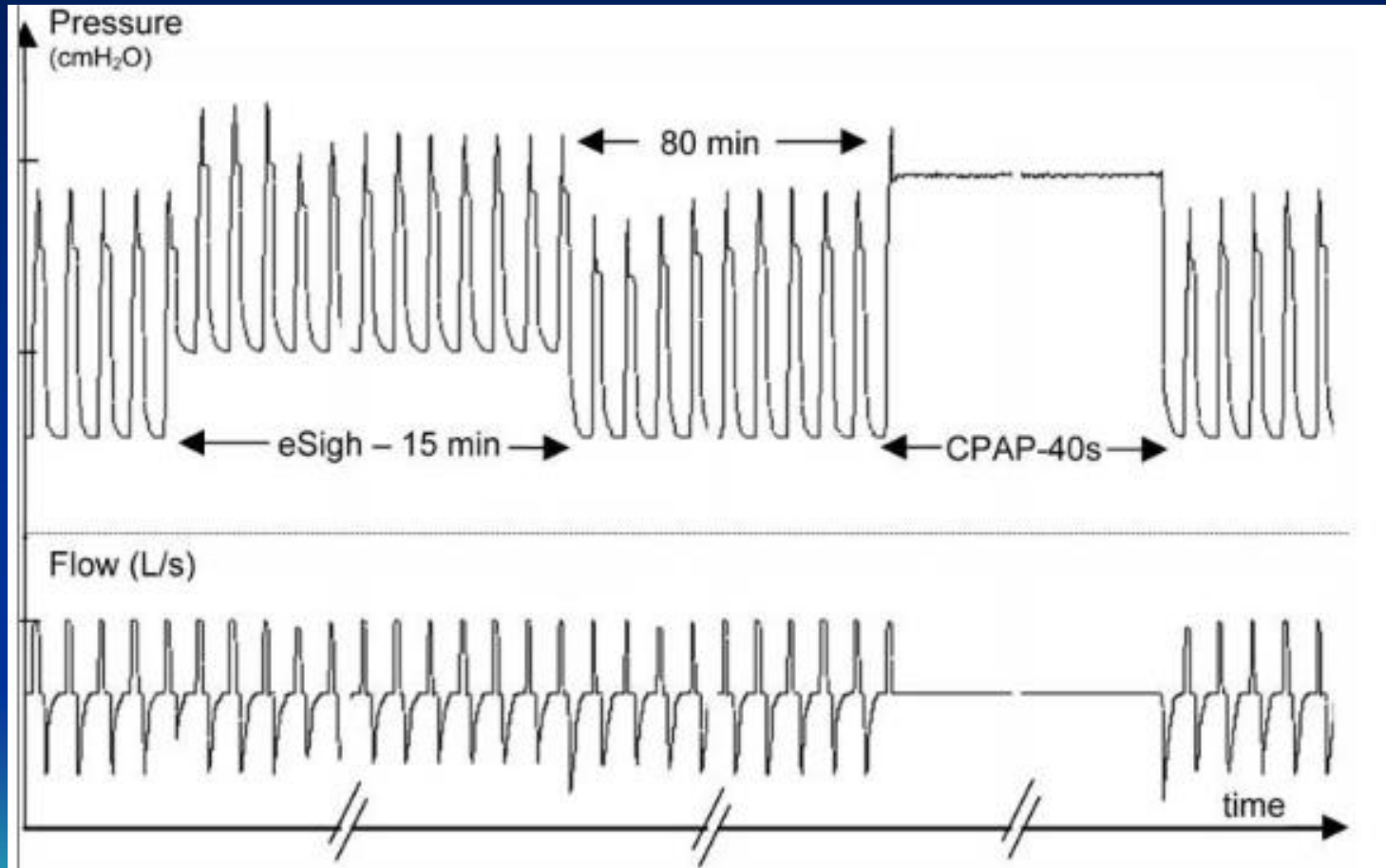


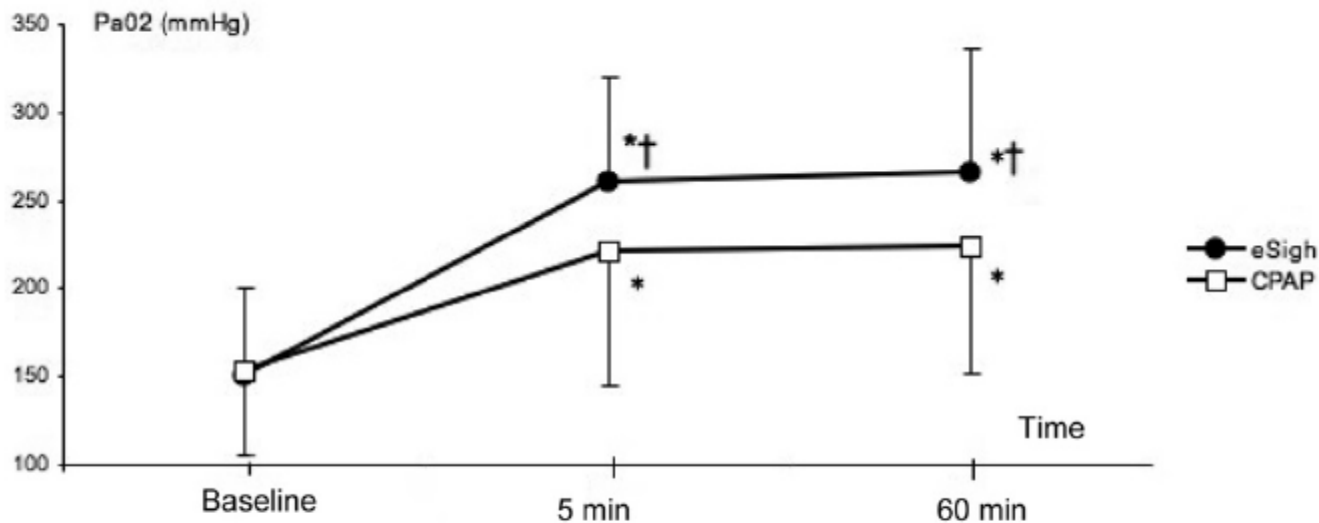
Illustration of the time course of the study. Nineteen patients ventilated with protective lung strategy first had a washout period of 5 minutes of zero end-expiratory pressure ventilation. After 15 minutes of stabilization in positive end-expiratory pressure (PEEP) ventilation, baseline measures (M) were obtained. Then, patients were randomly assigned to benefit from one of the two recruitment maneuvers (RMs): RM1 or RM2 (that is, continuous positive airway pressure or extended sigh). At 5 and 60 minutes after RM, measurements were obtained. After this first part of the study, a second washout period was performed followed by 15 minutes of ventilation in PEEP and the second RM was performed. The same measurements were performed at baseline and at 5 and 60 minutes after RM. M indicates blood gas analysis, recruited volume by pressure-volume curve method, hemodynamics, and respiratory parameters. LIP, lower inflection point.

# Sigh vs Sustain Inflation



# Sigh vs Sustain Inflation

**Figure 3**



Both recruitment maneuvers increased oxygenation. Extended sigh (eSigh) induced a significantly higher increase in arterial partial pressure of oxygen (PaO<sub>2</sub>) than continuous positive airway pressure (CPAP) at 5 and 60 minutes after the recruitment maneuver. \* significant versus baseline, † significant versus CPAP.

# Chest Wall Modification

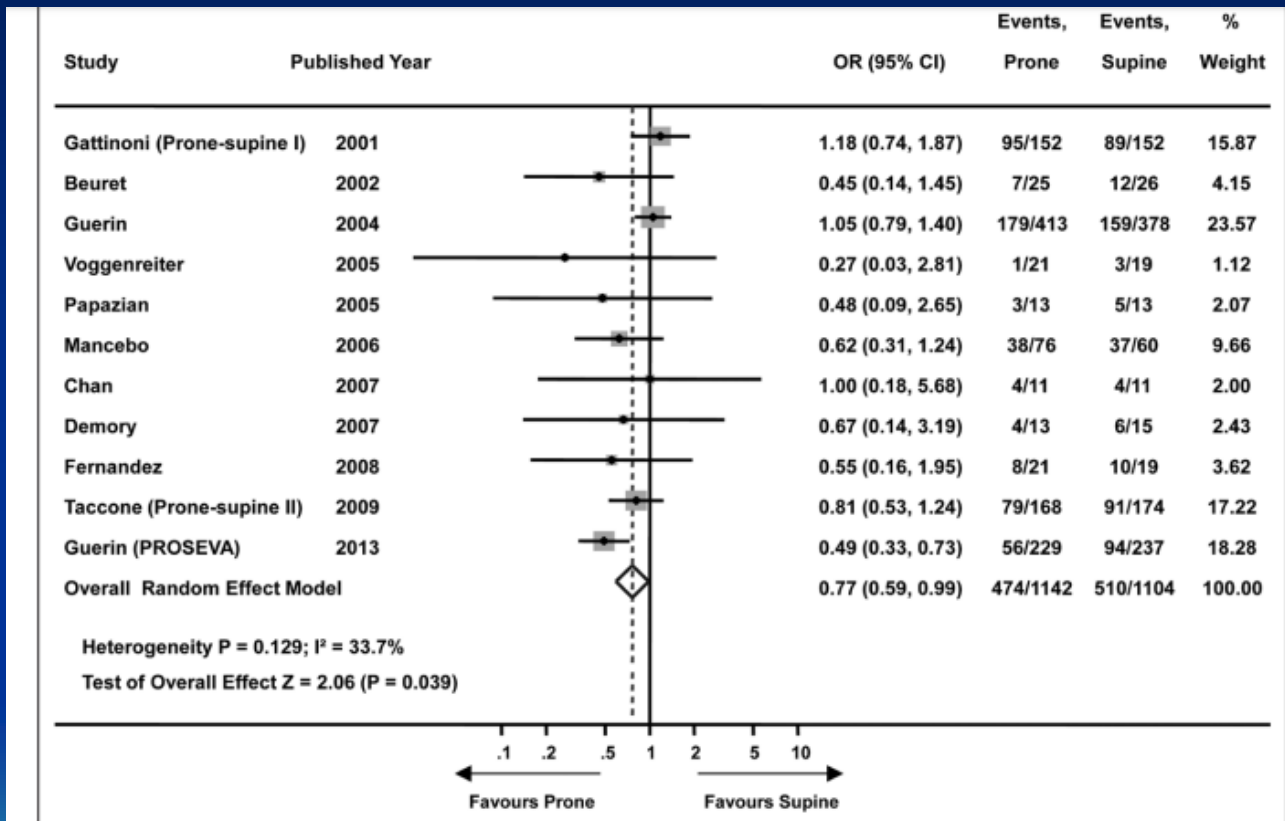
- Decompression of the abdomen
- Drainage of pleural effusion
- Relaxation of the thoracic and abdominal muscle
- Using upright or prone position

# Prone Positioning

- Recruitment of non-aerated alveoli and make lung more homogenous.
- Shift in heart weight from lung beneath it onto the ventral chest wall.
- It producing regional PEEP-like effect that consolidates the dorsal recruitment associated position change.
- Prone position may reduce lung stress and strain in severe ARDS.
- Survival benefit for severe ARDS.

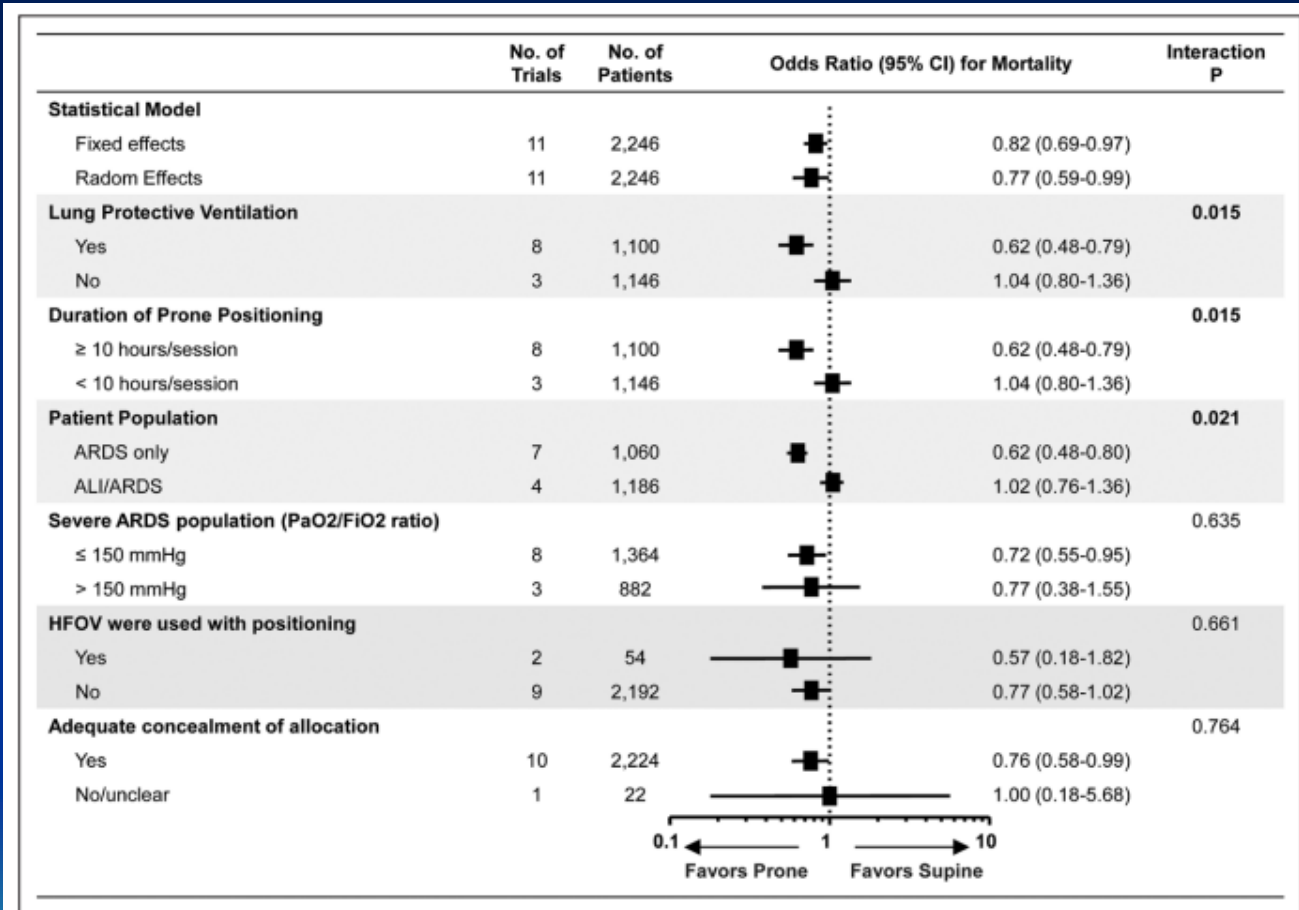


# Prone Positioning on Mortality



**Figure 2.** The effect of prone positioning on overall mortality by random-effects model. Forest plot with odds ratios (OR) for overall mortality associated with prone positioning (prone) versus supine positioning (supine) for individual trials and the pooled population. The *squares* and the *horizontal lines* indicate the ORs (by random-effects model) and the 95% CI for each trial included. The size of each square is proportional to the statistical weight of a trial in the meta-analysis. The *diamond* indicates the effect estimate derived from meta-analysis, with the center indicating the point estimate and the left and the right ends indicating the 95% CI.

# Prone Positioning on Mortality



**Figure 4.** Stratified subgroup analyses according to the study protocols. The forest plot shows odds ratios (by random-effects model) for overall mortality associated with prone versus supine positioning with studies stratified according to 1) lung protective ventilation, 2) actual duration of prone positioning, 3) disease severity of patients, 4) PaO<sub>2</sub>/FiO<sub>2</sub> ratio, 5) high-frequency oscillatory ventilation as a concomitant maneuver, and 6) adequacy of allocation concealment. The squares and the horizontal lines indicate the odd ratios (ORs) (by random-effects model) and the 95% CI for each trial included. The dotted line indicates the point of neutral effect for overall mortality (i.e., the point of random-effects model OR of 1.0). ARDS = acute respiratory distress syndrome, ALI = acute lung injury, HFOV = high-frequency oscillatory ventilation.

# Prone Positioning

- ATS/EISCCM/SCCM clinical practice guideline recommends that adult patients with severe ARDS receive prone positioning for more than 12 hours per day.

AJRCCM 2017; 195:1253-1263

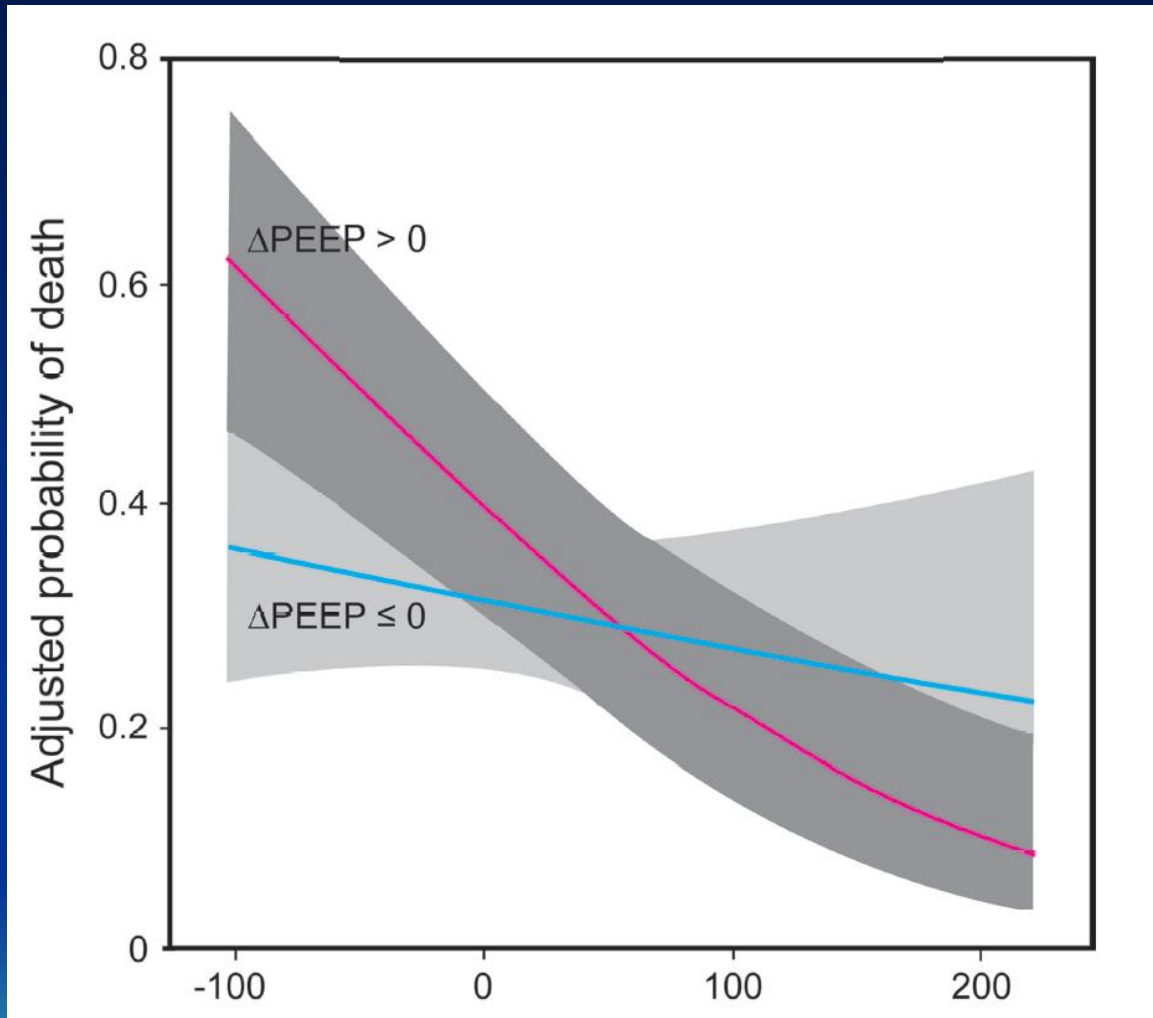


# Methods for Setting PEEP for ARDS

- Gas exchange
- Pressure volume curve
- Compliance
- Stress index
- Esophageal manometry
- Lung volume
- Imaging

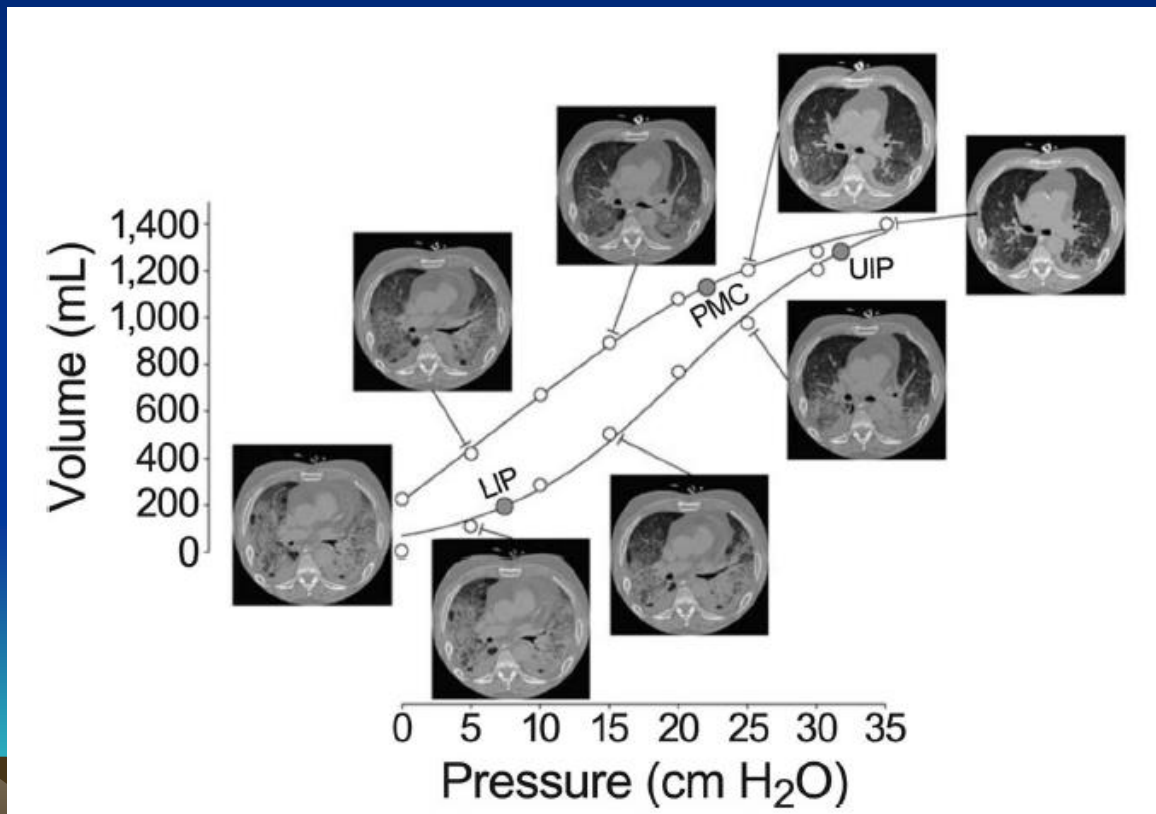
# Gas Exchange

- An increase in  $\text{PaO}_2/\text{FiO}_2$  when PEEP was increased was associated with reduced mortality.
- A decrease in  $\text{PaO}_2/\text{FiO}_2$  when PEEP was increased was associated with increasing mortality.



# Pressure-volume Curve

Set PEEP to 2cmH<sub>2</sub>O above lower inflection point.



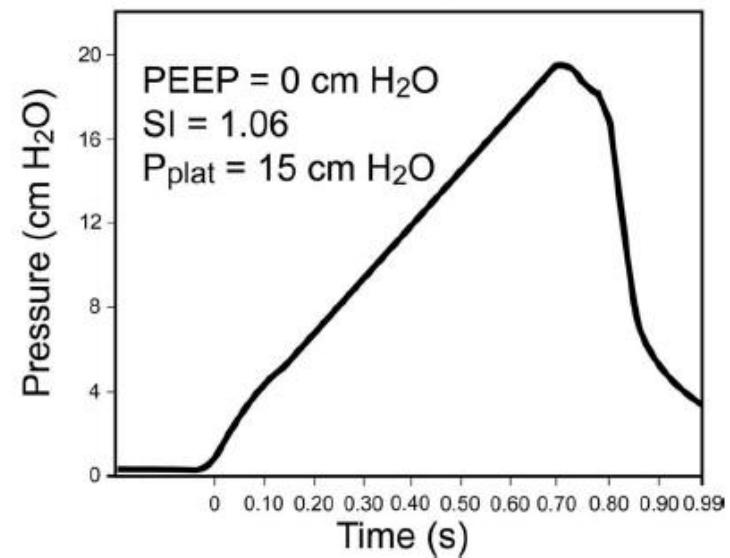
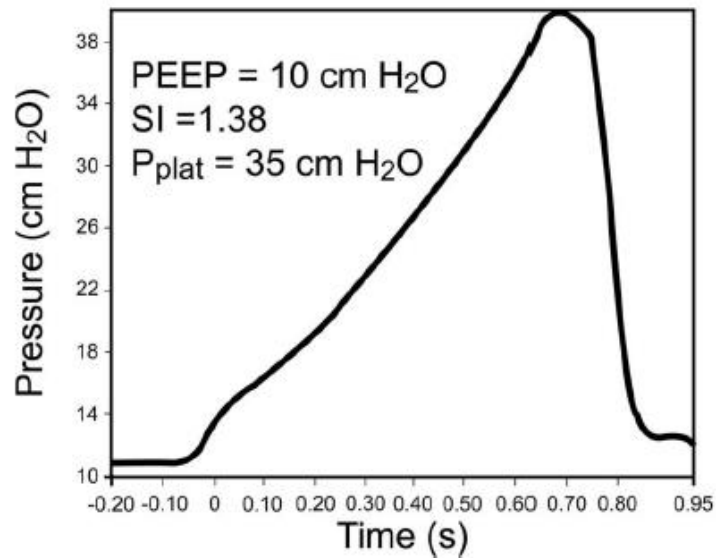
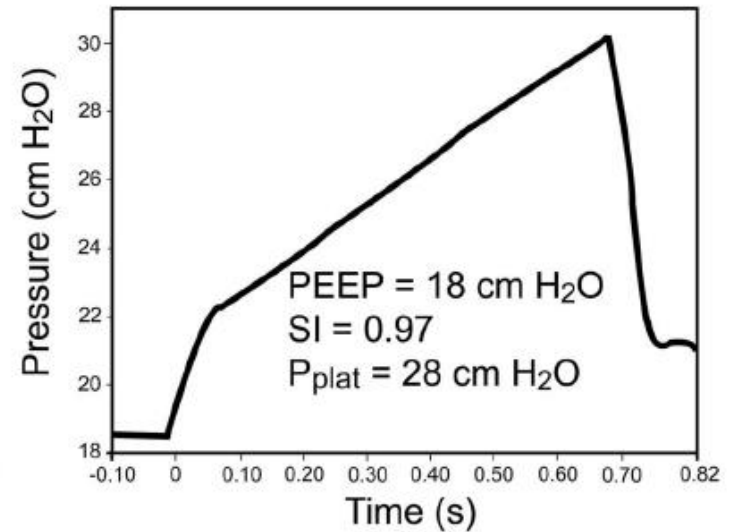
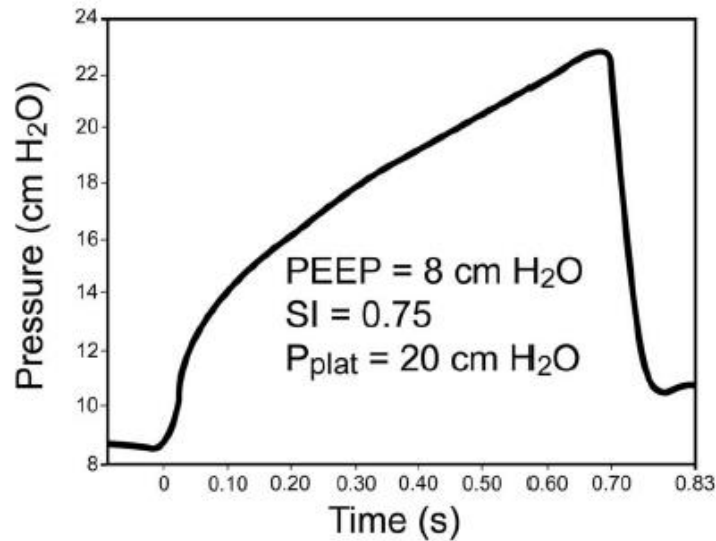
# Compliance

- Selecting the level of PEEP with the highest compliance.
- Compliance:  $V_t / (\text{plateau pressure} - \text{PEEP})$
- Increased mortality for driving pressure  $> 15 \text{ cmH}_2\text{O}$



# Stress Index

- A linear increase in pressure (stress index=1) suggests alveolar recruitment without over-distention.
- A decrease in compliance as lung are inflated (stress index  $> 1$ ) suggest over-distention.
- A increase in compliance as lung inflated (stress index  $< 1$ ) suggest potential for additional recruitment.



# Esophageal Manometry

- Chest wall compliance may be reduced in patients with ARDS which result in increased in pleural pressure.
- Pleural pressure higher than alveolar pressure, causing alveolar collapse.
- Set PEEP greater higher than end-expiratory pleural pressure.
- Use of esophageal balloon to estimate pleural pressure.
- Beneficial for morbid obesity or abdominal hypertension.

# Lung Volume

- End-expiratory lung volume (EELV) during mechanical ventilation by using helium dilution or nitrogen washout techniques.
- A PEEP induced increase in EELV might be the result of recruitment.
- EELV to assess PEEP response improved if it is combined with measurement of compliance.

# Imaging

- CXR
- Sonogram
  - Can not detect overdistention
- CT
  - Gold standard
- Electrical impedance tomography (EIT)
  - Estimate regional alveolar collapse and overdistention

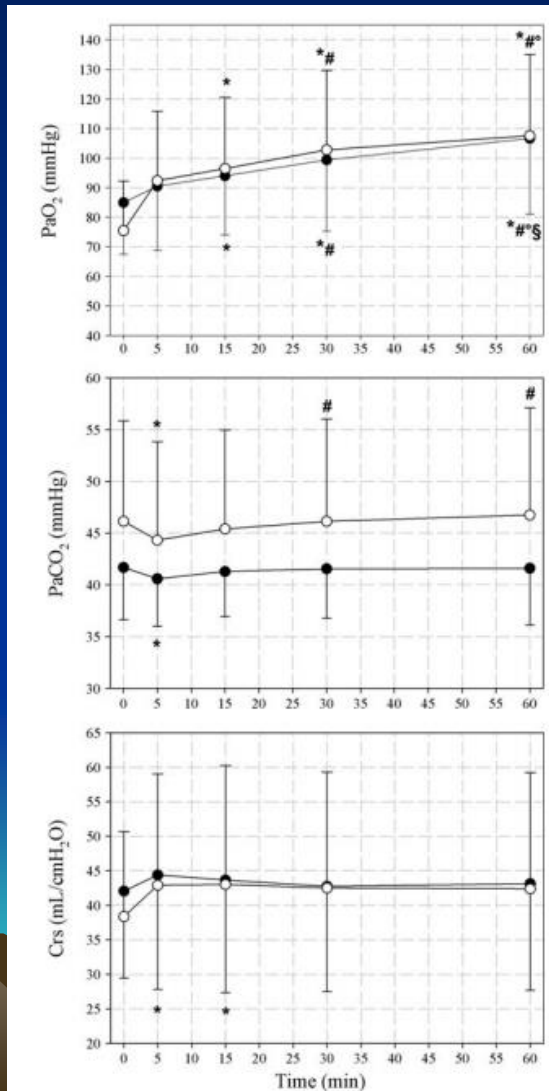
AJRCCM 2011;183:341-347

Respir care 2013;58:416-423

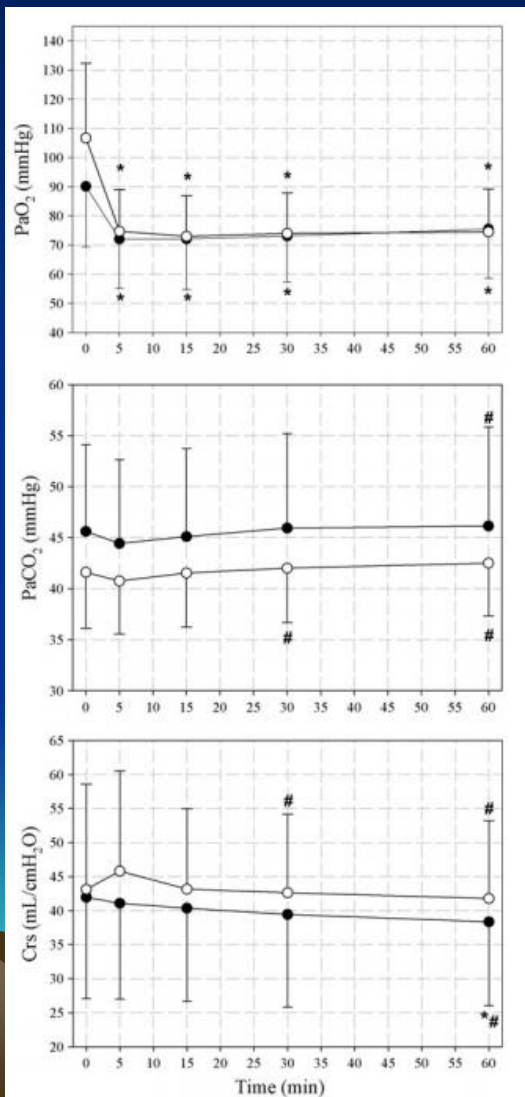
Anesthesiology 2015;122:437-447

Curr Opin Crit Care 2009;15:18-24

# How long to wait between changes in PEEP



# How long to wait between changes in PEEP



# How long to wait between changes in PEEP

- The effect of change in PEEP will not be fully realized if too little time.
- Potentially injurious ventilation due to inappropriate PEEP if too much time.
- 5-minute might be used to judge the direction of change.



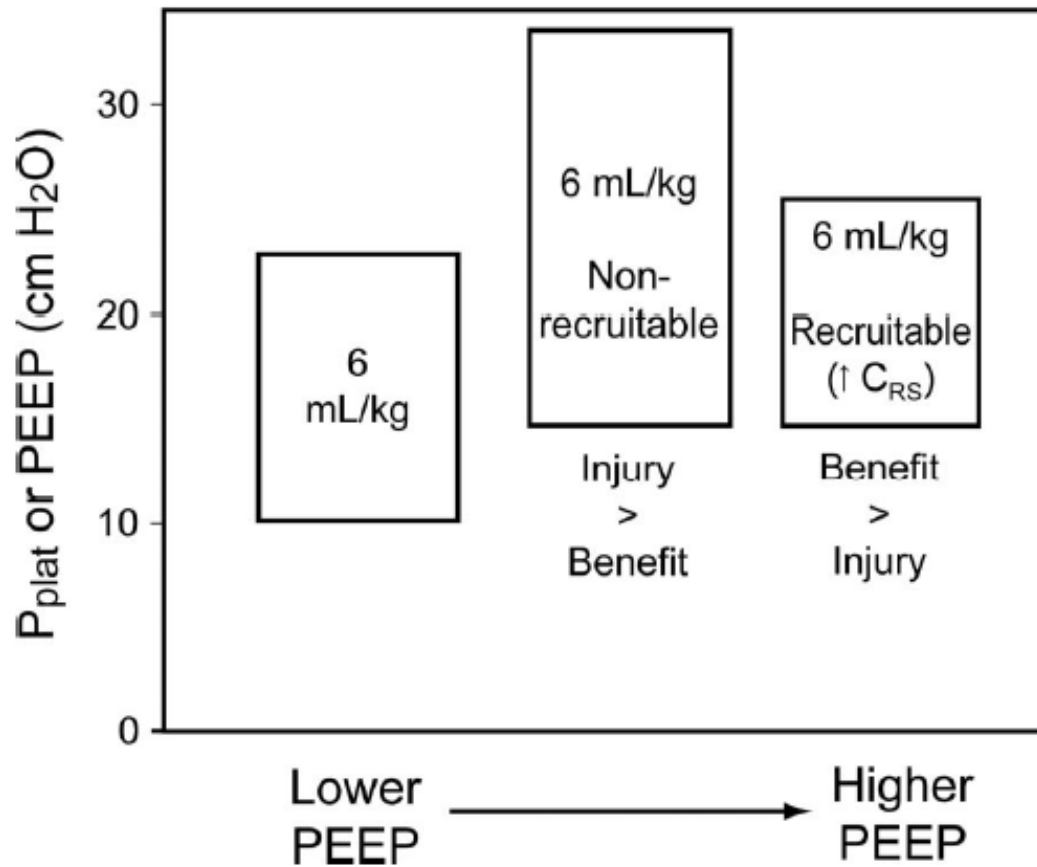
Lower PEEP/Higher $F_{IO_2}$																
$F_{IO_2}$		0.3	0.4	0.4	0.5	0.5	0.6	0.7	0.7	0.7	0.8	0.9	0.9	0.9	1.0	
PEEP		5	5	8	8	10	10	10	12	14	14	14	16	18	18–24	
Higher PEEP/Lower $F_{IO_2}$																
$F_{IO_2}$		0.3	0.3	0.3	0.3	0.3	0.4	0.4	0.5	0.5	0.5–0.8	0.8	0.9	0.9	1.0	1.0
PEEP		5	8	10	12	14	14	16	16	18	20	22	22	22	22	24

Fig. 4. Tables used to set combinations of  $F_{IO_2}$  and PEEP in the ARDS Network study. Data from Reference 59.

NEJM 2004;351:327-336

# Higher PEEP vs Lower PEEP

- In moderate and severe ARDS, the mortality was 34.1% in the higher PEEP group 39.1% in the lower PEEP group (RR:0.9, 95%CI:0.81-1.00).
- In mild ARDS, mortality rate was 27.2% in the higher PEEP group 19.4% in the lower PEEP group (RR:1.37, 95%CI:0.98-1.92).



# Potential for Recruitment

- Severe ARDS
  - Lower  $\text{PaO}_2/\text{FiO}_2$
  - Lower compliance
- Extra-pulmonary ARDS

Crit Care Med 2014;42:252-264

NEJM 2006;543:1775-1786

Intensive Care Med 2000;26:501-507

# Contraindications

- Hemodynamic instability
- Pneumothorax or pneumomediastinum
- High risk for pneumothorax
  - Necrotizing pneumonia
  - Lung cysts

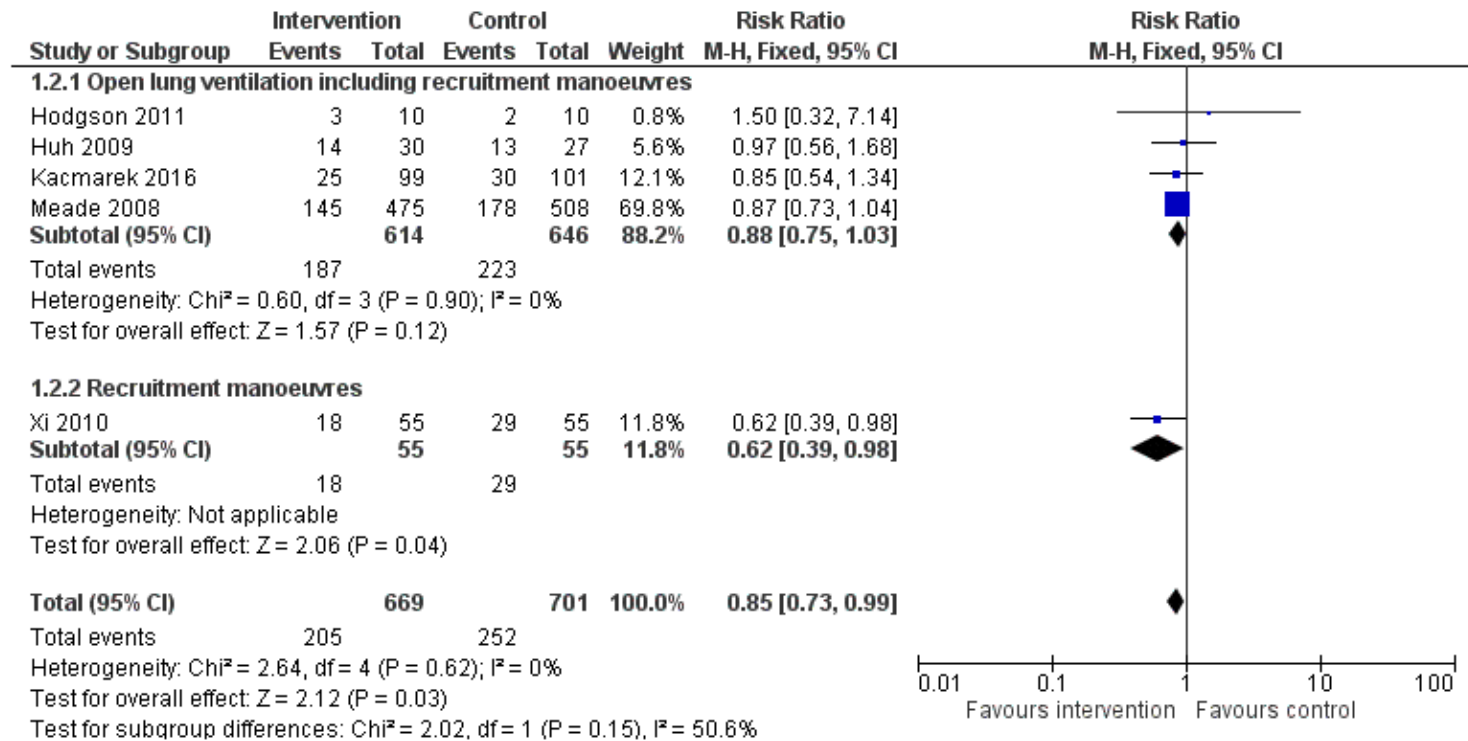
# Clinical Evidence of Recruitment Maneuvers



# Primary Outcomes



# ICU Mortality



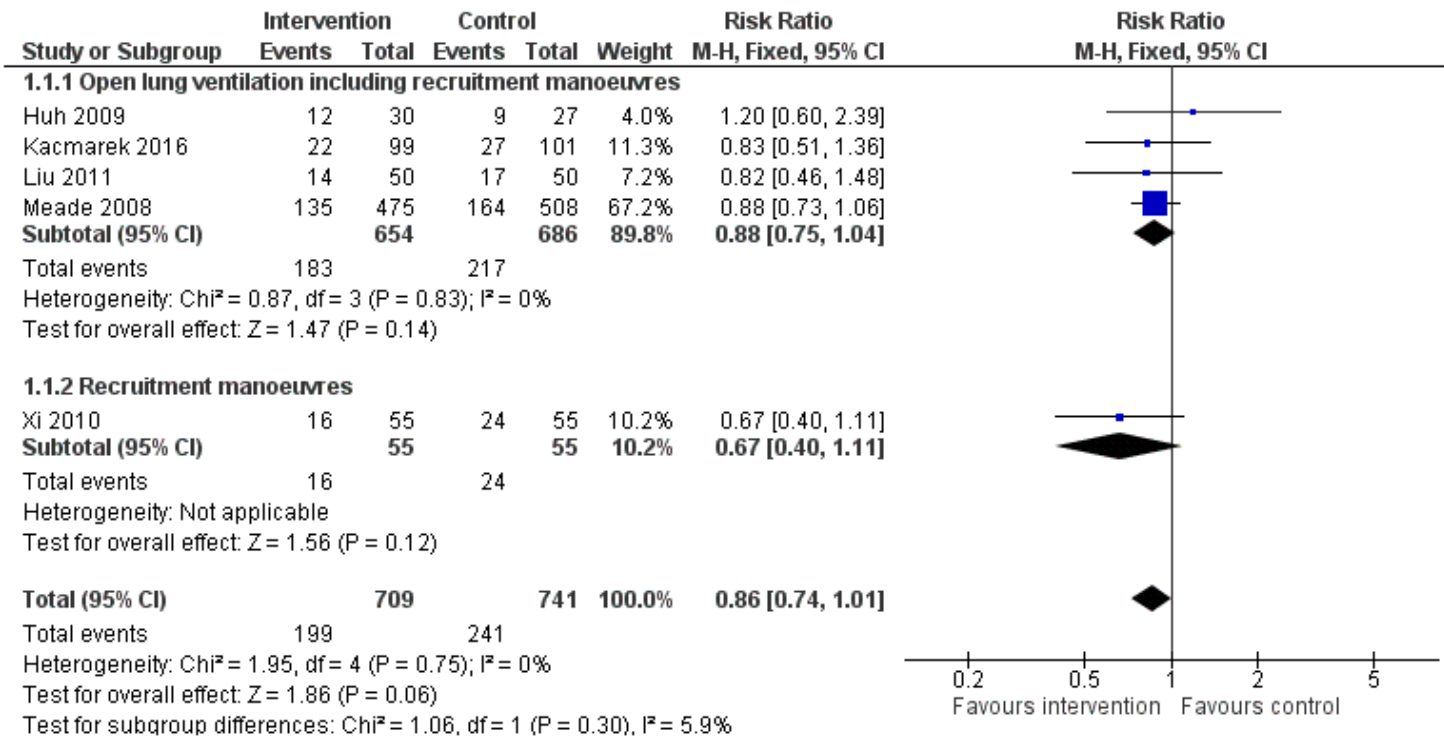
Forest plot of comparison: 1 Recruitment manoeuvres versus no recruitment manoeuvres, outcome: 1.7 ICU mortality.



# In-hospital Mortality

- Recruitment maneuvers did not reduce mortality in-hospital (RR 0.88, 95% CI 0.77 to 1.01,  $P = 0.07$ ) (four studies;  $N = 1313$ ,  $I^2 = 0\%$ )

# 28-Day Mortality



Forest plot of comparison: 1 Recruitment manoeuvres versus no recruitment manoeuvres, outcome: 1.1 28-Day mortality.

# Secondary Outcomes



# Oxygenation

- Recruitment maneuvers improved oxygenation 24 to 48 hours after randomization compared with standard care (MD -39.10, 95% CI -57.64 to -20.56,  $P < 0.0001$ ).

# Barotrauma

- Recruitment maneuvers did not significantly affect the risk of barotrauma (RR 1.09, 95% CI 0.78 to 1.53, P = 0.60).


# Rescue Therapies

- An open lung ventilation strategy that included recruitment maneuvers had no effect on the use of rescue therapies for participants with severe hypoxemia (RR 0.64, 95% CI 0.27 to 1.51,  $P = 0.31$ ).  
( $I^2 = 74\%$ )

# Summary of Evidences

- Recruitment maneuvers in participants with ARDS reduced intensive care unit mortality without increasing the risk of barotrauma but had no effect on 28-day and hospital mortality.
- Meta-analysis have not found lasting improvement in clinical outcomes, possibly due to methodology and population heterogeneity.

# Take Home Message

- Recruitment maneuvers are helpful in increasing aerated lung volume, which decreases strain and derecruitment.
  - Patients with early, severe ARDS with diffuse changes on chest radiograph and low lung compliance are good candidate for recruitment maneuver.
  - Post-recruitment application of adequate PEEP, appropriate position and management of fluid balance are critical for maintain recruitment maneuver-generated gains.
- 




# Take Home Message

- PEEP should be selected as a balance between alveolar recruitment and overdistention
- PEEP of  $< 5$  cmH<sub>2</sub>O is probably harmful early in the course of ARDS.
- PEEP: 5-10 cmH<sub>2</sub>O for mild ARDS, 10-15 cmH<sub>2</sub>O in moderate ARDS, 15-20 cmH<sub>2</sub>O in severe ARDS.
- Recruitment maneuvers should be used within lung protection and not just as a means of improving oxygenation.



# Take Home Message

- There is variable potential for recruitment among patients with ARDS.
  - Complications of recruitment maneuver are common but temporary, barotrauma appear to be rare.
  - If a recruitment maneuver is effective, sufficient PEEP is necessary to maintain the recruitment.
  - Evidence is lacking that use of recruitment maneuvers improve patient outcome except improving ICU mortality.
- 

The End

Thanks for Your Attention !!

